

Sida's Evaluation Plan 2007



Sida

SWEDISH INTERNATIONAL DEVELOPMENT
COOPERATION AGENCY

Department for Evaluation and Internal Audit

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1 Evaluation in a Changing Environment

Evaluation has by tradition constituted an integral and important part of Swedish development co-operation with the overall objective to contribute to increased development effectiveness. Sida's evaluation activities are directed to strengthen learning and performance among the actors involved in Swedish development co-operation and to provide information to the general public and government bodies about the results of Swedish development co-operation through Sida.

In recent years the focus on harmonisation and alignment and an increased emphasis on accountability for results have been highlighted both internationally and in Sweden.

The Paris Declaration and the international aid agenda, the Swedish policy for global development, and increased levels of funding for Swedish development co-operation pose significant challenges for Sida in the years to come. As a response Sida's Director General has decided on the following strategic priorities for 2007: A focus on results for poor men, women and children, aid effectiveness and making Sida an efficient, and effective, organisation.

Broadly speaking the activities of UTV all contribute to enhance results orientation in Sida's work by providing insights and lessons learned that can be incorporated into the activities of the organisation. Moreover several of the evaluation activities planned for the coming three-year period will deal with the strategic priorities, most notably with issues related to aid effectiveness.

As a response to calls for increased development effectiveness and results the international evaluation community is being challenged to produce more evidence of impact of development programs, particularly in the social sectors (see for example the report "The Evaluation Gap" presented by the Centre for Global Development). UTV takes part in international discussions on approaches to impact evaluations and their best use. Several of the evaluations in this plan are concerned with impact at various levels, and many will explore new approaches and methodologies.

Another prominent trend within the international donor community is the increased importance of strong field organisations in order to support partner country ownership and increase the effectiveness of aid. This is also the case for Sida and has led to more resources and authority being transferred to the Swedish embassies. As an effect, an increasing number of evaluations are now being commissioned in the field. At the same time the role of donor initiated evaluations is changing as new monitoring and evaluation mechanisms tied to sector and general budget support are gaining in importance.

The Swedish Agency for Development Evaluation (SADEV) was established as of January 1, 2006 and will be fully operational by the end of 2008. The activities of SADEV are expected to complement those already undertaken by Sida, including UTV. Discussions about mechanisms for co-ordination and exchange have been started and will continue.

2 Sida's Evaluation System

Sida's evaluation system has two constituent parts:

1. Sida's operative departments and the Swedish embassies are responsible for commissioning the evaluations needed and stipulated in agreements with its co-operation partners and 2. the Secretariat for Evaluation and Internal Audit (UTV) that is mandated to carry out comprehensive evaluations of strategic significance for Swedish development co-operation. UTV is an independent department reporting to Sida's Board of Directors that also decides on UTV's evaluation plan and the plan for the Internal Audit, also part of UTV. The evaluation reports produced by UTV are presented to Sida's Director General who also decides on UTV's budget allocation.

An overview of UTV's evaluation plan and the evaluation plans of Sida's operative departments and Swedish embassies in partner countries are presented in *Appendices 1* and *2* respectively. For a more detailed presentation of the goals, organisation and working methods of Sida's evaluation system, the reader is referred to Sida's *Evaluation Policy* approved by Sida's Board of Directors in September 1999.

2.1 UTV

All development co-operation funded or co-funded by Sida, as well as Sida's organisation, may be examined by UTV. As a rule, UTV evaluations do not focus on individual projects, unless they involve issues of broader interest to Swedish development co-operation. In order to determine whether an intervention or set of interventions shall be evaluated by UTV or not, the following criteria are applied: the policy relevance, financial importance, innovativeness, and potential replicability of the activities in question, their evaluability, and the potential usefulness and cost of the evaluation itself to Sida and other stakeholders.

UTV conducts evaluations on its own, or in co-operation with partner countries and/or other donor organisations. Although the subject matter and object of the evaluations are decided by Sida's Board of Directors, UTV is responsible for managing their implementation. The actual evaluation work is normally carried out by independent external consultants.

UTV is also responsible for dissemination and information sharing of evaluation results (see chapter 3.4). It also gives advisory support to the evaluation activities of Sida's operative departments and Swedish embassies in partner countries (see chapter 3.3). The advisory tasks include contributing to Sida's Management Board, central Project Committee, in agency wide development projects such as the ongoing project on strengthening the results orientation in the work of the agency.

The overall goal of UTV is to contribute to increased development effectiveness. In order to achieve this UTV is strongly dependent on establishing and maintaining close relations with the rest of Sida, the Swedish embassies and other actors in the international donor community. It has become increasingly important for Sida and UTV to be involved in the international development evaluation community for standards and joint work. At the same time UTV will ensure the usefulness and impact of its work by maintaining close links to the operative departments at Sida and the Swedish embassies.

All Sida evaluations are meant to be registered and published by UTV. Evaluation reports and studies are published in *Sida Evaluations*, *Sida Studies in Evaluation* or as *UTV Working Papers*. Presentations of selected reports from these series appear in *Sida Evaluation Newsletter*. Internal Audit reports are published in a separate series.

Sida evaluations are available through the general Sida Publications Database, accessible both to Sida staff and to other users. Sida evaluations have also been registered in Sida's library database, Mikromarc, since 1995.

3 UTV work programme 2007–2009

3.1 Resources

In 2006 an overview was made of the organisation of UTV. Due to retirement and transfer of staff UTV will be recruiting four new staff members in 2007. UTV expects to have 10 professional staff, including the director and deputy director, three internal auditors, and four staff members working with administrative tasks.

The total budget allocation for administration, including staff, will constitute approximately SEK 9 000 million for 2007. SEK 11 million has been allocated to UTV from the account for bilateral development co-operation to be used mainly for procurement of external services for evaluation and internal audit in 2007.

3.2 Directions and Priorities for 2007–2009

In view of the changes outlined in the introduction above, UTV intends to enhance its advisory services to Sida's operative departments and the Swedish embassies in partner countries. More importance will also be given to communications work. In view of the changes in development co-operation a review of Sida's Evaluation Policy will be carried out in 2007.

