

Sida's Evaluation Plan 2005



SWEDISH INTERNATIONAL DEVELOPMENT
COOPERATION AGENCY

Department for Evaluation and Internal Audit

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Sida's Evaluation Plan 2005

1 Sida's Evaluation Activities

Evaluation has by tradition constituted an integral and important part of Swedish development co-operation. The main purposes of Sida's evaluations are to strengthen learning and performance among the actors involved in Swedish development co-operation and to provide information to the general public and government bodies about the results of Swedish development co-operation through Sida.

In December of 2003 Swedish Parliament decided on a comprehensive and coherent Swedish policy for global development. The decision involves an increased emphasis on accountability for the results of the new policy. In line with this a new independent function for evaluation of development co-operation is to be established 2005. According to the underlying Government Bill the existing evaluation functions are to continue as each organisation charged with executing the new policy will be responsible for evaluation activities within its own remit. The new institute is supposed to engage in a wide range of activities spanning from audit work to research endeavours. A guiding principle, however, is that the activities of the new institute should complement those already undertaken by Sida.

Sida's evaluation system has two constituent parts. According to Sida policy each embassy and Sida department evaluates project and programme performance within its own area of operational responsibility. The Department for Evaluation and Internal Audit (UTV) is responsible for thematic and more comprehensive evaluations of strategic relevance to development co-operation through Sida. The present Evaluation Plan covers all evaluations to be completed, ongoing or initiated by Sida during 2005.

UTV's evaluation plan and the evaluation plans of the units of operative responsibility are schematically presented in *Annexes 1* and *2* respectively. For a more detailed account of the goals, organisation and working methods of Sida's evaluation system, the reader is referred to Sida's *Evaluation Policy* approved by Sida's Board of Directors in September 1999.

2 Departmental Evaluation Plans

The departmental evaluation plans for 2005 include 115 evaluations. Some of the information submitted is incomplete, but the following may be said:

- Almost half of the evaluations will be carried out in accordance with prior project agreements.
- Approximately three-fourths of the evaluations concern projects that have previously not been evaluated by Sida.
- Sida is responsible for the majority of the planned evaluations. Only in a few cases will the evaluation be undertaken in co-operation with other donors.

- 17% of the planned evaluations are interim evaluations of ongoing projects rather than evaluations of completed projects. As such, they are likely to be more concerned with project implementation and achievement of intermediary goals than with development impacts.
- Among the stated reasons for evaluation, needs for information relevant to a decision concerning continued support (25%), and a need for information for fine-tuning of the evaluated project (22%), are the most common.

The departmental evaluation plans for 2004 covered 113 evaluations. At the end of that year, a total of 35 evaluations had been completed (including 7 evaluations not listed in the plan), 37 were ongoing, 20 had been postponed, and 28 had been removed from the plan. A more detailed examination of the implementation of the departmental plans can be found in UTV's *Annual Report* to the Board.

3 The Department for Evaluation and Internal Audit

UTV is an independent department reporting to Sida's Board of Directors that also decides on UTV's evaluation plan. The evaluation reports produced by UTV are presented to Sida's Director General who will decide on a Management Response before the evaluation is eventually presented to Sida's Board of Directors. The budget allocation to UTV, both the administrative budget and the allocation from the account for Bilateral Development Co-operation are decided by the Director General.

3.1 Mandate and Tasks

UTV is mandated to carry out comprehensive and/or thematic evaluations of strategic importance to Swedish development assistance. All development co-operation funded or co-funded by Sida, as well as Sida's organisation, may be examined by UTV. As a rule, UTV evaluations do not focus on individual projects, unless they involve issues of broader interest to Swedish development co-operation.

In addition to carrying out evaluations on its own, or in co-operation with other donor organisations and partner countries, UTV gives support to the evaluation activities of Sida's operative departments, both through project support – advising on individual evaluation projects – and organisational support – support in establishing and following up evaluation plans, training programmes, etc. UTV is also responsible for documentation and information in the field of evaluation. In addition UTV staff contributes to Sida Management Board, the central Project Committee etc.

In balancing these different tasks, UTV is currently giving most weight to its “own” evaluations while engagement in various joint international evaluation undertakings will constitute an increasing share of UTV's project engagements. In view of Sida's Field Vision, as well as the current harmonisation and alignment processes, the allocation of UTV staff resources might shift more towards joint evaluations and support to evaluation activities.

The implementation of the Evaluation Plan is reviewed by UTV every year as part of UTV's *Annual Report* to the Board.

3.2 Work Methods

Whereas evaluations serve the purpose of both learning and accountability, UTV has recognised that it is necessary to adopt a participatory approach to evaluations in order to enhance evaluation impact.

In practice this means that relevant stakeholders are invited to discuss the evaluation at different stages of the evaluation process. Although the subject matter and object of the evaluation is decided by Sida's Board of Directors, UTV has the final responsibility for the Terms of Reference and the actual evaluation is normally carried out by independent external consultants. The intended users of the evaluation are invariably invited to contribute to the process. In a typical evaluation there will be a consultation process regarding the Terms of Reference, stakeholders may be invited to comment on the work of the consultants at various stages and open seminars are arranged for discussion and dissemination of evaluation findings. Although this has become a standard mode of operation the participation of different stakeholders in the evaluation process may still be developed.

UTV takes on different roles and responsibilities in current joint evaluation projects, from assuming a lead and coordinating function, to being a speaking partner, financial contributor, and external expert.

3.3 Staff and Budget

By the end of 2004, UTV had six full-time positions as evaluation officers. In practice this means 5 1/2 positions available for evaluation as one half position is occupied by the deputy director of UTV. There is one vacant position as evaluation manager due to the reduction in administrative resources. In addition UTV has staff working with documentation and information (one full-time post) and internal administration (equivalent to two and a half posts). The staff of UTV also includes Sida's two internal auditors.

UTV's budget for administration, including staff, constitutes SEK 8.384 million for 2005.

Approximately SEK 270 000 will be carried over from the year 2004. The allocation reflects a general reduction of 4 % being imposed on the administrative budgets of most Sida departments in 2005.

SEK 15 million has been allocated to UTV from the account for bilateral development co-operation to be used for procurement of external services for evaluation and internal audit in 2005.

4 UTV's Evaluation Plan

UTV has a planning horizon of two to three years. This is due both to the theme fact that a particular evaluation may involve several projects and that most evaluation projects have more than one year. The evaluation projects contained in the plan are in various stages of preparation. As a rule an evaluation project is screened by the following criteria: policy relevance, financial importance, innovativeness, replicability of the co-operation, under scrutiny, evaluability, cost-benefit, and usefulness to Sida and other stakeholders.

4.1 Looking Back – Evolving Themes and Priorities

Since its creation in 1995 UTV has explored a variety of themes and issues related to current issues in development co-operation. In review the evaluations of UTV have been less concerned with assessing long term impact than with the implementation of policies and principles, and with gauging the relevance and effectiveness of aid modalities and the processes of development co-operation. UTV has carried out several studies of the use of the evaluation instrument in Sida's work and the quality of results information in country strategies. Most evaluations have in one way or another been used in revising or formulating Sida policies and guidelines.

UTV has made various attempts to assess long term impact. Some have proven abortive on account of a lack of data. Others have been more successful. One is the large evaluation of the Bai Bang project¹, an ex-post assessment of the outcome and impact of a large and controversial project to support the construction of a pulp and paper production facility in Vietnam. Another attempt is the evaluation of Swedish Programme Aid² that addressed a wide range of issues and included the whole spectrum of programme aid modalities. The evaluation also attempted to answer the question of the impact of Swedish programme aid.

UTV has concerned itself with various aspects of *knowledge management*, assessing the use of evaluations in Sida's work³, the quality of results analysis⁴ and the incorporation of various cross-cutting issues in evaluations⁵.

Country level evaluations have used two main approaches. Two evaluations (Tanzania and Mozambique⁶) examined the process of formulating and the content of the country strategies and project portfolios for the two countries. In contrast the recent evaluations of the Swedish country programs in Laos and Vietnam⁷ focused on the implementation of the strategy and its expression in every day decisions about support to specific projects and programs.

Aid modalities have also been evaluated, notably program support as already mentioned and Contract Financed Technical Co-operation⁸. In addition, Swedish support to area based development projects has been evaluated in order to assess how they might be retooled to enhance sustainability and their contribution to reducing poverty⁹.

