

Annual Report of Sida Evaluation Activities 2006



SWEDISH INTERNATIONAL DEVELOPMENT
COOPERATION AGENCY

Department for Evaluation and Internal Audit

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1 Introduction

The Department for Evaluation and Internal Audit (UTV) reports annually to Sida's Board of Directors on the progress of its own evaluation activities and the evaluations undertaken by other Sida departments and the Swedish embassies in the field of development co-operation. Sida's internal audit function that is part of UTV produces a separate annual report.

The present report is mainly a follow-up of progress made in relation to Sida Evaluation Plan for the year 2006. It contains information about evaluation projects, support to Sida departments and to embassies, dissemination and communication, documentation, external networking and development projects within UTV. Details about these various activities are presented in appendices.

2 UTV Evaluation Activities

In 2006 UTV's evaluation activities were characterized by strong international involvement in the context of the DAC Network on Development Evaluation, the EU and cooperation within the so called NordicPlus group. UTV was an active member of and took the lead in several joint evaluation initiatives. Three of these joint international studies were finalized during the year (for details see 2.2 below and Appendix B).

In addition UTV staff participated in and contributed to a number of conferences dealing with development evaluation.

UTV finished several studies related to the Swedish development cooperation. An assessment of Swedish research cooperation was carried out by UTV on behalf of Sida as requested by the Swedish Ministry of Foreign Affairs.

In addition UTV finished an evaluation on how Sida implements its policy on integrating the environment, thus joining a string of evaluations dealing with Sida policies and the process of their implementation.

A learning exercise on institutional development was undertaken in Laos within the context of the evaluation theme on support to institutional development. This exercise constituted part of the pre-evaluation work dealing with the evaluation theme concerning support to institutional development.

Sida has instituted a formal mechanism for follow-up of its evaluations, a management response system. An assessment of the logic and workings of this system was finalized during the year.

UTV staff also organized and participated in a variety of local and international seminars.

In addition to the various evaluation projects UTV provided support to Sida in a variety of ways, through presence at meetings with Sida's management board, contributing to the central project committee and the advisory group on country strategies, as well as on several other permanent and ad hoc groupings notably the task force on results based management.

UTV carried out a pilot project to explore the basis and the modalities for developing its advisory role. In addition UTV explored how to enhance its communication activities.

2.1 UTV Evaluation Projects

The evaluation plan for 2006 comprised 19 evaluation projects. Five evaluations and one study in evaluation (Sida's management response system) were finalised in 2006. Three of the evaluation projects were so called joint evaluations (carried out in co-operation with other development partners). In addition four working papers were published with interim results in connection with ongoing evaluation projects.

Refer to *Appendix A* for a follow-up of the evaluation plan, *Appendix B* for a presentation of evaluations concluded by UTV and *Appendix C* for a presentation of all documents published in the Sida Evaluation Series 2006 (published by Sida Departments as well as UTV).

2.2 International Engagements

In 2006 UTV was involved in a number of joint evaluations with other donors. In addition UTV has participated in a several international events (see also appendix D). The following evaluation projects required major investments of time and resources:

- Evaluating the impact of total official development assistance (*Total ODA*). Sweden through Sida/UTV, along with Denmark and the Netherlands, has taken a lead role in this initiative which is carried out within the framework of the DAC Network on Development Evaluation. In October this year representatives of several donor organizations came together in Stockholm to identify partner countries that might be interested in joining the projects. Five countries in Africa were identified. The process of negotiating with these countries is expected to continue during the first half of 2007. At the same time, the World Bank, the Asian Development Bank, Japan and the UK will explore the possibility of undertaking a similar study in Bangladesh. Along with several other countries, Sweden has been invited to join this case study in its second phase. The total ODA evaluation project has been set up in response to a widely felt need for evaluation models that are commensurate with current international efforts to align development assistance with partner country development strategies. The first of its kind, the total ODA evaluation is highly experimental.
- During 2006 UTV has been an active member of international evaluation networks and involved in several joint evaluation activities. UTV has participated in the OECD/DAC Network on Development Evaluation, the group with EU Heads of Evaluation Services (EUHES), and the so-called NordicPlus initiative for co-ordination and joint planning of evaluations (Denmark, Finland, Ireland, the Netherlands, Norway, Sweden, and the United Kingdom). During the year the Director of UTV was Chair of the DAC Network on Development Evaluation but stepped down at the network meeting in November 2006. Along with Sida's Humanitarian Division, UTV also participates in ALNAP, the Active Learning Network for Accountability and Performance in Humanitarian Action.
- *The Joint Evaluation of the Triple C*. Initiated by the EU Heads of Evaluation Services (EUHES), this is an evaluation programme of the implementation of the Maastricht Treaty principles of co-ordination, complementarity, and coherence in EU development co-operation activities. During the past year UTV chaired the task force for the evaluation and also provided secretarial support for the initiative. UTV carried out a field-based study of how the Maastricht principles work in support for local development. Several studies carried out by other participants in the task force were completed during the year. A dissemination seminar was organised in Brussels on June 19 dealing with three studies. More information on this initiative can be found on the following web-site: <http://www.three-cs.net/>
- *Joint Evaluation of General Budget Support*. Initiated by DFID, the Department for International Development of the United Kingdom, this is a major assessment of general budget support based on