For the period 2007–09 the following are key priorities:

1. *Advisory services.* One of the priorities is to enhance the capacity of Sida staff to use evaluation effectively in the context of an increased focus on results. UTV will develop a strategy and a program for advisory services to Sida's departments and embassies in response to felt demand from Sida staff and the strategic needs of Sida as a whole. Additional resources will be targeted to this area of work (Chapter 3.3).
2. *Dissemination and communication.* Dissemination and communication of evaluation findings is an important part of UTV's work. However, UTV will adopt a strategic and selective approach to this issue within the framework of a communication plan developed for 2007 which will contain several campaign and dissemination activities. The plan for 2007 also holds a number of projects with follow-up of work concluded in 2006. UTV will also be responsible for compiling the requested report to the government on results and actions from Sida's evaluations and internal audits in 2006. (Chapter 3.4).
3. *Joint Evaluations.* Evaluations conducted jointly by Sida and other donor organisations and/or partner countries are expected to increase in number in response to current harmonisation and alignment efforts. Joint evaluations will be increasingly important as vehicles for joint learning and accountability. This trend is likely to influence evaluation planning both in Sida's operative departments and in UTV in years to come. UTV will continue to build on current institutional involvements in the OECD/DAC Network on Development Evaluation and the EU as well as in the Nordic Plus evaluation group. In 2007 UTV will be in the lead for three initiatives: An exploratory project on how to evaluate the development impact of total ODA, a joint evaluation of exit management and a follow-up of the LRRD component in the evaluation programme of the Tsunami Evaluation Coalition (Chapter 3.5).

4. *Sida focused evaluations.* Given the emphasis on advisory and dissemination activities and the continued engagement in joint evaluations the total number of Sida focused evaluations will decrease. It is expected that no more than 3–4 such evaluations will be completed each year. These evaluations will cover a broad range from evaluations of policies and processes to evaluations concerned with the way Sida interacts with other donors and partner countries. UTV will focus on strategic policy areas for Sida and explore the demand for a more standardised evaluation format. Increasingly UTV will focus its evaluations and methods development on ways to assess impacts for the intended beneficiaries of Swedish development co-operation

UTV has recognised that it is necessary to adopt a *participatory approach* to evaluations in order to enhance evaluation impact. In practice this means that relevant stakeholders are involved at different stages of the evaluation process. Typically there will be a consultation process regarding the Terms of Reference, stakeholders are invited to comment on the work of the consultants at various stages and open seminars are arranged for discussion of evaluation findings.

3.3 Training and Advisory Services

The changes taking place in the international aid community as well as within Swedish development co-operation put new demands on monitoring and evaluation within the donor organisations, particularly on the field offices. In order to enhance Sida's capacity in this area UTV will reorient and increase its advisory activities during 2007–2009.

A pilot project was carried out in 2006 in order to explore new methods for this line of work. A review of evaluations produced by Sida departments has also been commissioned as a basis for a more strategic approach to advisory work. UTV's advisory services are intended to improve the use of the evaluation tool both for learning and for accountability. This involves raising the quality of evaluations and strengthening the knowledge and competence of Sida's programme officers in evaluation management. The advisory work covers the entire evaluation process from programming and planning to dissemination of results and follow-up.

One way of enhancing evaluation skills among Sida staff is the training courses planned together with PEO/LÄR. UTV will also adopt a 'consultative' approach to capacity building and explore more active ways to support operational departments and particularly embassies. Another tool for support is Sida's handbook on evaluation¹, published in 2004, which will be used as a complement to all other advisory services.

In 2007 UTV will enter into support agreements with a number of embassies and HQ departments. Experience suggests that the demand for support is likely to be centred on relatively few projects, where UTV is asked to take a relatively active role in advising on the development of the evaluation, comment on reports, and provide support to the programme officer in charge. Hence, an enhanced advisory service requires more than setting up a system for answering ad hoc questions and providing training.

The concrete methods for advisory support will be developed further during 2007 and an increased share of available staff resources will be deployed for this line of work which includes participation in various fora. The rough equivalent of 2 full time staff positions will be set aside in 2007 for various advisory activities and a strategy will be drafted. One staff member will be tasked with co-ordinating this line of work

¹ *Looking Back, Moving Forward – Sida Evaluation Manual*. Sida 2004. The handbook has been extremely well received internationally and is used as a manual for evaluation or as a complement to their own evaluation handbooks by several organisations.

3.4 Communication and Dissemination of Evaluation Results

Various studies commissioned by UTV show that many of the project and programme evaluations commissioned by Sida are mainly used by staff involved with the evaluated activity. People not directly involved in decision making related to the activity in question are less likely to receive or seek information on findings and recommendations from evaluations. In 2006 UTV explored ways to enhance this line of work. One conclusion is that a comprehensive effort in this area would require more resources and access to systems than presently available to UTV. To promote the use of evaluation results in policy, programme and project formulation it is necessary to adopt a strategic approach to dissemination.

The main purpose of the dissemination activities is to enhance the use and usefulness of evaluations for improving development co-operation activities. The target groups are mainly Sida's Board, management and staff but also the beneficiaries of the evaluated activity, policy makers in Sida's partner countries and professionals working with Swedish development co-operation, including non-governmental organisations and consultancy companies. Other target groups are the Swedish Government and Parliament, other authorities with an interest in Sida's work, the Swedish general public and the new Institute for evaluation of development co-operation.

In 2007 UTV will refocus and strengthen its communication activities, including enhancing its use of Sida's official web site to inform about ongoing evaluations and related activities. Resources equivalent to 1/2–1 staff position, will be set aside for communication work in 2007.

3.5 Evaluation Plan 2007–2009

In 2007–2009 UTV intends to carry out evaluations and studies along the following themes:

- Aid effectiveness
- Aid modalities
- Institutional development
- Policy evaluations
- Democracy and human rights
- Humanitarian assistance and peace building

The planning horizon for the evaluation activities is three years, which means that the plan contains evaluations in various stages of preparation and that proposals for evaluations to be started during the latter part of the period are tentative. These projects are listed as a separate category in appendix 1.

Some of the evaluations for 2007 will be carried over from Sida's Evaluation Plan 2006. Several of the evaluations and studies that were completed in 2006 will engender dissemination and follow-up activities in 2007. In consequence fewer evaluations will be finished in 2007 as more resources are directed to starting and assessing proposals for new evaluations. The plan contains a total of 13 evaluations and studies, of which 3 evaluations and 2–3 evaluation studies will be completed in 2007. Some 3–4 evaluation projects will be started in 2007 and a similar number in 2008 and 2009, depending on the number of joint evaluations. Some 4 evaluation ideas will be further explored. The plan allows for some flexibility to engage in upcoming new initiatives, not least in joint evaluation work.

In particular UTV is giving priority to sectors that receive a substantial amount of co-operation funds but have not been evaluated to a large extent. This is, for instance, the case for support to democracy

and human rights and UTV has during 2006 explored new ways to tackle the difficulties in this area. Due to staff turnover during 2007 it may be difficult to carry on this work – part methodological development, part assessment.