UTV has also evaluated the implementation of *basic principles and policies* in Swedish development co-operation¹⁰. Ownership as a basic principle for international development co-operation has been explored in several evaluations and the findings from these were synthesised in a study¹¹. Furthermore UTV has evaluated progress in mainstreaming gender equality into development co-operation¹². This evaluation also gave rise to a methods study¹³ and a joint seminar with the evaluation unit in EuropeAid.

¹ Paper, Prices and Politics. An Evaluation of the Swedish Support to the Bai Bang project in Vietnam. Sida Evaluation 99/3.

² Dollars, Dialogue and Development. An Evaluation of Swedish Programme Aid. Sida Evaluation 99/17.

³ Using the Evaluation Tool. A survey of conventional wisdom and common practice at Sida. Sida Studies in Evaluation 97/1. Are Evaluations Useful? Cases from Swedish Development Co-operation. Sida Studies in Evaluation 99/1.

⁴ Are Evaluations Useful? Cases from Swedish Development Co-operation. Sida Studies in Evaluation 99/1.

⁵ Poverty Reduction and Gender Equality. An Assessment of Sida's Country Reports and Evaluations in 1995–96. Sida Studies in Evaluation 97/2. Evaluating Gender Equality – Policy and Practice. An Assessment of Sida's Evaluations in 1997–1998. Sida Studies in Evaluation 98/3. The Environment and Sida's Evaluations. Sida Studies in Evaluation 96/4. Environmental Considerations in Sida's Evaluations Revisited. A follow-up and analysis six years later. Sida Studies in Evaluation 03/02.

⁶ Fighting Poverty Strategically? Lessons from Swedish Tanzanian Development Co-operation 1997–2000. Sida Evaluation Report 00/02. Assumptions and Partnerships in the Making of a Country Strategy. An Evaluation of the Swedish-Mozambican Experience. Sida Evaluation 01/07.

⁷ Implementation of the 1999–2003 Country Strategy for Swedish Development Co-operation with Laos. Sida Evaluation 02/36. Implementation of the 1999–2003 Country Strategy for Swedish Development Cooperation with Vietnam. Sida Evaluation 02/35.

⁸ Contract-Financed Technical Co-operation and Local Ownership. Sida Evaluation 03/09.

⁹ Area Development Projects, Poverty Reduction, and the New Architecture of Aid. Sida Evaluation 02/14.

¹⁰ Supporting Ownership. Swedish Development Cooperation with Kenya, Tanzania, and Uganda. Sida Evaluation 02/33.

¹¹ Donor ship, Ownership and Partnership. Issues Arising from Four Sida Studies of Donor-Recipient Relations. Sida Studies in Evaluation 03/03.

¹² Mainstreaming Gender Equality. Sida's Support for the Promotion of Gender Equality in Partner Countries. Sida Evaluation 02/01.

¹³ Reflection on Experiences of Evaluating Gender Equality. Sida Studies in Evaluation 03/01.

UTV has also commissioned a study about incentives and sustainability in development co-operation¹⁴. In 2004 UTV concluded an evaluation on the relevance and implementation of Sida's policy related to support to culture and the media¹⁵

In recent years UTV has explored Sida's approach to *private sector development*¹⁶ with a number of evaluations. The last evaluation report in this series was published in 2004.

4.2 Joint Evaluations

Joint evaluations involving both donor organisations and partner countries are becoming increasingly important as a vehicle for joint learning and accountability. It is also part of the current harmonisation efforts among donors and is encouraged by the DAC Network for Development Evaluation. This trend towards increased donor co-operation and harmonisation is likely to influence evaluation planning both in the operative departments and in UTV in the years to come.

In the past UTV has actively contributed to a number of joint evaluations starting with the evaluation of the response to the genocide in Rwanda¹⁷. A joint evaluation looked at EU development co-operation with the ACP (Africa, Caribbean and the Pacific) countries. Lately UTV has participated in the World Bank led evaluation of the Comprehensive Development Framework¹⁸ and the joint evaluation on support to Basic Education¹⁹. Moreover UTV has participated in the evaluation of IFAD. In keeping with a decision by the Directors General of the so-called Nordic + group (Denmark, Finland, Norway, Sweden, the Netherlands and Ireland) some joint evaluation planning has taken place starting in 2005.

4.3 Priorities for 2005–2006

In the coming year UTV expects to continue working in the following areas:

- Knowledge management
- Policy implementation
- Pro-poor economic development
- Institutional development
- Democracy and human rights
- Peace building and humanitarian assistance
- Aid effectiveness
- Aid modalities

¹⁴ Aid, Incentives, and Sustainability. An Institutional Analysis of Development Cooperation. Sida Studies in Evaluation 02/01.

¹⁵ Sida's Work with Culture and Media. Sida Evaluation 04/38

¹⁶ Approach and Organisation of Sida Support to Private Sector Development. Sida Evaluation 01/14. Rural Development and the Private Sector in Sub-Saharan Africa. Sida's Experiences and Approaches in the 1990s. Sida Evaluation 03/18. Support for Private Sector Development: Summary and Synthesis of Three Sida Evaluations. Sida Studies in Evaluation 03/05. Private Sector Development Support in Action: Sida's Approach, Working Methods and Portfolio in Russia and Ukraine. Sida Evaluation 04/02.

¹⁷ The International Response to Conflict and Genocide: Lessons from the Rwanda Experience. Joint Evaluation of Emergency Assistance to Rwanda.

¹⁸ Toward Country-led Development. A Multi-Partner Evaluation of the Comprehensive Development Framework. IBRD/The World Bank, 2003.

¹⁹ Local Solutions to Global Challenges: Towards Effective Partnership in Basic Education. Netherlands Ministry of Foreign Affairs, 2003.

Several of the themes respond to current concerns in the wider development community. Most are also in line with current priorities in Swedish development co-operation as defined by Swedish Parliament and the underlying Government Bill on a new Swedish comprehensive policy for global development (2002/03:122 *Shared Responsibility. Sweden's policy for global development*). In particular UTV is giving priority to sectors that receive a substantial amount of co-operation funds but have not been evaluated to a large extent. This is the case for support to democracy and human rights as well as humanitarian assistance and support to peace building. It has been notoriously difficult to assess impact from support to democracy and human rights and UTV will explore new ways to tackle the difficulties in this area.

In 2005, UTV intends to carry out the evaluations and studies along the themes presented above. Several of these will be continued from Sida's Evaluation Plan 2004. In 2004 preparatory work was started in several new fields which have resulted in evaluation projects that will be initiated in 2005 and in 2006. In addition to the planned evaluations, the presentation below includes some areas which – depending on available resources – will be subject to further exploration during the coming year.

The plan contains a total of 25 evaluations and studies, of which 9 evaluation projects and 3 evaluation studies will be completed in 2005. The following new areas are included in the plan:

- Sida's response to the tsunami Catastrophe
- ODA Effectiveness
- Co-ordination/harmonisation in Zambia
- Research Co-operation

Several of the joint evaluations will be carried out within the framework of the DAC Network for Development Evaluations. Some of the evaluations are relevant with regard to more than one theme. In such cases, the evaluation is mentioned under the heading most closely related to its main purpose. An overview of the plan and the timeframe for each evaluation project are presented in *Annex 1*.

UTV is an active member of various development evaluation networks. UTV is representing Sweden in the DAC Network for Development Evaluation, which consists of the Heads of Evaluation Services (HES) of the donor organisations of the OECD member countries and certain multilateral institutions. As the Director of UTV is chair of the DAC Network as of July 2004, this will imply greater international involvement for the department as a whole. UTV is also represented in the EUHES group of the EU Commission and Member States. UTV is presently assuming a coordinating role in a joint evaluation programme, the so-called Triple C initiative. In addition UTV is an active member of the Nordic plus group.

By participating in international evaluation networks, UTV is promoting donor co-ordination in relation to evaluation standards and evaluation programming. In the interest of donor harmonisation and joint learning and accountability UTV is actively engaged in the planning and implementation of joint evaluations in areas of strategic interest to Swedish international development co-operation.

4.3.1 Knowledge Management²⁰

The desk study on *experiences from country evaluations* will continue through the first half of 2005.

The purpose of the study is to provide an overview of approaches and experiences of country based evaluations and a discussion of their relevance for Swedish development assistance.