seven country case studies. This major evaluation initiative involved almost thirty donor and partner countries and a number of international agencies. UTV was a member of the Steering Committee. The results of this evaluation were made public at a major launching event on May 9th in Paris under the auspices of the DAC Network on Development Evaluation. The evaluation was also presented at several seminars in Stockholm. The evaluation reports are available on the OECD/DAC website. (http://www.oecd.org/document/51/0,2340,en_21571361_34047972_36556979_1_1_1,00.html)

- *Tsunami Evaluation Coalition (TEC)* UTV has actively participated in a joint initiative to evaluate the response and results of the support to the tsunami catastrophe. UTV has been responsible for an evaluation of the link between relief, reconstruction and development (LRRD). All studies were finalized in 2006 and presented at various international events.
- *Exit strategies.* Within the context of evaluation collaboration within the so called NordicPlus group UTV is leading this evaluation that will assess strategies for the phasing out and ending of development assistance at country, sector and programme levels. Five case study countries have been selected, final terms of reference have been agreed on and an international bidding procedure was launched in 2006.

2.3 Communication and Dissemination

Evaluations have been discussed at workshops and seminars at various stages of the evaluation processes. Interested parties, both within and outside Sida, have been given an opportunity to discuss and comment on the design, the findings and the conclusions and recommendations of UTV evaluations. In 2006 UTV hosted a number of major seminars and workshops in connection with its evaluations. See also *Appendix D* for a list of the workshops and seminars.

The focus on communication and dissemination of evaluation results was enhanced in 2006. A preparatory work was launched, leading up to a number of activities that are now under implementation. These include a new external and internal website, launching of a series of seminars about thematic evaluation conclusions and the preparation of various training programs in evaluation.

2.4 Support to Sida's Departments and Field Offices

Each evaluation officer at UTV is responsible for providing support to two or more Sida departments and is hence being requested from time to time to give his or her best advice in matters related to evaluations carried out by the other departments and embassies. DESO, SEKA, NATUR, RELA, POM, along with several embassies, were some of the departments receiving UTV support.

The UTV support covers the entire evaluation project cycle, from the initial stages of planning, writing terms of reference and recruitment of external evaluators to the final assessment of the evaluation report and the formulation of a management response. As noted elsewhere in this annual report, UTV also takes responsibility for the publication of the completed evaluation reports and feeds them into Sida's evaluation data base. Where Sida is a stakeholder in evaluations conducted by other organisations and agencies, UTV offers its support to those who are responsible for managing Sida's involvement in the evaluation. It also gives advice on broader issues of results and results based management.

UTV also has participated in a number of agency-wide working groups and networks. UTV is represented in the POM-Forum (an advisory network formed by the Department of Policy and Methods – POM), the Assessment Group for Cooperation Strategies, the Steering Group for Sida Studies, Sida's Task Force on results based management, the EU and DAC networks.

In all these fora UTV's principal task is to provide Sida's management and staff with support in working out the practical implications of a results based perspective. A renewed program for support to the departments and embassies was launched in 2006.

UTV is also an ex officio member of Sida's Project Committee. The Project Committee is a quality assurance mechanism that examines project assessments of Sida contributions exceeding SEK 50 million. It gives advice to the Director General prior to decisions on such contributions.

In addition UTV actively assisted Sida departments to carry out some evaluations. For example, the evaluation "Reaching Poor People with Services in Sexual and Reproductive Health – An Evaluation of the IPPF" was carried out with active participation by UTV. UTV joined the evaluation team in the preparatory work as well as in the field work in Uganda.