Several of the joint evaluations will be carried out within the framework of the DAC Network for Development Evaluation. Some of the evaluations are relevant with regard to more than one theme. In such cases, the evaluation is mentioned under the heading most closely related to its main purpose.

The estimated resources set aside for the evaluation activities will be equivalent to 3 staff positions. An overview of the plan and approximate timeframe for each evaluation project is presented in Appendix 1.

3.5.1 Aid effectiveness

As part of the comprehensive follow-up of the implementation of *the Paris Declaration* an evaluation process is under way. It will build on a number of country case studies in and of both partner and donor countries. The evaluation will address donor country behaviour as much as partner country capacity. The evaluation will yield first results to the follow-up meeting in Ghana in 2008 with a view to providing more definitive results in 2010. Denmark has offered to act as lead with a management group, yet to be decided, with strong partner country representation. Sida/

UTV will support the evaluation through financial resources, if needed, and participation in a broader advisory group.

Trade related support has been singled out as one of several priority areas by the new Swedish government and Sida has been requested to increase its efforts in this field. “Aid for Trade”² has been evaluated by several other organisations and UTV will commission a pre-evaluation study that will draw on existing work. Pending the results of this study an evaluation will be started in 2007.

Joint evaluation of total ODA. Responding to a challenge from the OECD/DAC Chair to the aid evaluation community, Sida/UTV and a few of its counterparts in the NordicPlus evaluation group (Denmark, the Netherlands, and Norway,) decided in 2004 to explore the usefulness and feasibility of evaluating the impact of the entire aid flow to one or several countries. A concept note broadly outlining the design of such a study was favourably received at the annual meeting in November 2004 of the OECD/DAC Network for Development Co-operation Evaluation. As several member countries expressed an interest in the project, Sweden, supported by Denmark, the Netherlands and the OECD/DAC Secretariat, accepted to carry the project forward to a point where a more informed decision about its feasibility could be made. In 2006 members of the OECD/DAC Evaluation Network agreed that the project should be presented to interested developing countries for discussion. In 2007 consultations will be carried out for two pilot evaluation studies, one in Asia and one in Africa. Depending on the outcome of the consultations, preparations for the two pilot studies will be started without further delay. Sida/UTV will continue to be actively involved in this project.

New:

- ☐ Paris Declaration Evaluation: 2006–2008/10 (no 1)
- ☐ Trade related support (no 2)

Ongoing:

- ☐ Joint evaluation of total ODA: 2006–? (no 3)
- ☐ Joint evaluation of exit strategies: 2005–2008 (no 4)
- ☐ Overview of Sida's evaluation and audit activities (no 5)

Follow-up:

- ☐ Experiences of country evaluations (no F1)
- ☐ Quality of Sida's Evaluations (no F2)

² OECD (2006): Aid for Trade: Making it Effective, The Development Dimension, Organisation for Economic Cooperation and Development, Paris.

Joint evaluation of exit strategies. During 2006 UTV has continued to work on the joint evaluation of exit management with the evaluation departments of Denmark, Norway and the Netherlands. Planned and implemented within the NordicPlus initiative for co-ordination of evaluation work, the study will provide an input to broader debates over issues of exit, sustainability, and development co-operation relationships. It is expected to be useful to Sida as Swedish development co-operation is reorganised in accordance with the principles of the Paris Declaration.

UTV will also commission an overview of Sida's evaluation and audit activities in 2006 in compliance with the reporting requirements set by the Ministry of Foreign Affairs in the yearly Appropriation Directives to Sida.

Dissemination and follow-up:

Experiences from country evaluations. The desk study on experiences from country evaluations was finished late in 2006 and will form the basis for discussions that will continue through the first half of 2007. The purpose of the study is to provide an overview of approaches and experiences of country based evaluations and a discussion of their relevance and possible application for Swedish development assistance as an alternative to the present country strategy results assessments.

Quality of Sida's evaluations. The issue of evaluation quality – and the potential problem of weak quality of member evaluations – has been highlighted by the Development Assistance Committee (DAC) Network on Development Evaluation. In 2003, the Australian Agency for International Development took a first step towards conducting a review of the quality of DAC member evaluations and invited other network members to participate. This resulted in the network elaborating quality standards that were adopted by the Network in 2006 for a three-year trial period.

In conformity with these efforts UTV has carried out an assessment of the quality of evaluations commissioned by Sida departments and embassies. The main purpose of the study is to provide a useful input to evaluation training activities and advisory services directed at Sida operational units. As planned, the study consists of two parts. The second part, still tentative, would be a country-level study of the use of the evaluation tool and other instruments for the follow-up of results by the Swedish development co-operation administration. Questions about partnership, alignment and harmonisation would be addressed with reference to monitoring and evaluation.

3.5.2 Aid modalities

Evaluation of Policy Dialogue. The purpose of the evaluation is both to assess the effectiveness of dialogue as an aid modality and to develop methods to conduct such an assessment.

Dialogue is a well-established component of development co-operation. As pointed out in a study by EGDI³, it serves a range of different purposes and is used in various ways. While it has more or less become a method for development co-operation, however, the objectives of dialogue are often unclear and its effects are also not well known. It has hardly been subject to evaluation. An evaluability study will be undertaken in the first half of 2007.

To be started:

- ☐ Evaluation of Policy Dialogue phase I: 2007– (no 6)

Follow-up:

- ☐ Joint evaluation of General Budget Support (GBS) (no F4)
- ☐ Research cooperation (no F5)

³ Dialogue in the Pursuit of Development, Jan Olsson and Lennart Wohlgemuth (editors). EGDI 2003:2

Dissemination and follow-up:

Joint evaluation of General Budget Support (GBS). The purpose of the evaluation was to explore to what extent and under what circumstances GBS is relevant and effective for achieving sustainable impacts on poverty reduction and growth. The evaluation is a response to the increased financial and political importance of GBS and the evaluation findings have been widely disseminated and have generated considerable interest. A follow-up the management response to the evaluation will be undertaken in 2007 as part of the joint follow-up as requested by the DAC.

Research co-operation. SAREC's support to research co-operation has been an important element in Swedish development co-operation for the last 30 years. The Government requested Sida to undertake an assessment of Swedish research cooperation in 2006. The assessment was carried out by UTV, and included five studies of the main cooperation areas carried out by five independent evaluation teams. The assessment concludes that Sida has a specific position within the donor community with its long-term approach and focus on capacity building. The assessment recommends that the role of research cooperation in development cooperation be clarified, that further efforts are made at stimulating the use of research results, that the coordination with other Sida financed activities be improved, that the objectives are reviewed and that the monitoring and evaluation systems be improved. The assessment was reported to the Government in 2006 and a management response will be developed in the beginning of 2007.