- ❑ Experiences from Country Evaluations (no 1)
- ❑ Quality of DAC member evaluations (no 2)
- ❑ Management Response (no 3)

The issue of *evaluation quality* – and the potential problem of weak quality of member evaluations – has been highlighted by the Development Assistance Committee (DAC) Network on Development Evaluation. High evaluation quality is crucial for effective accountability and learning within development co-operation. In 2003, the Australian Agency for International Development took a first step towards conducting a review of the quality of DAC member evaluations and invited the other network members to participate in an evaluation. As a first step a set of minimum quality standards have been developed that will be discussed at a Workshop in New Delhi in April of 2005. The expected result of the workshop is a set of agreed minimum standards that can be used to assess the quality of evaluations of DAC member countries.

During 2004 UTV commissioned an evaluation of Sida's system for *management response* of evaluations, which is instituted to ensure that the findings and the recommendations of evaluations carried out within Sida are properly taken into account and documented by the Sida departments concerned. Umeå Centre for Evaluation Research (UCER) was commissioned to carry out the evaluation. It involves a quantitative and a qualitative document analysis of evaluation reports and management responses written since the system was introduced in 1999. Furthermore UCER interviews Sida staff as regards the relevance, effectiveness and impact of the system. The evaluation will be completed in May 2005.

4.3.2 Policy Implementation

During 2005, UTV will maintain and expand its focus on the implementation of Sida's policies.

With the establishment of Sida's new Department for Policy and Methodology (POM), such questions have been given increased salience within the organisation. In this regard, UTV can contribute insights and concrete knowledge related to how internal (content and instruments of the policy) and external (organisational and contextual) factors affect the implementation of different policies. Four different evaluations can be said to relate to such themes.

- ❑ Sida's HIV/AIDS strategy (no 4)
- ❑ Implementation of Sida's policy for sustainable development (no 5)
- ❑ Synthesis of Policy Evaluations (no 6)
- ❑ Health Sector Evaluation (no 7)
- ❑ Local Development Projects (no 8)

During 2004, UTV initiated an evaluation of *the implementation of Sweden's policy on HIV/AIDS* in development co-operation ("Investing for Future Generations") with a focus on Sida's aid programming and organisation in that area. So far, the evaluation has generated a replication of the 1999 baseline study, and consultants are currently performing field studies in Bangladesh, Ethiopia, Ukraine and Zambia. The final report will be delivered during the first quarter of 2005, with the results being presented at a seminar in April.

²⁰ The evaluation projects mentioned in the main text are also listed in the shaded textboxes. To facilitate cross-references between this document and the summary presentation of the plan in Annex 1, each evaluation has been provided with a number.

Environmentally sustainable development has been a priority for Swedish development cooperation for a long time, with the most recent policy being adopted by Sida in January 2004. Among the fundamentals of the current policy are efforts to mainstream environmental considerations into all aspects of Sida's work. During the autumn of 2004, UTV has drafted terms of reference for an evaluation of the implementation of this policy, and discussions have been held with representatives from the environmental policy unit to this effect. UTV intends to procure consultants for this evaluation in early 2005 and to conclude it before the end of the year.

Together with the gender evaluation,²¹ the two previous evaluations deal with themes that are supposed to permeate every aspect of Sida's work. An *evaluation synthesis* will draw on the insights gained from these three studies to assess the feasibility of such policies. In addition to comparing results from the three evaluations, this study will include a comparative empirical part in which key documents and activities are submitted to the same methodology in order to determine the extent to which each of these three perspectives have had an impact on them.

In addition to these evaluations, UTV will during 2005 continue its assessment of Sida's work in *the health sector*. During the autumn of 2004, UTV initiated a dialogue with Sida's health division in order to set the basis for such an evaluation. In particular, focus will be on how Sida has responded to recent changes at the levels of policy, the methods used, and the environment in which it operates (e.g., the new policy enacted in 2002, the establishment of institutions such as the Global Fund, harmonisation initiatives, etc.). In view of the complexity of the subject, it has been agreed to commission a study of these issues to be performed during the first months of 2005, which will set the basis for a subsequent evaluation.

Moreover, the area of policy evaluations includes an evaluation within the so-called *Triple C Initiative* by the EU Heads of Evaluation Services (EUES). This group has decided to jointly undertake evaluations of the implementation of the three principles for development co-operation established by the Maastricht treaty (co-ordination, coherence, and complementarity). A program of evaluation studies has been defined and in a first phase six studies have been commissioned, each dealing with the extent to which these principles have come to characterise a particular area of European development co-operation. Sweden, through UTV, is presently chairing the Task Force created to bring this initiative forward. UTV has also agreed to act as lead agency for one of these studies, one that deals with *assistance for local development*. Austria, Belgium, France, Ireland, and the Netherlands have pledged to co-operate with Sida by contributing funds and advice to the project. During the last months of 2004, terms of reference have been prepared in consultation with partner countries, and procurement of consultants will take place in early 2005.

4.3.3 Economic Development

Economic growth is necessary, but not sufficient, in order to achieve sustainable social development and poverty reduction. Sida has in recent

□ Sida views on Pro-Poor Growth (no 9)

years put an increased focus on economic growth, one of the eight central component elements of the Swedish government's Global Development Policy. The focus has to a large extent been on private sector development but there is also an ongoing discussion on the role of employment opportunities in the pro-poor growth process. During 2004 a work plan for the evaluation "Views on Pro-Poor Growth at Sida".

The overall purpose of the evaluation is to assess to what extent Sida staff views on pro-poor growth and employment opportunities are in line with theories and evidence from current research when it

²¹ B Mikkelsen et al: Mainstreaming Gender Equality, Sida Evaluation Report 02/01.

comes to questions such as: the role of income growth and employment opportunities (in relation to other determinants) in poverty reduction, the definition of pro-poor growth, the focus on pro-poor policies and employment opportunities in the growth discussion, the main determinants of employment opportunities, economic growth in general and pro-poor economic growth, the best way to deal with these determinants, and the role of aid in the employment opportunity and pro-poor growth process. It will also map out the nature and perception of the staff's practical work with pro-poor growth and employment questions and the sources of information used in order to understand a country's growth environment.

The evaluation will assess to what extent the growth focus so far has affected the views on growth but also give a benchmark for future studies and evaluations of Sida's growth strategies or some aspect of it. The evaluation is supposed to act as a starting point in the learning process at Sida of what foster pro-poor economic growth and employment opportunities.

4.3.4 Institutional Development

It was for long assumed that poverty and under-development are caused by lack of resources.

Now there is a broad consensus that institutions – in terms of formal and informal rules and norms within which humans and organisations

interact and perform – play a critical role for sustainable economic and social development. According to the Swedish Policy for Global Development, contributing to increased knowledge and building sustainable institutions is at the centre of development co-operation. Similarly, Sida's Policy for Capacity Development establishes institutional development as a key component.

- ❑ Supporting Institutional Development: Overview & Orientation Phase (no 10)
- ❑ Evaluation 1 of Support for Institutional Develop-

Developing appropriate and effective institutions is a difficult task, however, as witnessed by the experience of transition economies and reform attempts in many developing countries. Institutional change is embedded in a country's specific historical and socio-cultural context, where social, political and economic institutions – formal as well as informal – are linked into complex systems. It is therefore contextual, complex and often long term and unpredictable. Supporting institutional development is thus not only a matter of identifying the appropriate rules and norms to be established or altered, but also – and perhaps more importantly – a question of the very process of change, of “how to get there”. This has implications for the role of donors. What can an external agency do to support processes of institutional development and change in partner countries that are inherently ‘local’ in character?

The primary purpose of the evaluation theme on institutional development is to draw lessons from Sida's experience from supporting institutional development in partner countries. A second purpose is to contribute to an increased understanding of a) the role of institutions for poverty reduction and development and thereby for all Sida-supported activities, and b) the character of processes of institutional change and the roles that donors play in promoting those. The evaluation intends to contribute to learning for Sida staff at all levels and to the development of methodological tools, policy and practice of Sida support, in order to contribute to making Swedish development co-operation more effective in promoting sustainable poverty reduction.