A training seminar for NPOs was offered to visiting NPOs as an afternoon seminar on evaluation methodology. Judging from the course evaluation the response was overwhelmingly positive.

2.5 Internal Projects

The internal projects *Enhanced Focus on Dissemination and Communication* and *Scaling up of Advisory Services* have resulted in a tentative plan for training and more active consultation services available for Sida staff at headquarters and embassies. The projects will be further developed in 2007.

A number of internal seminars dealing with evaluation quality and evaluation methods were held during the year.

The review of Sida's evaluation policy has been re-scheduled to 2007.

2.6 Documentation

UTV publishes all Sida evaluations in a series of publications and makes sure that they are readily available in hard copies and conveniently available for interested partners to download from Sida's home page.

Apart from this publishing and distribution activity, UTV maintains a data base on Sida evaluations and a minor reference library of Sida evaluations and international literature on evaluation in general.

The number of publications in the past three years is detailed in the table below.

Table 1. Number of publications 2004–2006

	Sida Evaluations	Sida Studies in Evaluation	Sida Evaluation Newsletter	Working Papers
2006	58*****	1	-	4
2005	32**	2***	3	4****
2004	38*	2	2	4

* 40 published, of which two are translations

** 34 published, of which one is a translation from Swedish to English (SE 05/11) and three are UTV evaluations (one of them SE 05/19 is a translation from English to Bosnian-Croatian-Serbian language)

*** 4 published, two are translations, from Swedish to English

**** 5 published, one is a translation from English to Bosnian-Croatian-Serbian language

***** 58 published, five are translations. Three from Swedish to English (SE 06/04, SE 06/57, 06/58), one from Swedish to French (SE 06/07) and one from English to Spanish (SE 06/29). Nine are UTV evaluations and one evaluation is a review (SE 06/21).

3 Available Resources and Utilisation of Funds

In 2006 UTV had 14 regular staff positions, out of which six as evaluation officers. One of the evaluation officers is also the deputy director of UTV. In addition UTV had a librarian responsible for documentation regarding evaluations. UTV had considerable staff turnover in 2006: the controller retired and two officers left UTV for other assignments. Two former staff members returned to UTV on a temporary basis. A consultant carried out an overview of the administrative functions of UTV as the basis for the recruitment of new staff in 2007.

To cover administrative costs, including salaries, UTV as a whole was allocated a budget of SEK 9 198 000. On the account for bilateral development co-operation UTV was allocated SEK 15 000 000. These funds were mainly used for consultancy services, contributions to joint evaluation projects and for costs related to the printing and dissemination of reports.

UTV had a utilisation rate of 100% of available administrative funds in 2006. When it comes to utilisation of available funds on the development account UTV used 89% of its allocation, amounting to a total of SEK 13 388 000. This was more than 1 600 000 higher than the previous year.

Table 2. Budget and expenditure 2003–2006 (thousand SEK)

	Development account		Administrative account	
	Budget	Expenditure	Budget	Expenditure
2006	15 000	13 388 (89%)	9 198	9 206 (100%)
2005	15 000	11 712 (78%)	8 885	8 806 (99%)
2004	15 300	11 295 (74%)	9 025	8 962 (94%)
2003	15 000	7 472 (50%)	9 390	9 248 (98%)

4 Evaluation Activities by Sida's Departments

Sida's sector and regional departments and Swedish embassies with responsibility for development co-operation carry out a significant number of evaluations. UTV is responsible for follow-up of the evaluation plan of the departments and the embassies and for the printing and documentation of the evaluation reports. In 2006, the departments' reporting to UTV has been satisfactory. The following table presents the status of the evaluations which were reported in the Evaluation Plan for 2006.

Table 3. Departmental evaluations in plan 2004–2006 (number of evaluations)

Evaluation projects	2006	2005	2004
Planned	109	114	113
Completed	37	25	28
Active (continue)	21	41	37
Will start later	25	12	20
Cancelled	26	36	28
Total	109	114	113

53% of the evaluations included in the 2006 plan were completed or will continue in 2007. In addition, 7 evaluations that were not specified in the evaluation plan were completed. This may be compared with 5 and 7 in 2005 and 2004 respectively. All evaluations that were reported to UTV as completed have been published in the series "Sida Evaluations".