3.5.3 Institutional development

In 2004, UTV launched an evaluation theme on supporting institutional development – the development of formal and informal rules of the game. Its primary purpose is to draw lessons from Sida's experience. It is also to contribute to an increased understanding of the role of institutions and processes of institutional change for development and development co-operation more broadly. The theme serves a learning function for Sida staff – and

partners – and therefore adopts a process-oriented and participatory approach. A first *orientation and overview* (O&O) was conducted in close co-operation with Sida's departments and field offices. A series of reports were completed in 2005 and a synthesis report in 2006. In 2006, a comprehensive participatory *learning exercise* – initially intended as a minor evaluation – was also conducted jointly by UTV and the Swedish Embassy in Laos, involving Lao project counterparts. An Interim Report, published as a *UTV Working Paper*, and other working material were produced. A *final report* of the lessons and implications for Sida was completed in 2006.

In 2007 UTV will *communicate the results* of the different reports and processes of the evaluation theme so far. The aim is to ensure that the results are known, contribute to learning, made useful and may serve as inputs into the policy and practice of Sida. Activities involve dissemination of reports and results, arranging workshops and seminars on lessons and implications, creating learning opportunities and user-friendly material etc. Implications for Sida support for capacity development will be considered, as well as the potential usefulness of an institutional perspective. Lessons from the Lao learning process itself will be highlighted and may be reflected in a minor report during 2007.

Also in 2007, UTV will initiate an *evaluation from an institutional perspective of the impact and sustainability of capacity-development support within public administration*. This evaluation will look at a series of completed

New:

- ☐ The impact and sustainability of capacity-development support within public administration in an institutional perspective 2009 (no 7)
- ☐ Possible joint evaluation of capacity development support (no 8)

Follow-up:

- ☐ Overview and orientation phase, including synthesis report (no F6)
- ☐ Lao learning exercise, lessons learned (no F7)

capacity-development programmes in order to find out what has remained after donor withdrawal. Specifically, it will explore how institutional factors – formal as well as informal – in the local context have been considered, addressed and have influenced impact and sustainability. It will examine the design of programmes and support, linking capacity development to institutional development, in order to draw lessons on what works and what doesn't in a context of donor integration and programme support. It expected provide a deeper understanding of the relative merits of traditional approaches to capacity development through technical assistance and more holistic capacity building approaches through institutional reform as well as lessons for future support.

During 2007, UTV will also follow developments with the Nordic Plus group of donors and consider participation in a preparatory study and *possible joint evaluation of support for capacity development* – adopting a broad, systemic and non-technical perspective on capacity development.

In co-operation with POM, UTV will also *explore the possibilities to contribute to methods development*, in terms of conceptual and analytical frameworks and/or indicators and tools for evaluation, with respect to institutional and/or capacity development. In particular, the links between institutional and capacity development may need to be clarified.

3.5.4 Policy evaluation

Sida's work in the health sector. UTV will during 2007 manage an evaluation of Sida's work in the health sector. Focus will be on how Sida has responded to recent changes at the levels of policy, the methods used, the environment in which it operates and the poverty focus of Swedish support.

Sida's work in the education sector. In co-operation with UND, UTV will carry out an evaluation of Sida's work in this sector. Proposed by DESO/UND, Sida's Education Division, this evaluation is expected to provide conclusions that can be juxtaposed with previous evaluations of Sida's work in the sectors of culture and health. The evaluation will be carried out in 2007.

Dissemination and follow-up:

During 2006, UTV has finalised its evaluation of *Sida's work with environmentally sustainable development*. While it notes that Sida is generally good at handling environmental considerations in cases where their importance is obvious, and that an impressive number of ambitious documents have been produced, this evaluation also notes that the mainstreaming approach to environmental sustainability is little short of a failure. The main reasons for this, the evaluation argues, is that the policy disappears among the sheer number of Sida policies and priorities, and that concrete targets, goals and systems for follow-up is lacking.

Synthesis study of Sida's implementation of mainstreaming themes and strategic priorities. On the basis of the preceding three studies UTV is developing a synthesis study in order to assess Sida's implementation of key policies in a comprehensive manner. This study will also consider how Sida works with other issues that are given special status within the organisation, most notably the annual "strategic priorities". A first comparison and general overview of the evidence available will be completed in early 2007. A possible evaluation could subsequently compare the different instruments put in place to implement these policies and priorities, their status within the organisation, and the level of commitment that they elicit

Ongoing:

- ☐ Sida's work in the health sector: 2007–2008 (no 9)
- ☐ Sida's work in the education sector: 2007–2008 (no 10)

Follow-up:

- ☐ Sida's work with environmentally sustainable development: 2005–2006 (no F8)
- ☐ Sida's implementation of mainstreaming themes and strategic priorities (no F9)
- ☐ Triple C – the implementation of Coherence, Coordination and Complementarity in the Maastrich treaty (no F10)

among program officers to. This synthesis study/evaluation is expected to contribute material on the extent to which Sida manages to match its words with deeds, and on how the organisation's ability to implement key policies can be enhanced.

The area of policy evaluations also includes UTV's active role within the so-called *Triple C Initiative*. This project has been started by the EU Heads of Evaluation Services (EUHES), and consists in evaluations of the implementation of the three principles for development co-operation established by the Maastricht treaty (co-ordination, coherence, and complementarity). A program of six evaluation studies has been undertaken and will conclude in 2007. Sweden, through UTV, is presently chairing the Task Force created to bring this initiative forward, and UTV has also been responsible for one of the evaluations (on European coordination in the area of local development) which was concluded in 2006. In 2007 a synthesis of the 6 evaluations will be published and joint dissemination activities undertaken.

3.5.5 Democratic governance and human rights

Evaluability is a key problem in the area of support for democratic governance and human rights. Due to the lack of suitable indicators, and the difficulty of attributing effects at the aggregate, national level to small, local projects, previous attempts to evaluate such support has often been adequate. As Thomas Carothers has noted, "democracy promoters have tended either to underdo evaluations, carrying them out haphazardly, using superficial methods, or to overdo them, elaborating complex, rigid methods..."⁴

New:

- ☐ Voice and accountability (no 11)

Ongoing:

- ☐ Diakonia's Latin American programme: 2006–2008 (no 12)

Follow-up:

- ☐ Programme theory evaluation of Sida-supported projects in the area of democracy and human rights (no F11)

Preparatory:

- ☐ Evaluation of a rights perspective: 2008

Even so, support in this area continues to be a corner-stone in Swedish development co-operation. For that reason, UTV has been exploring methodologies to apply to the area. While one of these experiences was concluded during 2006, another one will – because of its nature – carry on into 2007. Moreover, UTV is also co-operating internationally in efforts to develop methodologies relevant to this field.