As a first step, an *orientation and overview* (O&O) phase was initiated in 2004.²² Its purpose is to introduce institutional concepts and perspectives to Sida staff, paint a picture of Sida's existing support for institutional development, summarise Sida's already documented experience from such support,

²² More information about the evaluation theme and the overview and orientation phase can be obtained from the responsible evaluation officer. Draft thematic and conceptual papers, a preliminary project plan and travel reports from a conference and field visits to Kenya and Mozambique are available.

initiate reflection and dialogue around the evaluation theme and identify knowledge needs and central evaluation issues. The O&O phase serves both as an evaluation study and a learning phase, will be completed in 2005 and is conducted in close co-operation with Sida's operative departments and the embassies. A number of evaluation ideas will be identified and a first evaluation initiated in late 2005. Possible evaluation topics include corruption, public financial management, capacity development, democratic governance, and rule of law/the judicial system and property/land rights. A pre-study of evaluation of Sida support for institutional development within the roads and forestry sectors in Laos was completed by UTV in 2003.²³ As part of the overall evaluation theme, a possible follow-up evaluation will be discussed with the Swedish Embassy in Laos in early 2005.

4.3.5 Democratic Governance and Human Rights

In the Swedish Government bill Swedish development co-operation shall take its departure from a rights perspective according to the new policy previously mentioned. This expresses a trend in development co-operation to focus more on good governance and human rights to ensure sustainable poverty reduction. However, this is an area that is notoriously hard to evaluate due to difficulties in finding suitable indicators as well as in attributing effects to individual projects in the sector²⁴. UTV has begun to explore new methods of evaluation for this sector. For 2005, four different evaluations are planned in this field, each with a different methodology and focus. Although separate projects, these four evaluations will share certain elements such as a panel of international experts and a reference group at Sida.

- ❑ The Rights Perspective in the Nyanza Roads Project, Kenya (no 12)
- ❑ Outcomes of Direct Support to Human Rights (no 13)
- ❑ Dialogue on Human Rights (no 14)
- ❑ Programme Theory in Democratic Support (no 15)

Three of the four planned evaluations will focus on evaluating *support to human rights*. The evaluations are independent of each other and will be carried out by different evaluation teams, but they will also complement each other.

- Three of the four planned evaluations will focus on evaluating *support to human rights*. The evaluations are independent of each other and will be carried out by different evaluation teams, but they will also complement each other.
- One will focus on the relevance and outcomes of direct support to human rights through Swedish NGOs. A number of projects will be evaluated with the intent of assessing the outcome of the interventions.
- A second evaluation will focus on the relevance and effectiveness of a large scale infrastructure project in Kenya known as *Nyanza roads*. Nyanza roads is a project that has attracted much attention at Sida for its comprehensive *rights based approach*. The project, which has been developed through a highly participatory process, is perceived as a cutting edge model for how such an approach may be implemented in practice. UTV has found the project an interesting object for a formative evaluation intended to serve as learning process both for stakeholders in the project as for Sida in general. The evaluation, which might use the outcome mapping method, will follow the implementation of the programme through 2005–2008, and produce annual reports.
- The third evaluation on the topic of human rights will focus on *dialogue* on human rights within the framework of development cooperation. It is often argued that Sida staff has an obligation to raise this topic in interaction with people in government and administration of partner countries. Dialogue issues on human rights are also often incorporated in Sida's assessment memos and in

²³ Sjöquist Rafiqui, Pernilla (2003): Institutional Perspectives on the Road and Forestry Sectors in Laos: Institutional Development and Sida Support in the 1990s, Sida Studies in Evaluation 03/04, Sida, Stockholm

²⁴ E.g., Poate et al., "The Evaluability of Democracy and Human Rights Projects", Sida Studies in Evaluation, 00/03; Thomas Carothers, *Aiding Democracy Abroad: The Learning Curve*, (Washington: Carnegie Endowment for International Peace, 1999).

agreements between Sida and its partner. Thus dialogue may be regarded as a cooperation modality, and as a complement to financial support in various forms. Through interviews with Sida staff and key partners UTV aims at evaluating perceptions of the relevance, effectiveness and impact of dialogue on human rights. Some preparatory work will be carried out during 2005 and the evaluation will be completed in 2006.

- Another evaluation will apply a *program theory* evaluation approach, focusing on the ideas and assumptions that form the basis for projects in the area of support to democracy. A preliminary paper discussing the possibilities of such an approach was delivered at the African Evaluation Association conference in Cape Town in December 2004. During 2005, UTV plans to proceed with a general evaluation of the ideas and theories that are manifest in the sector, sample of projects by combining certain areas in the sector (e.g. civil society support, aid to the legal sector) with a geographical choice of three to four countries. It is hoped that the findings will form the basis for a critical discussion of the reasoning on which projects in the sector are founded.

4.3.6 Peace Building and Humanitarian Assistance

The field of humanitarian assistance has increased in size during recent years due to natural disasters and armed conflicts. There is a general lack of evaluations in the area of peace building efforts and humanitarian support and UTV intends to engage in a number of evaluations in this field in the years to come. Due to the nature of support in this field, mostly provided jointly by several donors evaluation efforts will also normally be carried out on a joint basis.

- ❑ Sida's Integrated Area Programmes in Bosnia-Herzegovina. (no 16)
- ❑ Afghanistan Support 2001–2004 (no 17)
- ❑ Evaluation on Peace Building and Humanitarian Assistance (no 18)
- ❑ Sida's Response to the Tsunami Catastrophe (no 19)

Until recently only few evaluations of humanitarian support were carried out, largely because they were considered to be too complicated and would take resources and attention from more immediate operational needs. This is changing, partly because of the increasing costs for conflict-related humanitarian assistance and partly because the situations that require humanitarian assistance often become “borderline cases” transforming into long-term development cooperation programmes.²⁵

One such evaluation looks at the support to *Afghanistan* before and particularly after the fall of the Taliban regime in 2001 when the country rapidly became a major recipient of humanitarian and eventually development support by most donors. With Danida as lead agency Sweden, together with United Kingdom, the Netherlands and Ireland, will evaluate the assistance provided during 2001–2004.²⁶ A report is expected during summer 2005.

During 2003 UTV initiated an evaluation of impact, relevance and sustainability of the *Integrated Area Programmes in Bosnia-Herzegovina* (BiH). The evaluation process started with a workshop with evaluation stakeholders in Sarajevo in October 2003²⁷, and has proceeded with three empirical studies during 2004. One of the studies is anthropological and comprises four months of field work. Another is a survey, which has been answered by 3 000 programme beneficiaries. The third study scrutinises the intervention logic of the programme. All these studies will be finalised during the first half of 2005. The three studies will be published together along with a synthesis including recommendations and

²⁵ One indication of this interest is the formation of ALNAP (Active Learning Network for Accountability and Performance in Humanitarian Action) by a number of donors – including Sida – and international organisations in order to systematically gain and disseminate experiences from the humanitarian field.

²⁶ The Afghanistan evaluation evolved during 2004 from donor group discussions linked to the IDP joint evaluation (see UTV's Annual Report 2004) and Sida took the opportunity to join the work initiated by Danida.

²⁷ The results from the workshop were analysed in UTV Working paper 2004:1.

lessons learned. The evaluation will be disseminated through seminars and workshops in BiH and Stockholm.

Three ideas for new evaluations will be explored by UTV during 2005. Further work will depend on the outcome of the feasibility assessment in 2005.

- One possible evaluation would concentrate on *protection* for vulnerable groups, particularly refugees, which is one of the two fundamentals in humanitarian assistance; the other being relief of physical suffering (in brief, food and shelter). However, this aspect of humanitarian efforts is seldom studied and almost never evaluated on its own. There is a growing international interest to clarify the issue.
- Another strategic issue to study would be what is known as *DD & R* (Disarmament, Demobilisation and Reintegration) and particularly the last part – the efforts to return former combatants to a normal, peaceful life. Also in this area there appears to be a lack of systematic collection of experiences.
- A third option would be the area of *peace building*, where Sweden is participating in discussions regarding possible evaluations. This is part of a joint initiative between the DAC networks on Development Evaluation and on Conflict, Peace and Development Co-operation (CPDC) and linked to the so-called Utstein initiative. During the first half of 2005 Norway will host a workshop to carry this topic further.

At the end of 2004, the worst natural disaster for decades occurred in South Asia, leaving over 150.000 dead and up to five million homeless. Sida's ability to respond in a rapid and effective manner was tested in the event. UTV will commission a study of how Sida responded to this crisis that will be carried out early in 2005.