Table 4. Departmental evaluations, both planned and unplanned, 2004–2006 (number of evaluations)

Evaluation projects	2006	2005	2004
Total of completed and active	65	71	72
Completed	44	30	35
In plan	37	25	28
Not in plan	7	5	7
Active (continue)	21	41	37
In plan	21	41	37
Not in plan	0	0	0

Table 5. Completed and published evaluations by department in 2006

Responsible department	Evaluations
Africa	6
Asia	6
Europe	3
Latin America	7
Co-operation with NGOs and Humanitarian Assistance	1
Democracy and Social development	11
Infrastructure and Economic Co-operation	6
Natural Resources and the Environment	1
Research Co-operation	3
Total	44

The *Sida Evaluation Data Worksheet* is submitted to UTV in connection with the publication of the departments' evaluation reports, and contains basic information about the evaluations. During 2006 the departments submitted worksheets for 44 completed evaluations (100%). Also in 2005 and 2004, the outcome was 100%. The presentation below is based on this information.

The total cost in 2006 for the evaluations where UTV has received information (not all the worksheets contain this information) is estimated to 22 170 000 SEK. The average cost for an evaluation was 533 000SEK.

Table 6. Departmental costs related to evaluations during 2004–2006

	Total cost (for Sida)	Average cost
2006	22 168 638	533 243
2005	15 296 570	509 886
2004	26 494 023	779 236

Table 7. Regional distribution of evaluations 2004–2006 in percent ¹

	Africa	Asia	Latin America	Europe	Global	Middle East
2006	37%(16)	26%(11)	21%(9)	5%(2)	5%(2)	5%(2)
2005	24%(7)	16%(5)	33%(10)	11%(3)	13%(4)	3%(1)
2004	29%(10)	20%(7)	9%(3)	22%(8)	9%(3)	11%(4)

According to the statistics (see table 8), over half of the evaluations concerned bilateral co-operation (52%). Non-governmental channels corresponded to 36%.

Table 8. Channels for the evaluated development co-operation 2004–2006 ¹

	Bilateral	Multilateral	Non-governmental
2006	52%(21)	11%(5)	36%(16)
2005	57%(20)	17%(6)	26%(9)
2004	49%(17)	17%(6)	34%(12)

In 2006 most evaluations dealt with the social sector (see table 9). This has been the case for all three years since 2004.

Table 9. Sector for the evaluated development co-operation 2004–2006 ¹

	Social sector	Economic sector	Infrastructure sector	Public admin	Disaster relief
2006	47%(22)	14%(7)	16%(8)	22%(11)	0%
2005	52%(17)	15%(5)	18%(6)	15%(5)	0%
2004	49%(21)	11%(5)	11%(5)	18%(8)	11%(5)

¹ An evaluation may be classified as belonging to more than one region, channel or sector.

Appendix A UTV Evaluations and Studies – Annual Plan 2006

Aid Effectiveness

1	2006	Continues	Joint Evaluation of Total ODA
2	2006	Continues	Joint evaluation of exit strategies
3	2005	Continues	Experiences of country evaluations
4	2005	Continues	Quality of Sida's evaluations

Aid modalities

5	2003	Completed (joint)	Joint Evaluation of General Budget Support
6	2006	Completed (06/41)	Research Cooperation
7	2006	Continues	Evaluation of Policy Dialogue

Institutional Development

8	2005	Continues	Sida support for institutional development in Laos
9	2005	Reformulated	Institutional development within democratic governance

Policy Evaluations

10	2005	Completed (06/42)	Sida's work with environmentally sustainable development
11	2006	Continues	Synthesis study of Sida's implementation of mainstreaming themes and strategic priorities
12	2006	To be reconsidered	Increased poverty focus in Sida's work
13	2005	Continues	Sida's work in the health sector
14	2006	Continues	Sida's work in the education sector
15	2005	Completed (joint)	European support for local development

Democratic Governance and Human Rights

16	2005	Continues	Program theory evaluation of Sida-supported projects in the area of democracy and human rights
17	2006	Continues	Diakonia's Latin American Programme
18	2006	Discontinued	Evaluation of a rights perspective

Peace Building and Humanitarian Assistance

19	2005	Completed (joint)	LRRD after the Tsunami disaster
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Other Activities

Participation continues	DAC Network on Aid Evaluation
Participation continues	EU Heads of Evaluation Services
Participation continues	Sida's Project Committee
Participation continues	Sida's Assessment Group for Country Strategies

Internal UTV projects

Continues	Scaling up of advisory services
Continues	Enhanced focus on dissemination and communication
Continues	Review of Sida's evaluation policy