Joint evaluation of voice and accountability. Preparatory work for a joint evaluation of interventions aimed at increased accountability to partner country citizens, named 'Voice and Accountability', will take place during 2007. The first phase of the evaluation will comprise a documents analysis and two pilot studies aiming to clarify possibilities and methods for evaluating projects and programmes regarding budget allocations and expenditures, public services delivery or direct poverty reduction interventions. At the end of 2007 decisions on a possible full-scale evaluation will be made. The evaluation is a Dfid initiative, and the extent of UTV's involvement is yet to be decided.

Evaluation of Diakonia's Latin American program: In co-operation with RELA, UTV is carrying out a project that will assess the results of Diakonia's regional program for Latin America. Diakonia is a key actor in the area, and employs methods of work (seminars, capacity training) that are fairly typical of the area of support. The Institute for Latin American Studies at the University of Stockholm is thus co-ordinating a longitudinal study of a selection of eight projects supported by Diakonia in Bolivia, Peru, Nicaragua and Guatemala. This evaluation also represents a methodological novelty, as it will involve groups of local anthropologists and sociologists making repeated visits to the projects selected, hence trying to

⁴ Thomas Carothers, *Aiding Democracy Abroad: The Learning Curve* (Washington: Carnegie Endowment for International Peace, 1999).

gauge changes in the participants' behaviour, actions, social relationships and beliefs. The project involves three visits to each project. During 2006, the first two visits were performed. The final one will take place in September–October 2007. Moreover, discussions are underway to perform a similar evaluation from the embassy in Sarajevo, which would allow for a consideration of the applicability of this methodology in other contexts.

Dissemination and follow-up:

Program theory evaluation: Since 2005, a project has been underway which looks at the program theory of a number of different projects in the area. This evaluation focuses on the theoretical constructs that underpin these projects, and tries to discern patterns of ideas and assumptions that recur across projects and contexts. Projects were drawn from Bolivia, Bosnia and Herzegovina, South Africa, and Vietnam. A first report from this study was presented at an international seminar on the evaluation of democracy support in April 2006. It has now been combined with a general overview of the distribution of democracy support, and the evidence contained in previous evaluations of such projects, to make an overall assessment of the field. On this basis, subsequent areas for support is being discussed with DESA.

In 2006 UTV convened a conference on methods of evaluation of projects in the area of support for democracy together with International IDEA. Presentations at the workshop discussed different methodologies and experiences in this regard, and will be jointly published by Sida and IDEA in 2007 in an edited volume.

3.5.6 Humanitarian assistance and peace building

The field of humanitarian assistance continues to be an important theme for UTV during 2007 and 2008. The nature of humanitarian assistance however, with problems to foresee disasters and conflicts, also has some implications for the planning of evaluation topics. For the coming years one evaluation is planned in this area but more evaluations will be explored (see below).

New:

- ☐ LRRD after the Tsunami disaster: part II. 2007–2008 (no 13)

Follow-up::

- ☐ The Tsunami Evaluation. (no F12)

LRRD after the Tsunami disaster. At the beginning of 2005 UTV decided to engage in the large international initiative to evaluate Tsunami interventions – the Tsunami Evaluation Coalition, TEC. UTV took the responsibility for an evaluation of linkages between relief, rehabilitation and development (LRRD). The purpose of the evaluation is to assess the relevance and the effectiveness of the linkages between immediate humanitarian relief, rehabilitation and long-term development co-operation. The evaluation will have two parts. Part one took place during autumn 2005 and contributed as one of five thematic evaluations to the TEC synthesis study on Tsunami interventions Part two will be carried out in 2007 and follow largely the design of the first phase but with a stronger emphasis of the views of the affected population and on a consultative approach.

UTV is also exploring other areas where few evaluations have been made. One possible theme is protection of vulnerable groups, particularly refugees. Another area would be what is known as DD&R (Disarmament, Demobilisation and Reintegration) and particularly the last part – the efforts to return former combatants to normal, peaceful life. A third possible area for evaluation would be peace building, where Sweden is already engaged. This is part of a joint initiative between the DAC Networks on Development Evaluation and on Conflict, Peace and Development Co-operation (CPDC) and linked to the so-called Utstein initiative.

Discussions with SEKA on this topic will continue during 2007. Due to the nature of support in these areas, mostly provided jointly by several donors, evaluation efforts will also normally be carried out on a joint basis.

Dissemination and follow-up:

In 2007 various dissemination activities will be undertaken of the lessons learned of the Tsunami evaluation as a basis for the work with Sida's Management Response process.

Annex 1 Summary of UTV's Activity Plan 2007–2009

A. Evaluations and Studies

Theme	No	Project	Start	End	UTV activities 2007	Comments	Programme officer	Consultant
Aid Effectiveness								
	1	NEW! Evaluation of the Paris Declaration	07	08 (10)	Participation in Advisory Group, financial support.	To be designed early 2007. Danida lead in partnership with Partner Countries.	EL	
	2	Trade related support	07	07	Literature review and pre-evaluation study.		GES	
	3	Joint evaluation of total ODA	06	08	Coordination and possible participation.	With other members of DAC eval network.	SM	
	4	Joint evaluation of exit strategies	05	08	Managing of joint evaluation process.	With Denmark, Norway and the Netherlands	SM	
	5	Review of Sida evaluation studies and audits	07	07	Compilation of evaluation and internal audits and follow-up.	Commission by Ministry of Foreign Affairs	SM	
Aid Modalities								
	6	Evaluation of Policy Dialogue, incl rights perspective	07	07	Pre-evaluation study.		SD	
Institutional Development								
	7	NEW! Impact and Sustainability of Support for Capacity Development within Public Administration – Institutional Perspective	07	09	Pre-evaluation study.		GES	
	8	NEW! Joint evaluation of capacity development	07	07	Literature review. Advice and possible financial support.	With Nordic + and DAC Evaluation Network. Norway lead	GES	
Policy Evaluations								
	9	Sida's work in the health sector	05	08	Terms of reference, tender and contracting.		ML	
	10	Sida's work in the education sector	06	08	Terms of reference, tender and contracting.		ML	
Democratic Governance and Human Rights								
	11	NEW! Voice and accountability	07	08	Pre-evaluation work in 2007. Participation in steering group.	Joint eval – Dfid in the lead	SD + new	
	12	Diakonia's Latin American programme	06	08	Managing of evaluation process	In cooperation with RELA	ML + new	LAI
Peace Building and Humanitarian Assistance								
	13	LRRD after the tsunami disaster – Part II	07	08 (?)	ToR, tender and contracting.	In consultation with partner countries and donors.	SD	