4.3.7 Aid Effectiveness

Responding to a challenge from the OECD/DAC Chair to the aid evaluation community, Sida/UTV and its counterparts in the so-called NordicPlus group (Denmark, Finland, Ireland,

the Netherlands, Norway, and UK) decided in 2004 to consider the usefulness and feasibility of evaluating *the impact of the entire aid flow* to one or several countries. A concept note broadly outlining the design of such a study was favourably received at the annual meeting in November 2004 of the OECD/DAC Network for Development Co-operation Evaluation. Several member countries expressed an interest to participate in the project. However, it was agreed that more work was needed before it would be possible to decide if an evaluation of such complexity was technically and politically feasible. Sweden, supported by Denmark, the Netherlands and the OECD/DAC Secretariat, accepted to carry the project forward to a point where an informed decision about its feasibility can be made. This work will be completed during 2005. Sida/UTV has made no commitment beyond this point, but if the project turns out to be realistic continued participation would seem to be a natural option.

- ❑ ODA Effectiveness (no 20)
- ❑ Coordination-Harmonisation in Zambia (no 21)

In 2005 the Netherlands will initiate a joint evaluation of the NordicPlus initiative to *harmonise donor policies and procedures in Zambia*. Sida/UTV will support the evaluation along with its counterparts in the other NordicPlus countries. As the harmonisation process in Zambia is still relatively recent, the evaluation will focus on processes rather than results. An approach paper outlining a possible design for the study will be discussed among the stakeholders early in the year.

4.3.8 Aid Modalities

The Joint Evaluation of *General Budget Support* (GBS) is a response to the increased financial and political importance of GBS. The evaluation is expected to yield guidance on how to tailor GBS as poverty reducing instrument.

- ❑ General Budget Support (no 22)
- ❑ Exit Strategies (no 23)
- ❑ IFAD (no 24)
- ❑ Research Co-operation (no 25)

The evaluation will comprise seven country evaluations and several thematic evaluations. A synthesis report will summarise the main findings from all the component studies of the evaluation.

Sida is taking part of this evaluation as a member of both the Steering Group (including 21 donors and 7 partner governments) and the Management Group. Sida will continue to have a leading role in this comprehensive evaluation. Draft country evaluations will be in by July 2005 and the draft synthesis is expected finalized in November. A major dissemination conference is scheduled for January 2006.

During 2004 UTV agreed to carry out a joint evaluation of *exit strategies* with the evaluation departments of Denmark, Norway and the Netherlands. An approach paper for the evaluation will be discussed among the partners in early 2005 and thereafter the study itself will follow suite. The focal issue for the evaluation is whether development initiatives are built on realistic exit strategies supportive of sustainable outcomes and whether existing strategies for exit are consistent with current models for partnership. This is an issue which figured in the latest DAC Peer Review of Swedish Development Co-operation. Planned and implemented within the NordicPlus initiative for co-ordination of evaluation work, the study will provide an input to broader debates over issues of exit, sustainability, and development co-operation relationships.

An independent external evaluation (IEE) of the *International Fund for Agricultural Development (IFAD)* will be completed in early 2005. On behalf of the Ministry for Foreign Affairs, Sida/UTV participates in the IEE Steering Committee and closely monitors the evaluation process. The main objective of the IEE is to determine IFAD's contribution to rural poverty reduction and the results and impact it has achieved in this area. It will also assess IFAD's corporate performance – its organisational effectiveness – and its relevance within the international development community. Completed and handed over to IFAD's Board, the evaluation will serve as an input to the negotiations around the Seventh Replenishment of IFAD's resources. As it is likely to provide lessons of wider importance for rural development, it may also prove useful to other development organisations. UTV will help disseminate the study within the Swedish development community.

SAREC's support to *research co-operation* has been an element in Swedish development co-operation for the last 30 years. Efforts have been made at reviewing this area, notably after the first ten years, and individual project evaluations have been carried out. However, after 30 years the time is ripe for assessing this co-operation modality. There are both accountability and learning reasons for an external evaluation which may be based on some or all of the five evaluation criteria; effectiveness, efficiency, impact, relevance and sustainability. UTV intends to explore approaches to an evaluation in this field during 2005, the main work on this topic is envisaged to take place in 2006.

5 Support Activities

In addition to initiating and carrying out evaluation studies UTV provides technical support to Sida in its area of expertise. It can be estimated that 25 % of a total UTV staff time is used for various support activities. These cover a wide area and involve all professional UTV staff to a larger or lesser degree. UTV's director participates in the meetings with Sida's Management Board with a right to opine. Also the director, or the person so designated, has a right to participate in the work of Sida's central project committee which scrutinizes contributions exceeding 50 Million SEK. UTV staff also regularly provides comments on draft country strategies. In addition UTV staff participates in various thematic networks and working groups within Sida. In recent years UTV has also provided technical support to the Ministry of Foreign Affairs on evaluation matters, notably through the participation in the evaluation of IFAD (see section 4.3.7).

Another important area of UTV support is the advisory services provided to Sida's departments and embassies regarding evaluation planning, the writing of terms of reference, recruitment of external evaluators and how to evaluate tenders for evaluation work etc. A new Sida Evaluation Manual was published and widely disseminated in 2004. Dissemination activities geared to Sida in Stockholm and to the embassies will continue in 2005. It is expected that the new manual will contribute to raising awareness of the role and importance of monitoring and evaluation in Swedish development co-operation. In particular the manual stresses the integral character of evaluation to development co-operation and emphasizes the partnership perspective in evaluation.

The on-going changes in development co-operation with increased delegation of authority to field offices, the current concerted efforts at donor harmonisation, alignment with partner countries priorities and systems and increased use of programme support modalities affect evaluation practice. It can be foreseen that embassies will be more involved in evaluation work. UTV will continue to explore how best to adapt its own outreach activities to current changes.

6 Information and Documentation

All Sida evaluations are registered and published by UTV. Since the second half of 1995, 328 evaluation reports have been published in *Sida Evaluations*. During the same period, 28 studies have appeared in *Sida Evaluation Studies*, a separate series of studies dealing with evaluation methodology. Since 1998, the reports from both series are available on Sida's website as well as in print.

Presentations of select reports from these series, as well as studies produced in co-operation with other organizations, appear in *Sida Evaluation Newsletter*. Hardcopy circulation of the newsletter is 2 200. The publication is also available at Sida's website. 32 issues have been published since it was introduced in mid 1996. 2–3 issues will be published during 2005.

UTV has an overall responsibility for Sida's organizational memory in the field of evaluation. Through UTV Sida's evaluations have been registered in Sida's library database for the organization since 1995/96. This database includes evaluations produced within the Swedish aid administration from 1985 onwards. About 2 100 evaluations are registered in the database which is accessible on-line to Sida's staff and to outside visitors at the library in Sida's Information Centre.

The evaluations registered in the database are also available in UTV's evaluation reference library, in addition to a large number of other Swedish and foreign evaluations published since 1990. UTV is currently updating the system and structure of the reference library.

7 Internal UTV Projects

In addition to the evaluation activities presented above, the department will engage in four internal projects. These projects are also listed in *Annex 1*.

- ☐ Training program in facilitation
- ☐ Enhancing UTV communication
- ☐ UTV seminars
- ☐ Review of Sida's evaluation policy

During recent years a much discussed topic in evaluation theory has been evaluation as a form of participatory learning process. Within such processes the evaluator becomes a facilitator of change rather than a scrutiniser or judge. UTV has identified a need to learn more about facilitating and participatory approaches and has engaged a consultant who has tailored a training programme for UTV to be carried out in the course of one year. It was launched in 2004 for all evaluators and internal auditors.

As part of its professional development programme UTV will also organize a series of mini-seminars on evaluation themes open to the evaluation network at Sida.

In 2005 UTV will continue the implementation of its communication plan. Activities that are planned within this framework include the mentioned training programme on facilitation, marketing of the UTV evaluation manual, enhanced communication with embassies, greater visibility of UTV projects on Sida's website and an elaboration and implementation of communication plans for all audits and evaluations.

The implementation of the Government Policy on Global Development justifies a review of Sida's evaluation policy. An additional impetus for this review is provided by the creation of a new independent institute for the evaluation of Swedish international development co-operation scheduled to be started in 2005. The review of Sida's evaluation policy will involve some preliminary work in 2005, the main part of the work to be carried out in 2006.