Appendix B Evaluations Concluded by UTV in 2006

Review of Sida's Research Cooperation

Sida Evaluation 06/41

SE 06/13, SE 06/17, SE 06/22, SE 06/24, SE 06/27, SE 06/40, SE 06/40:1, SE 06/57

Sida was requested by the Swedish Government to conduct a review of the research cooperation during 2006. The Department for Evaluation and Internal Audit was given the responsibility for the review and has completed five studies covering the organisation of SAREC, the specific program on supporting ICT at universities, and the three main areas of support: bilateral research cooperation, support to international and regional thematic research programs and support to Swedish development research. Sida's research board also commissioned a study of the development research fund. The main conclusions and recommendations of these six studies have been summarised in a synthesis report. The synthesis report together with Sida's response to the main conclusions of the review has been reported to the Government December 2006.

The review confirms Sida's long-term perspective and focus on capacity building. Through this approach Sida has a specific position among the donors, and the review recommends Sida to take a lead agency role and to coordinate the donors supporting research activities. However, it is also clear from the review that the role and position of research cooperation in development cooperation, and its relevance to the overall objective of Swedish development cooperation, should be clarified. It is recommended that a policy is developed. The review also finds that the use of the results of supported research is limited and that questions regarding cooperation with potential users and questions regarding innovation systems should be further prioritised. The review finds it unfortunate that Sida has been put in a monopoly situation in supporting Swedish development research and recommends that other research councils in Sweden increase their involvement.

Integrating the Environment? Environmental Considerations in Sida's Work

Sida Evaluation 06/42

This evaluation started in September 2005. Its focus has been on Sida's implementation of the organisation's own policy for environmentally sustainable development. The consultants have considered a wide range of material: Over a hundred interviews with Sida staff in Stockholm and abroad, visits to six Sida offices and embassies (Albania, Bolivia, Kosovo, Tanzania, Uganda and Vietnam), documentation from over eighty projects, and hundreds of other Sida documents.

The consultant's judgement is fairly critical of Sida's work. Although they establish that Sida does not support projects with a direct and evident negative environmental impact, they also note that the organisation has not managed to live up to its stated goal: that environmental aspects shall be considered in all projects and contributions. Rather, implementation is erratic and often falls short of the policy's intentions.

The consultants list a number of reasons for this. Among them are unclear goals, lack of systems for follow-up and monitoring, and absence of clear signals from management. Of particular negative importance is the sheer number of policies and themes that officers are supposed to consider; the demands on their time appears to be too high for implementation to be effective.

Most of the findings of the evaluation actually repeat what has been said in similar evaluations (i.e. of Sida's work with integrating gender and HIV/AIDS considerations). UTV has therefore developed a short paper which discusses Sida's experiences with such "mainstreaming" approaches and the obstacles encountered in their implementation.

Joint Evaluation: Coordination of European Support for Local Development

As part of the so-called "Triple C-initiative" (to evaluate to the extent to which European donors are coordinating their actions in accordance to the statements of the Maastricht treaty), UTV has led a working group (consisting in Austria, Belgium, France, the Netherlands, Ireland, and Sweden) in the performance of an evaluation concerning coordination of European support for local development. The study consisted in field studies in different regions in Mozambique, South Africa, Nicaragua, and Indonesia, combined with interviews at donor headquarters in Europe.

The evaluation found very little donor coordination around the theme of local development. Even when it existed it lacked proper systems and routines, and typically depended more on personal contacts than on firm systems. Representatives from the partner countries expressed their dissatisfaction with this state of affairs, and the consultants estimated that it resulted in lost opportunities and enhanced administrative costs. Hence, they also proposed a number of measures that could be taken to enhance European coordination. The future course of action, however, will obviously depend much on how donor coordination in general, i.e., beyond the European countries, develops.

Moreover, these dismal results concerning European coordination have been repeated in the other studies that make up the Triple-C initiative. A first set of six evaluations (covering different themes) will be ready during 2007, and on that basis general conclusions and recommendations developed.

Joint Evaluation of the International Response to the Indian Ocean Tsunami

UTV participated in the international joint evaluation of tsunami interventions run by the Tsunami Evaluation Coalition (TEC). UTV was lead agency for one of the TEC special studies; the links between relief, rehabilitation and development – LRRD (see below).