B. Dissemination and Follow-up of Studies and Evaluations

Theme	No	Project	Start	End	UTV activities 2007	Comments	Programme officer	Consultant
Aid Effectiveness								
	F1	Experiences of country evaluations	07	07	Sida seminar	Desk study	SD	
	F2	Quality of Sida's evaluations			Seminars. Monitor MR-process		SM	
	F3	Sida's Management Response System	05	07	Monitor MR-process.		EL	
Aid Modalities								
	F4	Joint evaluation of General Budget Support (GBS)	04	07	Monitor MR-process. Joint follow-up activities.		ML	
	F5	Research Cooperation	06	07	Monitor MR-process.		ML	
Institutional Development								
	F6	Orientation and Overview Phase, Synthesis	04	07	Sida Seminars, monitor MR-process		GES	
	F7	Sida support for institutional development in Laos	07	07	Sida seminars, MR-process etc.	In cooperation with the Swedish Embassy	GES	
Policy Evaluation								
	F8	Integrating environment into Sida's work	05	07	Dissemination and monitoring MR-process		EL & MA	Ångpanneföreningen
	F9	Governing development cooperation implementing mainstreaming strategies	06	07	Dissemination and monitoring MR-process		SM	
	F10	Triple C evaluation programme	07	07	Dissemination activities and monitoring MR-process		EL/new	
	F11	Program theory evaluation of Sida-supported projects in the area of democracy and human rights	07	07	Sida seminar		EL	
Humanitarian Assistance								
	F12	The Tsunami Evaluation	05	07	Dissemination and monitoring of MR-process			

C. Proposals to be Assessed

No	Project	Start	End	Comments	Responsible Officer
	Increased poverty focus in Sida's work	2008	2008	Scoping study and/or support to POM evaluation..	tbd
	Sector Budget Support	2008	2008	Feasibility study, possible joint evaluation initiative.	tbd
	Systems for monitoring and evaluation	2007	2008	Scope to be determined.	SM
	Credits and guarantees	2008	2008	Scoping study.	tbd
	Country program evaluations	2008	—	Proposal to be assessed during 2007.	SD

D. UTV Development Projects

No	Project	Start	End	UTV activities 2007	Responsible Officer
	Enhanced focus on dissemination and communication	06	08		ML
	Review of Sida's evaluation policy	07	07		EL/SM

E. Advisory Activities

No	Project	Start	End	UTV activities 2007	Responsible Officer
	Scaling up of advisory services	06	08	Strategy elaborated and put into practice on basis of pilot project	tbd
	WFP Peer Review	07	07	Team leader of DAC— UNEG peer review of evaluation office.	SD
	Sidas Central Project committee	07	10	Ex.officio member.	EL/GES
	Results-based management	06	07	Participation in Sida task force.	SM

Annex 2 Evaluations at Sida's Departments, Embassies and Field Offices

The evaluation plans of Sida's departments, the embassies and field offices for 2007 comprise 107 evaluations.

- Almost 72% of the evaluations will be carried out in accordance with prior project agreements.
- Some 76% of the evaluations concern projects that have previously not been evaluated by Sida.
- Sida is responsible for the majority of the planned evaluations.
- 27% of the planned evaluations are to be conducted at the end of a project
- Among the stated use of the evaluation, needs for information relevant to a decision concerning continued support and need for information as a basis for developing a new project/programme are the most common.

The consolidated evaluation plan 2006 for Sidas departments covered 116 evaluations. At the end of the year, a total of 44 evaluations had been completed (including 7 evaluations not listed in the plan), and 21 were going to be completed and reported for printing at the end of December, 15 were ongoing, 25 had been postponed, and 26 had been removed from the plan. A more detailed examination of the implementation of the departmental plans can be found in UTV's Annual Evaluation Report for 2006 to the Board.

Sida's Evaluation Plan FY 2007
Evaluations to be carried out by Sida's departments, Embassies and Field Offices
AFRA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	AFRA	Emb-ETH	Adeye Befecadu	Civil Society Support	Ethiopia	basis for decision on whether to continue support;	Yes	end of phase	No	2007 apr	In cooperation with SEKA
2	AFRA	Emb-KEN	Alex Tameno	Nyanza Roads 2000	Kenya	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2007 may	In cooperation with INEC
3	AFRA	Emb-KEN	Nicholas Imbugwa	Maanisha programme (HIV/AIDS)	Kenya	basis for fine-tuning or modification of evaluated project/programme	Yes	end of phase	No	2006 nov	In cooperation with DESO
4	AFRA	Emb-KEN	Nicholas Imbugwa	Moi-Linköping collaboration	Kenya	basis for decision on whether to continue support;	Yes	end of phase	No	2007 apr	In cooperation with DESO
5	AFRA	Emb-KEN	Ulrika Åkesson	Environmental NGOs:FAN,RPK, EAWLS	Kenya	basis for decision on whether to continue support;	Yes	end of project	No	2007 mar	In cooperation with NATUR
6	AFRA	Emb-KEN	C Ouma	Swedish Red Cross LV progr.	Lake Victoria	basis for decision on whether to continue support;	Yes	end of project	No	2007 maj	
7	AFRA	Emb-KEN	G Ngabirano	Kampala EcoSan	Lake Victoria	basis for development of new project/programme	No	end of project	No	2007 mar	
8	AFRA	Emb-KEN	E Ojumbo	World Wildlife Fund Environmental education	Lake Victoria	basis for fine-tuning or modification of evaluated project/programme	Yes	end of phase	No	2007 maj	
9	AFRA	Emb-KEN	G Ngabirano	Swedish Cooperative Centre/ Vi agroforestry midterm review	Lake Victoria	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2007 jul	
10	AFRA	Emb-KEN	H Bjuremalm	EastAfricanLegislativeAssembly/Ass.of Western Parliamentarians	Lake Victoria	basis for decision on whether to continue support;	No	end of project	No	2007 aug	
11	AFRA	Emb-SYA	Anne Ljung/new DESA PO	Tax cooperation SARSSTA	South Africa	basis for development of new project/programme	Yes	end of phase	No	2007 feb	Please observe that this is a POSSIBLE review incli a "popular version" in cooperation with DESO/DESA
12	AFRA	Emb-SYA	Victor Ramaema/ Veronica Perzanovska	ICJS: Access to Justice Programme South Africa	South Africa	basis for policy development	Yes	end of project	Yes	2007 jun	
13	AFRA	Emb-SYA	Victor Ramaema	Impumelelo	South Africa	basis for policy development	Yes	end of project	No	2007 jun	
14	AFRA	Emb-SYA	Victor Ramaema	Aids Consortium and Aids Legal Network	South Africa	basis for decision on whether to continue support;	Yes	mid-term	No	2007 mar	A tentative idea to make a joint evaluation of two HIV/AIDS organisations that Sida support.
15	AFRA	Emb-SYA	Ria Schoeman	HIV/AIDS Programme	South Africa	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2007 jun	A review of the ongoing support to fight HIV/AIDS in order to fine-tune/modify support prior to handing over portfolio to Lusaka
16	AFRA	Emb-SYA	Victor Ramaema	Cooperation between Tibro Kommun (TTC) and FURNTECH	South Africa	basis for fine-tuning or modification of evaluated project/programme	Yes	end of project	Yes	2006 Feb	
17	AFRA	Emb-SYA	Victor Ramaema	Law Race and Gender (LRG): Social Context and the Delivery of Justice	South Africa	basis for policy development	No	ex-post	No	2006 Maj	