Annex 1

Summary of UTV's Activity Plan 2005:

A. Evaluations and studies

Theme	No	Project	Start	End	UTV activities 2005	Comments	Programme officer	Consultant
Knowledge management								
	1	Experiences from Country Evaluations	04	05	Finalised 2005 Sida seminar	Desk study	SD	
	2	Quality of DAC member evaluations	04	05	Participation in evaluation process, degree of activity to be determined	Joint study. AusAID lead agency	EL / SM	
	3	Management Response	03	05	Finalised 2005		JM	Umeå Centre for Evaluation Research
Policy Implementation								
	4	Evaluation of Sida's HIV/AIDS strategy	03	05	Finalised 2005		FU	HESO, Oslo
	5	Evaluation of the implementation of Sida's policy for sustainable development	05	06	Design, procurement of consultants		FU	
	6	Synthesis of Policies Evaluations	05	06	Starts end of 2005	Desk study	FU	
	7	Health Sector Evaluation	04	06	Preparatory study		FU	
	8	Local Development Projects	05	06	Procurement of consultants Field work	Sweden's part in the Triple C evaluation programme (joint EU evaluation)	FU	

Theme	No	Project	Start	End	UTV activities 2005	Comments	Programme officer	Consultant
Economic Development								
	9	Sida views on Pro-Poor Growth	05	05	Main study		SL	
Institutional Development								
	10	Supporting Institutional Development: Overview & Orientation Phase	04	05	Continue activities: field visits, inter-views, group conversations, interactive seminars, thematic and conceptual paper, written report, final workshop	To be followed by evaluations	GES	
	11	Evaluation 1 of Support for Institutional Development: Topic to be decided	05	07	Initiate evaluation	Topic to be decided	GES	
Democratic Governance and Human Rights								
	12	The Rights Perspective in the Nyanza Roads Projects, Kenya	05	08	Evaluation initiated		JM	
	13	Outcomes of Direct Support to Human Rights	05	07	Evaluation initiated	In cooperation with RELA	JM	
	14	Dialogue on Human Rights	06	06	Preparatory work in 2005		JM	
	15	Programme Theory in Democratic Support	05	06	Design, procurement of consultants		FU	
Peace Building and Humanitarian Assistance								
	16	Evaluation of Sida's Integrated Area Programmes in Bosnia-Herzegovina	03	05	Finalised 2005		JM	Several consultants
	17	Afghanistan Support 2001-2004	04	05	Field work, main study and report 2005	Joint evaluation with five donors. Danida lead agency	SD	Chr. Michelsen Institute
	18	Evaluation on Peace Building and Humanitarian Assistance	05	06	Assess options for possible evaluations (see section 4.4.6)	To be decided	SD	

Theme	No	Project	Start	End	UTV activities 2005	Comments	Programme officer	Consultant
Aid Effectiveness	19	Sida's Response to the tsunami Catastrophe	05	05	Procurement of consultant, main study and report 2005		SD	Crismart
	20	ODA Effectiveness	04	06	Evaluability/feasibility study during 2005	Joint evaluation linked to the DAC evaluation network. Sida lead agency	SM	
	21	Co-ordination-Harmonisation in Zambia	05	06	Participation in steering group.	Joint evaluation within the Nordic Plus group. Dutch Foreign Ministry lead agency	SM	
Aid Modalities	22	General Budget Support	03	06	Field work in seven countries Synthesis report	Joint evaluation with 28 countries and organisations. Sweden member of Management Group and steering committee	SL	Consortium. Lead IDD, Birmingham University
	23	Exit Strategies	03	06	Design and start of main study	Joint evaluation within the Nordic Plus group. Sida lead agency	SM	
	24	IFAD	03	05	Finalised 2005	Joint evaluation with several donors. IFAD lead agency	SM	
	25	Research Co-operation	05	06	Evaluation feasibility assessment		JM	

B. Other projects and activities

No	Project	Start	End	UTV activities 2005	Responsible Officer
	DAC Network on Aid Evaluation	Ongoing		Sweden Chair 2005-06	EL
	EU Heads of Evaluation Services (EUHES)	Ongoing		Participation in network	EL
	Sida's Project Committee (Projektkommittén)	Ongoing		Participation as a member of the Project Committee	JM
	Sida's Assessment Group for Country Strategies	Ongoing		Participation as a member of the Group	SD
	Sida Evaluation Newsletter	Ongoing		2-3 issues produced	JM

C. Internal UTV projects

No	Project	Start	End	UTV activities 2005	Responsible Officer
	Learning program in facilitation	03	05	Training for UTV staff	JM
	Review of Sida's evaluation policy	05	06	Work starts during 2005	EL/SM
	UTV Seminars	Ongoing		Series of seminars; invited keynote speakers	SL/FU

Annex 2

Sida's Evaluation Plan FY 2005

Evaluations to be carried out by Sida's departments

AFRA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	AFRA	Emb-AGO	Gjerdrum	NPA Demining	Angola	decision for continue support	Yes	end of project	No	2004 Dec	Evaluation early 2005
2	AFRA	Lake Victoria Initiative	E Ojiambo	SCC-Life	Kenya	decision on continued support	No	end of project	No	2005 Aug	
3	AFRA	HIV/AIDS team Lusaka	Paul Dover	Project Support Group - HIV/AIDS and mobile populations	South Africa	basis for decision on whether to continue support;	Yes	end of project	No	2005 March	
4	AFRA	HIV/AIDS team Lusaka	Rikard Elfving	IFRC - Home Based Care	South Africa	basis for fine-tuning or modification of evaluated project/programme	Yes	end of project	No	2004 Nov	
5	AFRA	HIV/AIDS team Lusaka	Öyvind Thlis	RATN	South Africa	basis for fine-tuning or modification of evaluated project/programme	Yes	end of project	No	2004 Nov	
6	AFRA	HIV/AIDS team Lusaka	Rikard Elfving	REPSSI	South Africa	basis for fine-tuning or modification of evaluated project/programme	Yes	end of project	No	2004 Sept	
7	AFRA	Emb-NAM	Lars Karlsson	Transport sector reform	Namibia	other use (indicated briefly)	Yes	end of project	No	2005 Febr	
8	AFRA	Emb-SYA	Helen Nordenson	Northern Cape Education, Dep. Of Education	South Africa	other use: Lessons learned	No	end of project	No	2004 Dec	Starting end 2005
9	AFRA	Emb-SYA	Helen Nordenson	Urban Programme in three cities	South Africa	basis for fine-tuning or modifications/lessons learned	No	end of project	No	2002 Febr	
10	AFRA	Emb-SYA	A. Ljung, L. Fredriksson	Tax cooperation SARS Swedish Tax Agency	South Africa	basis for decision on whether to continue support;	No	end of project	No		Starting March 2005
11	AFRA	Emb-TAN	Erik Wallin	HESAWA	Tanzania	other use (indicated briefly)	Yes	end of project	Yes	2005 Apr	
12	AFRA	Emb-TAN	Eliah Mwakagali	TRA	Tanzania	basis for development of country strategy	Yes	end of project	Yes		
13	AFRA	Emb-TAN	Hans Persson, Kerstin Rosencrantz	Teacher Education	Tanzania	basis for policy development	Yes	end of project	No	2003 June	From Evaluation Plan 2004

AFRA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Pre-eval	Draft ToR	Comments
14	AFRA	Emb-ZAM	Jernberg	Swedish Strategy of Support to Democratic Governance in Zambia.	Zambia	basis for policy development	No	other timing	No	2004 Aug	
15	AFRA	Embassy Zambia	Pamela Pio	Democratic Governance in Agriculture (LMCF)	Zambia	basis for decision on whether to continue support	No	end of project,	No	2003 June	Carried from 2004
16	AFRA	Emb-ZIM	Alpha Chapendama	Impact Assessment for Culture Sector	Zimbabwe	basis for policy development	Yes	end of phase	No		
17	AFRA	Emb-ZIM	Hazel Chinake	Hiv/Aids Sector Evaluation	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No		
18	AFRA	Emb-ZIM	Izabella Eriksson	Zimbabwe Election Support Network	Zimbabwe	basis for policy development	Yes	end of phase	No		
19	AFRA	Emb-ZIM	Johanna Palmberg	PACT comprehensive church and community HIV/AIDS prevention programme	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	Yes	2004 Nov	
20	AFRA	Embassy Zimbabwe	Hazel Chinake	Population Services Zimbabwe	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	No	end of phase	No	2004 Apr	