The Synthesis report builds on five special studies – on coordination, capacity building, needs assessment, funding and fund-raising, and LRRD. The TEC finds overall that the tsunami relief interventions worked fairly well and no lives were unnecessarily lost because of shortcomings in the international support. In fact, most of the immediate relief was already carried out (by relatives and neighbors) when the international organisations arrived. However, several of the core functions like coordination and needs assessment performed less well than expected. A major conclusion is that the international humanitarian community must shift focus from disaster relief operations to supporting local and national organisations.

Joint Evaluation – Links between Relief, Rehabilitation and Development in the Tsunami Response: A Synthesis of Initial Findings

The LRRD synthesis report, which builds on two country studies (Sri Lanka and Indonesia), one organisations' policy study and one literature background study, concludes i.a. that links between relief and rehabilitation have indeed been achieved but still greater attention should be paid to longer time development. It also says that LRRD must be firmly rooted in national contexts and processes, including on-going efforts on poverty alleviation, and that much more consideration must be given to reducing risks from natural disaster on both local and national levels. A further important conclusion is

that available information must be directed to the affected population in a faster and more complete manner than so far has been the practice.

Joint Evaluation of General Budget Support 1994–2004

The Joint Evaluation of General Budget Support (GBS) was commissioned by a consortium of donor agencies, including the Department of Evaluation and Internal Audit at Sida, and 7 partner Governments under the auspices of the DAC Network on Development Evaluation. The study was carried out by a consortium of consultants led by the International Development Department, University of Birmingham (IDD).

The purpose of the evaluation was to assess to what extent, and under what circumstances, GBS is relevant, efficient and effective for achieving sustainable impacts on poverty reduction and growth. The evaluation identifies evidence, good practice, lessons learned and recommendations for future policies and operations.

The report is based on 7 country level evaluations (Burkina Faso, Malawi, Mozambique, Nicaragua, Rwanda, Uganda and Vietnam). Fieldwork took place between October–December 2004 and May–July 2005.

The evaluation concludes, among other things, that budget support in several, but not all, studied partner countries has led to improvements in the public financial management and the budget processes. This is likely to contribute to a more efficient public spending. The evaluation was not able to study the effect on income poverty since the instrument in question has only been in place for a few years.

The evaluation also presents number of lessons learned and recommendations, including the need to pay more attention to growth related issues, to increase the long term predictability of the support and to improve the analysis of political risks.

Sida's Management Response System – Sida Studies in Evaluation 06/01

This evaluation study assessed the management response system in use at Sida since 1999. The system requires that a formal response and action plan be adopted for all evaluations carried out by Sida, i.e. both the evaluations carried out by UTV and those carried out by Sida's departments and field offices. The evaluators inquired into the logic of the system and its implementation and assessed its contribution to organizational learning at Sida.

The evaluation concludes that although the existence of the system is a positive factor the implementation has been uneven and that the expectations of the system to contribute to learning have not materialized. In addition the evaluation found the quality wanting in several of the evaluations.

The study delineates three options for the future: 1. status quo; 2. a modified system more geared to reflection and learning; 3. a system that involves Sida's partners. In addition the evaluators propose that the option be given to abstain from engaging in an MR-process.