AFRA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
18	AFRA	Emb-TAN	Petra Smiltmanis Dry	ICT Program Development	Tanzania	basis for development of new project/programme	Yes	mid-term	No	2007 maj	Cooperation with INEC.
19	AFRA	Emb-TAN	E. Genfors / J. Matafu	District Development Programme and Land Management Programme	Tanzania	basis for policy development	Yes	ex-post	Yes	2007 dec	
20	AFRA	Emb-TAN	Anders Frankenberg	Primary Education Development Programme Joint Evaluation	Tanzania	basis for policy development	No	end of phase	No	2006 dec	Cooperation with DESO, H. Persson
21	AFRA	Emb-TAN	Anne-Lie Engvall	Institutional Support to the Ministry of Energy and Minerals	Tanzania	basis for development of new project/programme	No	end of project	No	2007 mar	Cooperation with INEC.
22	AFRA	Emb-ZIM	Hazel Chinake	Population Services Zimbabwe	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	Yes	end of project	Yes	2007 apr	
23	AFRA	Emb-ZIM	Hazel Chinake	Mashambanzou	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	Yes	2006 sep	In cooperation with DESO
24	AFRA	Emb-ZIM	Izabella Eriksson	CIVNET	Zimbabwe	other use (indicated briefly)	Yes	end of project	Yes	2007 dec	In cooperation with DESO
25	AFRA	Emb-ZIM	Hazel Chinake	PACT comprehensive church and community HIV/AIDS prevention programme	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	Yes	2005 nov	
26	AFRA	Emb-ZIM	Hazel Chinake	HIV/AIDS Sector Evaluation	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2005 Dec	
27	AFRA	HIV, AIDS team Lusaka	Paul Dover	Association of African Universities	Regionalt/Övrigt Afrika	basis for decision on whether to continue support;	Yes	end of project	No	2007 jan	Commissioned by AAU
28	AFRA	HIV, AIDS team Lusaka	Anne Lindeberg	UNICEF, mainstreaming - ESARO (OVC)	Regional Southern Africa	basis for decision on whether to continue support;	Yes	end of project	No		
29	AFRA	HIV, AIDS team Lusaka	Davies Chitundu	Renewal food security programme	Regional Southern Africa	basis for fine-tuning or modification of evaluated project/programme	Yes	end of project	No	2007 jan	
30	AFRA	HIV, AIDS team Lusaka	Davies Chitundu	Golden Valley Agricultural Research Programme	Regional Southern Africa	basis for decision on whether to continue support;	Yes	end of project	No	2007 feb	
31	AFRA	HIV, AIDS team Lusaka	Michael Tawanda	Idasa - GAP and ABU	Regionalt/Övrigt Afrika	basis for policy development	Yes	end of project	No		Commissioned by Idasa
32	AFRA	HIV/AIDS team Lusaka	Anne Lindeberg	Hope for Africa Children Initiative (supported through Plan Sweden)	Regional Southern Africa	basis for decision on whether to continue support;	Yes	end of phase	No	2005 Dec	

ASIEN

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	ASIEN	Bangladesh Embassy	Khaled Ahsan	National Menstrual Regulation Programme implemented by Reproductive Health services & Training Programme (RHSTEP), Bangladesh Women Health Coalition (BWHC) & Bangladesh Association for Prevention of Septic Abortion (BAPSA)	Bangladesh	basis for development of new project/programme	Yes	end of project	Yes	2007 jul	Evaluation to be carried out by consultants
2	ASIEN	Bangladesh Embassy	Khaled Ahsan	Health, Nutrition & Population Sector Programme (HNPS)	Bangladesh	basis for policy development	Yes	other timing	Yes	2007 jan	Evaluation to be carried out by an Independent Review Team selected jointly by the Government and its cofinanciers
3	ASIEN	Bangladesh Embassy	Khaled Ahsan	Urban Primary Health Care Project (UPHCP-II)	Bangladesh	basis for policy development	Yes	other timing	Yes	2007 jun	Evaluation to be carried out jointly by the Government and other co-financiers to the project
4	ASIEN	Bangladesh Embassy	Rehana Khan	National Democratic Institute (NDI) Building A Democratic Culture Through Public Dialog	Bangladesh	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2006 nov	
5	ASIEN	Bangladesh Embassy	Monica Malakar	Second Primary Education Development Programme (PEDP II)	Bangladesh	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2006 dec	Evaluation to be carried out by an Independent Review Team selected jointly by the Government and its Co-financiers
6	ASIEN	Bangladesh Embassy	Monica Malakar	Centre for Mass Education in Science (CMES)	Bangladesh	basis for decision on whether to continue support;	Yes	end of project	Yes	2007 jan	Independent evaluation to be carried out jointly by donors
7	ASIEN	Bangladesh Embassy	Rehana/Helena	Steps Towards Development	Bangladesh	basis for decision on whether to continue support;	Yes	end of phase	Yes	2007 jun	Independent evaluation to be carried out jointly by Sida/Steps
8	ASIEN	Embassy India	Ramesh Mukalla	Environmental Protection Training and Research Institute	India	other use (indicated briefly)	Yes	end of project	Yes	2006 jan	
9	ASIEN	Embassy Laos	Jörgen Persson	Main sectors involved in Swedish dev.coop.programme as preparation for next country strategy	Laos	basis for development of country strategy	No	other timing	No	2007 apr	Sectors to be evaluated not yet decided upon
10	ASIEN	Emb-SRI	Urban Sjöström	Capacity Building of Civilian Policing	Sri Lanka	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2006 nov	
11	ASIEN	Emb-SRI	Urban Sjöström	UNICEF's Country Programme 2004 - 2006	Sri Lanka	basis for decision on whether to continue support;	Yes	end of phase	No	2006 Jan	Carried over from 2006
12	ASIEN	Embassy Vietnam	Ngoc	Replication of Quang tri model in other provinces	Vietnam	basis for decision on whether to continue support;	No	end of project	Yes	2006 nov	Carried over from 2006
13	ASIEN	Embassy Vietnam	Ngoc	Economic Policy Research Development	Vietnam	basis for development of new project/programme	No	end of phase	Yes	2006 nov	Carried over from 2006
14	ASIEN	Embassy Vietnam	Ngoc	Vietnam SIYB	Vietnam	basis for development of new project/programme	Yes	end of project	No	2006 Apr	Carried over from 2006
15	ASIEN	Embassy Vietnam	Mrs Huyen	SEMLA (Strengthening Environmental Management and Land Administration)	Vietnam	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	Yes	2007 sep	
16	ASIEN	Embassy Vietnam	Rolf Samuelsson	Chia Se Poverty Alleviation Programme	Vietnam	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	Yes	2007 sep	