ASIEN

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	ASIEN	Bangladesh Embassy	Khaled Ahsan	HPSP (1998 - 2004)	Bangladesh	basis for policy development	Yes	end of project	Yes		To be managed by the World Bank
2	ASIEN	Bangladesh Embassy	Khaled Ahsan	UNFPA - HIV /AIDS	Bangladesh	basis for development of new project/programme	Yes	end of project	No		
3	ASIEN	Bangladesh Embassy	Khaled Ahsan	BRAC - HIV / AIDS	Bangladesh	basis for development of new project/programme	Yes	end of phase	No		
4	ASIEN	Bangladesh Embassy	Monica Malakar	IDEAL Project	Bangladesh	basis for policy development	Yes	end of project	Yes		
5	ASIEN	Embassy Laos	Johan Hallenborg	UNICEF- Convention on the Rights of the Child	Laos	basis for fine-tuning or modification of evaluated project/programme	Yes	end of phase	No		
6	ASIEN	Emb-SRI	Anders Emanuel	Early Childhood Development Eastern University	Sri Lanka	basis for decision on whether to continue support;	Yes	end of project	No	2005 Febr	Save the Children managing the evaluation
7	ASIEN	Emb-SRI	Anders Emanuel	UNICEF's Sri Lanka Programme 2004-2006	Sri Lanka	basis for decision on whether to continue support;	Yes	end of project	Yes	2005 Nov	Joint GOSL-donor evaluation financed separately
8	ASIEN	Emb-SRI		Selected projects in the current portfolio	Sri Lanka	basis for development of country strategy	No		No		
9	ASIEN	Emb-VIE	Anna Runeborg	Health Sector	Vietnam	basis for development of new project/programme	Yes	mid-term	Yes	2005 Jan	
10	ASIEN	Emb-VIE	Mr Ngoc	Comparative study of Quang Tri project and support to MoHA	Vietnam	basis for development of new project/programme	Yes	end of project	No	2004 Febr	
11	ASIEN	Emb-VIE	Mrs Lien	GSO Project Final Phase	Vietnam	basis for decision on whether to continue support;	Yes	end of project	No		
12	ASIEN	Emb-VIE	Mr Ngoc	Economic Policy Research Development	Vietnam	basis for development of new project/programme	Yes	end of project	No		
13	ASIEN	Emb-VIE	Mrs Lien	Rules of origin	Vietnam	basis for policy development	Yes	end of project	No		
14	ASIEN	Emb-VIE	Mrs Lien	VNM SIYB	Vietnam	basis for policy development	Yes	end of project	No		

DESO

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	DESO	DESA	C. Etzell	Promotion of HR in WB/G - support via ICJ-Sweden	Västbanken	basis for policy development	Yes	end of project	No	2005 Sept	
2	DESO	DESA	C-F Birkoff	Sida electoral support	Global	basis for policy development	No	other timing	No	2005 March	Reviews have been done but no formal across the board evaluations
3	DESO	DESA	K Hedlund Thulin	Asian Human Rights Commission	Regionalt/Övrigt Asien	basis for decision on whether to continue support;	Yes	end of phase	No	2004 Jan	Carried over from 2004 plan
4	DESO	DESA	K Hedlund Thulin	Asia Forum on Women Law and Development (APWLD)	Regionalt/Övrigt Asien	basis for decision on whether to continue support;	Yes	end of phase	Yes	2004 March	Previous evaluation, not by Sida, in 2001
5	DESO	DESA	L Fredriksson	Core Support OMCT	Global	basis for fine-tuning or modification of evaluated project/programme	No	mid-term	No	2005 Apr	
6	DESO	DESA	L Fredriksson	Core Support FIDH	Global	basis for fine-tuning or modification of evaluated project/programme	No	mid-term	No	2005 April	
7	DESO	DESA	S Haglund	Core Support COHRE	Global	other use (indicated briefly)	No	mid-term	No	2005 June	
8	DESO	DESA	Mikael Johansson	Electoral Inst of South Africa	Regional Southern Africa	basis for decision on whether to continue support;	No	end of phase	No	2005 Apr	
9	DESO	DESA	S Haglund	Core and Project Support IIDH	Regionalt/Övrigt Latinamerika	basis for development of new project/programme	No	end of project	No	2005 June	
10	DESO	DESA	S Haglund	Defensor del Pueblo Colombia	Colombia	basis for development of new project/programme	Yes	end of phase	No	2004 March	Carried over from 2004 plan
11	DESO	DESA	H Bjuremalin	Cooperation with Parliaments and Parliamentarians	Global	basis for fine-tuning or modification of evaluated project/programme	No	other timing	No	2003 Oct	Carried over from 2004 plan
12	DESO	DESA	S Haglund	FIAN - Effects on HR	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2004 Aug	Carried over from 2004 plan
13	DESO	DESA	S Haglund	Human Rights in El Salvador and the International Support, 12 years after the Peace	El Salvador	other use (indicated briefly)	No	end of project	No	2005 June	Carried over from 2004 plan

DESO

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
15	DESO	DESA	E Ekman/I Armyr	Local democracy, Local development	El Salvador	other use (indicated briefly)	No	end of project	No	2005 June	Carried over from 2004 plan
16	DESO	DESA	M Johansson	Electoral Inst of South Africa	Regional Southern Africa	other use (indicated briefly)	Yes	end of phase	No	2004 July	Carried over from 2004 plan
17	DESO	DESA	S Haglund	FIAN - Effects on HR	Global	basis for development of new project/programme	Yes	mid-term	No	2004 Jan	Carried over from 2004 plan
18	DESO	DESA	I Armyr	Support to PDH Guatemala	Guatemala	basis for fine-tuning or modification of evaluated project/programme	No		No	2004 March	Carried over from 2004 plan
19	DESO	Kultur	K. Olson	Literature/reading in Africa	Regionalt/Övrigt Afrika	basis for policy development	Yes	end of project	No	2004 June	
20	DESO	Kultur	K. Olson	Basketfunding of the African Books Collective (ABC)	Regionalt/Övrigt Afrika	basis for decision on whether to continue support;	Yes	mid-term	Yes	2004 Jan	In co-operation with NORAD and perhaps HIVOS
21	DESO	Kultur	L. Lindh	Libraries support in Central America	Centralamerika	basis for development of new project/programme	Yes	end of phase	No	2004 March	Carried over from 2004 plan
22	DESO	Kultur	A. Löfgren	Fojo Media Support	Västbanken	basis for development of new project/programme	Yes	end of phase	No	2004 March	Carried over from 2004 plan
23	DESO	Kultur	D. Holmertz	Music Crossroads	Regional Southern Africa	basis for development of new project/programme	Yes	end of phase	No	2004 May	Carried over from 2004 plan
24	DESO	Kultur	P. Erichs	Amarc	Global	basis for development of new project/programme	Yes	end of phase	No	2004 March	Carried over from 2004 plan
25	DESO	Kultur	P. Erichs	Environment journalism Makerere Univ.	Region East Africa	basis for development of new project/programme	Yes	end of phase	No	2004 May	Carried over from 2004 plan

EUROPE

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	EUROPE	EECA	Ann-Charlotte Zackrisson	Land Cadastre Project	Moldova	basis for decision on whether to continue support;	No	end of project	No	2005 Jan	
2	EUROPE	SEE	Annlouise Olofsson	Hifab - Bank Privatisation	Bosnien/Herzegovina	other use(lessons learned)	No	ex-post	No	2005 Oct	
4	EUROPE	SEE	Eva Nauckhoff	Kvinna till Kvinna	Western Balkan	basis for decision on whether to continue support;	No	other timing	No	2005 Jan	
5	EUROPE	EECA	Mirja Pettersson	Agricultural	Ukraine	basis for decision on whether to continue support;	No	end of project	No	2005 June	
6	EUROPE	EECA	Peeter Horn	TA-fonds	Baltic States	basis for development of new project/programme	No	other timing	No	2005 Febr	
7	EUROPE	SEE	Per Byman	East West Institute	Western Balkan	basis for decision on whether to continue support;	No	other timing	No	2005 June	
8	EUROPE	EECA	Nina Strandberg	"From Cow to Consumer" Integrated dairy project	Georgien	basis for development of new project/programme	No	other timing	No	2004 Nov	
9	EUROPE	EECA	Elsa Håstad	Women in politics	Russia	basis for fine-tuning or modification of evaluated project/programme	No	other timing	No	2003 Sep	
10	EUROPE	SEE	Peeter Kaaman	Olof Palme Center	Western Balkan	basis for decision on whether to continue support;	No	other timing	No		
11	EUROPE	SEE	Annlouise Olofsson	SMEDC Credit Guarantee Center	Macedonia	basis for decision on whether to continue support;	No	other timing	No		
12	EUROPE	SEE	Peeter Kaaman	Financial management for municipalities in Kosovo	Kosovo	basis for decision on whether to continue support;	No	other timing	No		
13	EUROPE	SEE	Peeter Kaaman	SIPU, decentralisation and local governance in Korca	Albania	basis for decision on whether to continue support;	No	end of project	No		