Appendix C

Documents Published in Sida Evaluation Series 2006

Sida Evaluation 2006

- 06/01 Apoyo Sueco a la Policía Nacional de Nicaragua, 2002–2005**
Raúl Fajardo, Eva Sacasa Gurdíán, Alba Lila Gaitán Jara, Róger Cruz
Department for Latin America
http://www.sida.se/shared/jsp/download.jsp?f=SIDA24365es_UTV_06-01.pdf&a=19365
- 06/02 Health Cooperation at the Crossroads: More of the same – or making a difference**
Vietnam – Sweden Health Cooperation on Health Policy and Systems Development 2001–2005
Jan Valdelin, Dao Thanh Huyen, Gunilla Krantz
Department for Asia
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-02_SIDA24653en_web.pdf&a=19653
- 06/03 Sida Support to the Afrobarometer Network**
Raymond Gervais, Camille Tremblay, Anthony Kwaku Batse, Tabitha Mulyampiti, Franck Yelles
Department for Democracy and Social Development
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-03_SIDA26107en_low.pdf&a=21107
- 06/04 Partnership Evaluation of PMU-Interlife**
Anders Ingelstam, Håkan Jarskog, Cecilia Karlstedt, Lennart Peck
Department for Cooperation with Non-Governmental Organisations, Humanitarian Assistance and Conflict Management
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-04_SIDA21346en.pdf&a=16346
- 06/05 Sida Support to Health Economics Capacity in Sub-Saharan Africa through the Health Economics Unit, University of Cape Town**
Bo Erlandsson, Veronica Gunnarsson
Department for Democracy and Social Development
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-05_SIDA26401en_low.pdf&a=21401
- 06/06 Institutional Capacity Building of Health Economics in Zambia**
The Purchaser-Provider Model and Institutional Collaboration in Zambia
Bo Erlandsson, Veronica Gunnarsson
Department for Democracy and Social Development
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-06_SIDA26403en_low.pdf&a=21403
- 06/07 Evaluation du Partenariat PMU-Interlife**
Anders Ingelstam, Håkan Jarskog, Cecilia Karlstedt, Lennart Peck
Department for Cooperation with Non-Governmental Organisations, Humanitarian Assistance and Conflict Management
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-07_SIDA21346fr.pdf&a=16346
- 06/08 Evaluación del proyecto vivienda para familias afectadas por los terremotos en El Salvador**
Eberto de León, Julio Urias, Carlos Rodriguez, Ernesto Barraza
Department for Latin America
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=23804&searchWords=06/08>
- 06/09 Pangani Falls Re-development Project in Tanzania**
Roger Andersson, Fritz Wänseth, Melinda Cuellar, Ulrike von Mitzlaff
Department for Infrastructure and Economic Cooperation
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-09_SIDA28704en_low.pdf&a=23704

- 06/10 African Books Collective
Mid-Term Review 2006**
Nigel Billany, Jane Katjavivi, Ruth Makotsi
Department for Democracy and Social Development
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-10_SIDA28706en.pdf&a=23706
- 06/11 Apoyo Sueco a los Esfuerzos Guatemaltecos de Establecer
un Sistema Nacional Catastral, 1997–2005**
Thomas Alveteg, Benito Morales
Department for Latin America
http://www.sida.se/shared/jsp/download.jsp?f=Utv06-11_SIDA29118es.pdf&a=24118
- 06/12 Building Research Capacity in Bolivian Universities**
Erik W. Thulstrup, Manuel Muñoz, Jean-Jacques Decoster
Department for Research Cooperation
http://www.sida.se/shared/jsp/download.jsp?f=SIDA29456en_Utv06-12_low.pdf&a=24456
- 06/13 Evaluation of Sida Information &
Communications Technologies Support to Universities**
Alan Greenberg
Department for Evaluation and Internal Audit
http://www.sida.se/shared/jsp/download.jsp?f=SIDA30086en_Utv06-13.pdf&a=25086
- 06/14 Impact, Outputs and Effects from Sida-funded Air Quality Management Projects
in Thailand and the Philippines**
Jon Bower, Steinar Larssen, Bjarne Sivertsen
Department for Infrastructure and Economic Cooperation
http://www.sida.se/shared/jsp/download.jsp?f=SIDA30298en_Utv06-14_web.pdf&a=25298
- 06/15 Sida's StartEast and StartSouth Programmes**
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Robert N. LeBlanc and Paul Beaulieu

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Appendix D Major Seminars and Workshops in 2006

- A two day workshop for all consultancy teams engaged in the LRRD evaluation (Links between Relief, Rehabilitation and Development in the tsunami response) was held in Sigtuna 26–27 January 2006. The purpose was to discuss and consolidate findings and conclusions from the LRRD evaluation and its four parts.
- The international *Tsunami Evaluation Coalition* (TEC) held a series of workshops with stakeholders at various levels in affected countries in South East Asia at the end of April 2006 in order to present preliminary findings and receive feed-back. UTV participated in four workshops in Sri Lanka and Indonesia and presented the LRRD evaluation.
- The complexity of political phenomena and the diffuse nature of results in the area make support for democracy notoriously difficult to evaluate. In an effort to contribute to the field, UTV together with International IDEA arranged a one-day international conference titled “*Methods and Experiences of Support to Democracy*” in April 2006. Its aim was to present actual experiences of evaluating democracy programmes, and on this basis begin to map advances and challenges in the field. Presentations had been selected through an open call for papers, and aimed at applying a broad geographic, methodological and thematic perspective. Speakers at the conference came from Argentina, Botswana, India, Russia, Canada, Denmark, United States, United Kingdom and Sweden, and included aid practitioners, evaluators, and academics. The success of the conference has led UTV and IDEA to plan for a publication bringing together the papers presented along with some additionally commissioned papers by experts in the area. This volume will be ready in April 2007.
- In February 2006, UTV was invited to make a presentation at an international conference on *support for the human rights ombudsman*, hosted by the Spanish government. In preparation of this, a study was made of Sida’s experiences with this particular support by way of gathering of statistical information, compilation of existing material, and additional interviews with Sida staff charged with such support. The resulting paper (“La Experiencia Sueca de Apoyo a los Ombudsmen”) was distributed through Sida’s Department for Latin America, and has been printed as a chapter in a recently published volume: “Las Defensorías del Pueblo: Un puente entre la ciudadanía y el estado en América Latina” (eds: Manuel Guedán and María Iráizoz, Trama Editorial, Madrid 2006).”
- UTV carried out a principal presentation at a workshop organised by Sida/POM in March 2006, Stockholm, on the subject “Capacity Development in a Changing Landscape of Development Cooperation”.
- UTV participated in a panel on the use of evaluations during the Second Conference by the Swedish Evaluation Society in April.