INEC

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	INEC	UUM	Tomas Nyström	Institutional cooperation Swedish EPA's - NW Russian authorities	Russia	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No		
2	INEC	UUM	Carlos Rivas/ Kurt Granberg	Project Waste Management Sstockholm-Tegucigalpa	Honduras	basis for development of new project/programme	Yes	end of project	Yes	2005 Oct	Previous evaluation/assessment 2003
3	INEC	UUM	Helen Holm	Environment and Sustainable Development Cooperation SEE 2001-2004	Eastern Europe	basis for development of new project/programme	No	other timing	No	2005 June	Evaluations of individual projects undertaken separately
4	INEC	UUM	Anne-Lie Andersson	District Heating	Eastern Europe	basis for development of new project/programme	No	ex-post	Yes		
5	INEC	UUM	Sara Stenhammar	China Solvents - att ersätta ozonnedbrytande lösningsmedel	China	basis for policy development	No	end of project	No	2003 Oct	UNDP carries out the evaluation
6	INEC	UUM	Thomas Melin	Building Issues	Global	basis for development of new project/programme	Yes	end of project	No	2004 Febr	Ongoing
7	INEC	UUM	John-Olov Vinterhav	Kredit för bussleveranser till Dhaka	Bangladesh	basis for decision on whether to continue support;	No	end of project	No	2004 Jan	
8	INEC	UUM	Lars Eklund	Water and wastewater project Daugavils	Latvia	basis for policy development	No	end of project	No		
9	INEC	UUM	Lars Eklund	VA-project Riga	Latvia	basis for policy development	No	end of project	No		
10	INEC	Infra	Göran Haag	Uri Hydropower Project	India	basis for policy development	No	end of project	No	2002 Febr	
11	INEC	Infra	Jan Esseen	Namibia Transmission	Namibia	other use (indicated briefly)	No	end of project	No	2005 June	Project designed so that grant element from concessionary credit paid to fund for rural electrification
12	INEC	MARKNAD	Hans Wettergren	StartSyd/StartÖst	Global	basis for development of new project/programme	Yes	mid-term	No		
13	INEC	MARKNAD	Bo Dan Bergman	Nacobta, Namibia Community Based Tourism Association	Namibia	basis for policy development	Yes	end of project	Yes	2005 June	Impact of job creation and environment protection
14	INEC	KTS	Rolf Folkesson	Road Safety	Global	basis for development of capacity building concept in project planning	No	end of project	No		
15	INEC	KTS	Arne Carlsgård	Air quality management	Thailand	basis for development of new project/programme	No	end of project	No		Final presentation first quarter 2005
16	INEC	UUM	Agneta Danielsson	Urbana program i Sydafrika	Region South Africa	basis for decision on whether to continue support;	No	end of project, completion	No	2003 Apr	

INFO

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	INFO	INFO	Tomas Töm	Annual allocation for information handled by information department	Sweden	basis for policy development	Yes	mid-term	No	2002 May	

NATUR

1	NATUR	LUV	Erik Skoglund	SENSA	Asia	basis for decision on whether to continue support;	No	end of phase	No	2004 Dec	
2	NATUR	Vatten	Zahra Ayadi	CREPA	Region West Africa	basis for development of new project/programme	Yes	end of project	No	2004 Jan	
3	NATUR	Vatten	Anna Liljelund	Ecosanres - SEI	Glob miljöö, naturbruk	basis for decision on whether to continue support;	No	end of phase	No	2004 June	
4	NATUR	LUV	Asa Bjällås	Integrating Natural Resource Capacity in Southeast Asia	Asia	other use (indicated briefly)	No	end of project, completion	No	2004 Jan	

RELA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	RELA	Emb-BOL	Isabel Ascarrunz Rebeca Borda	Unicef. Alphabetisation programme and Support to Water and Sanitation	Bolivia	basis for development of new project/programme	No	ex-post	Yes	2005 May	
2	RELA	Emb-BOL	Rebeca Borda	Project TF24243 (Adm.World Bank)	Bolivia	basis for fine-tuning or modification of evaluated project/programme	No	ex-post	Yes	2005 Jan	Previous evaluation undertaken in 2003.
3	RELA	Emb-COL	E. Hellsten	PCS Project Conselling Service	Colombia	basis for fine-tuning or modification of evaluated project/programme	No	mid-term	No	2005 March	
4	RELA	Emb Guatemala	Frühling	UNDP/UTJ Support to National Cadastre	Guatemala	basis for decision on whether to continue support;	Yes	end of phase	No	2005 June	
5	RELA	Rela	M. Krüger	Diakonia	Latin America	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	Yes	2005 Febr	
6	RELA	Rela	H. Lindqvist	Training in economics for government officials of the Republic of Cuba	Cuba	basis for development of new project/programme	Yes	mid-term	Yes	2003 Dec	
7	RELA	Embassy Honduras	G. Paulsson	INAM	Honduras	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2005 Apr	
8	RELA	Embassy Honduras	Maria Bendel	ACCESO	Honduras	basis for development of new project/programme	No	end of project	No	2005 May	
9	RELA	Embassy Nicaragua	NPO	62000230 Atlantic Coast III	Nicaragua	basis for decision on whether to continue support;	Yes	end of phase	No	2005 Jan	
10	RELA	Embassy Nicaragua	sbergstr	62000240 Police II	Nicaragua	basis for decision on whether to continue support;	Yes	end of phase	No	2005 Jan	
11	RELA	Embassy Nicaragua	sbergstr	62000272 Forum Syd Gender	Nicaragua	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2005 Jan	

SAREC

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	SAREC	UNI	Bertil Wahlund	Research cooperation with Bolivia	Latin America	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2005 Febr	
2	SAREC	UNI	Håkan Marstorp	Research cooperation with Ethiopia	Ethiopia	basis for decision on whether to continue support;	Yes	end of phase	No	2005 Febr	
3	SAREC	HUM	Andres Rivarola	SASNET	Global	basis for decision on whether to continue support;	Yes	end of phase	No	2005 Jan	
4	SAREC	HUM	Andres Rivarola	CLACSO	Latin America	basis for decision on whether to continue support;	Yes	end of phase	Yes	2005 March	

SEKA

1	SEKA	EO	H Mungenast	Education within EO's support	Global	basis for fine-tuning or modification of evaluated project/programme	No	other timing	No	2004 Aug	
2	SEKA	EO	M-L Bruzelius	Utvärdering av Forum Syds verksamhet	Global	other use (indicated briefly)	Yes	other timing	No	2004 Oct	Evaluation started Oct 2004
3	SEKA	EO	K Gough	Utvärdering av PMUs verksamhet	Global	other use (indicated briefly)	Yes	other timing	No	2004 Oct	Evaluation started Nov 2004
4	SEKA	HUM	I Buxton	Utvärdering av prg. för avväpning, demobilisering och integrering (DD&R) m. tonvikt på reintegration	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2005 March	Utvärderingen kommer även ligga till grund för "policy development"
5	SEKA	EO	H Mungenast	"praktikantprogrammet" within EO support	Global	basis for decision on whether to continue support;	No	other timing	No	2005 Sept	Evaluation of the "praktikant"-part of EO's appropriation
6	SEKA	EO	Y Lindström	Utvärdering av Afrikagruppernas verksamhet	Global	other use (indicated briefly)	No	other timing	No		
7	SEKA	EO	M-L Bruzelius	Utvärdering av pilotfasen av utbytesverksamheten	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2004 Aug	



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