A number of seminars were held in connection with the *Joint Evaluation on General Budget Support*. These included:

- Workshop with “GBS policy makers” from Sida, Ministry of Foreign Affairs and Ministry of Finance.
- Presentation to Sida’s Board of Directors and Management Board
- Presentation for media
- Presentation to Sida staff with the participation of the team leader of the evaluation

- Discussion day on budget support, with the participation of two of the team members of the evaluation. Jointly arranged with Föreningen för utvecklingsfrågor.
- A number of seminars on more specific issues, for more specific audiences (e.g. education and health staff from the field, staff working with PFM-related issues, and staff working with Mozambique and Malawi). Two of the evaluation team members participated.
- In addition to this Sida participated in a number of related activities at the international level

A series of workshops were organised in relation to *Lao learning exercise on institutional development* by UTV:

- 2-day Workshop (I) in June in Vientiane for Lao partners and consultants in Sida-supported projects: Exchange of experiences, reflection and dialogue and drawing lessons and implications for supporting institutional development in Laos.
- 1.5 day Workshop (II) in June in Vientiane for Sida staff from the Embassy, Sida Stockholm and one international NGO in Laos: Inputs from previous workshop and conversations with Lao policy makers as well as exchange of experiences, reflection and dialogue, drawing own lessons and implications for supporting institutional development in Laos
- 0.5 day Workshop (III) in September in Vientiane for Embassy staff: Continued sense making from previous workshop and working out action points
- 0.5 day Workshop (VI) in September in Vientiane, jointly for Embassy staff and Lao project partners and consultants: Working out joint action points and ways forward
- *EES European Evaluation Society*, Oct. 4–6. UTV participated in a panel organised by the DAC Network on Development Evaluation. The panel addressed the challenges for development evaluation in the light of the changing architecture and processes of development cooperation.
- SECO organized a discussion on General Budget Support on June 29 in Bern, Switzerland. UTV presented recent Swedish experiences of General Budget Support (GBS) and highlights from the joint GBS evaluation.
- Evaluating *Global and Regional Partnership Programs* (GRPP) – UTV and Sarec participated in a workshop to discuss draft guidelines for the evaluation of global and regional programs on Sept 28. The workshop had attracted participants from a wide range of stakeholders, bilateral donors, NGO's, global foundations, the UN and IFT's. The discussions at the workshop and at a subsequent meeting with the DAC Evaluation Network provided inputs to the production of a sourcebook as guidance for evaluations of GRPPs. (Available on the website of the Independent Evaluation Group of the World Bank.)
- The challenges of development evaluation were discussed at a workshop organized jointly by the DAC Network on Development Evaluation and the Institute of Development Studies at the University of Sussex on Oct 2–3. UTV participated in one of the sessions at this seminar that attracted a multifaceted audience from partner countries, donor organisations and academic circles.
- An initiative by the Centre for Global Development (CGD) has spurred interest in assessing the impact of development interventions and programs. A workshop on this theme was organised on November 15 under the auspices of the DAC network on development evaluation. The purpose of the meeting was to define the challenges and to trace the course for a joint initiative of the three evaluation networks, the DAC Network on Development Evaluation, the United Nations Evaluation Group (UNEG) and the Evaluation Cooperation Group (ECG) of the international financial institu-

tions. UTV has been active in these discussions during the past years and will continue to be an active member of this joint initiative.

- The Director of UTV has been a member of the advisory group linked to the evaluation function at the Council of Europe Bank (CEB).



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