DESO

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	DESO	DESA	Ewa Westman	NDI National Democratic Insti- Strengthening Women's particip in pol and decentralis processes	Burkina Faso	basis for decision on whether to continue support;	Yes	end of phase	No	2007 sep	
2	DESO	DESA	Ewa Westman	Local democratic governance	Mali	basis for decision on whether to continue support;	Yes	end of phase	No	2007 sep	
3	DESO	DESA	Sara Gustafsson	Euro-Mediterranean Human Rights Network	MENA	basis for development of new project/programme	Yes	end of project	No	2007 jun	
4	DESO	DESA	J Donovan	U4 Utstein Anti-Corruption Center	Global	basis for fine-tuning or modification of evaluated project/programme	No	end of phase	No	2007 jun	To be done with the other donors: DFID, Norad etc
5	DESO	Hälsö	G Essner	African Midwives Research Network	Global	basis for decision on whether to continue support;	Yes	end of phase	No	2005 dec	
6	DESO	Hälsö	G Essner	Global and regional Breastfeeding Network: WABA, IBFAN-GIFA, Africa, Asia & Pacific and IMCH technical adviser	Global	basis for decision on whether to continue support;	Yes	mid-term	Yes	2005 dec	
7	DESO	Hälsö	G Essner	MAMTA-RFSU-SRJIAN Network on Adolescent's SRHR	India	basis for decision on whether to continue support;	Yes	mid-term	Yes	2005 Dec	
8	DESO	TEMA/JÄM	A Runeborg	Sexualundervisningsstöd	Latin America	basis for policy development	No	ex-post	No	2007 dec	Planerades 2000/01 med UTV, men harns inte med.
9	DESO	TEMA/JÄM	Eva Nauckhoff	Jämställdhetsintegreringen på Sida	Global	basis for development of new project/programme	No	other timing	Yes	2007 jun	
10	DESO	UND	Hans Persson	Sida's Policy for Development Cooperation in the Education Sector	Övrigt	basis for policy development	No	other timing	No	2005 dec	

EUROPE

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	EUROPE	AL	Peeter Horn	Swedish Institutional Support Funds for the Baltic States	Baltic States	basis for policy development	Yes	ex-post	No	2007 jan	
2	EUROPE	Black Sea Division	Emelie Hjelm	UNDP/Kura Aras	Multilateral utv.samarbete	basis for decision on whether to continue support;	No	mid-term	No	2007 jun	
3	EUROPE	Black Sea Division	Emelie Hjelm	"From Cow to Consumer" Integrated dairy project	Georgien	basis for decision on whether to continue support;	Yes	end of phase	Yes	2007 apr	
4	EUROPE	Black Sea Division	Robert Hall	Social Care Services	Ukraina	basis for development of new project/programme	Yes	end of phase	No	2007 jun	
5	EUROPE	Black Sea Division	Robert Hall	HIV/UN	Ukraina	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2007 mar	
6	EUROPE	EECA	Cecilia Chroona	Social Sector Support	Russia	basis for development of new project/programme	No	other timing	No	2007 dec	
7	EUROPE	SEE	Gunnel Unge	Local Development in Topola	Serbia	other use (indicated briefly)	No	ex-post	No	2007 jun	
8	EUROPE	SEE	Sofie Berghald	Juvenile Justice	Serbia	basis for development of new project/programme	No	end of phase	No	2007 maj	
9	EUROPE	SEE	Jonathan Francis	Business Registration	Serbia	basis for development of new project/programme	No	end of phase	No	2007 mar	
10	EUROPE	SEE	Lisa Mossberg	Crime Scene Investigation	Serbia	basis for development of new project/programme	No	end of phase	No	2007 mar	
11	EUROPE	SEE	Jonathan Francis	Labour Market	Serbia	basis for decision on whether to continue support;	No	end of phase	No	2007 jul	
12	EUROPE	SEE	Lisa Mossberg	Evaluation of the Swedish support in the area of anti corruption in South East Europé	Western Balkan	basis for decision on whether to continue support;	Yes	end of phase	No	2006 maj	
13	EUROPE	SDC Tbilisi	Louise Bernsjö	Overall gender programme in South Caucasus (several projects, ongoing and completed)	Kaukasien	basis for development of new project/programme	No	other timing	No	2007 apr	Purpose is to evaluate the effectiveness and impact of a number of gender specific interventions and provide a basis for possible change of approach, evaluation should include a general overview and a mapping of the projects, programmes and initiatives in the sector

INEC

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	INEC	INEC, INFRA	Göran Haag	Uri Hydropower Project	India	basis for policy development	No	ex-post	No		Draft T o R 2002 February. New programme officer Göran Haag.
2	INEC	INEC, INFRA	Lena Kövamees	Thematic study of credit-lines to development banks	Global	basis for development of new project/programme	No	other timing	No	2007 aug	
3	INEC	KTS	Eva Berger	Local Democracy in Mongolia	Mongolia	basis for policy development	Yes	ex-post	No	2007 mar	The evaluation may not commence before April 2007. Also important to evaluate degree of goal achievement.
4	INEC	UUM	Helen Holm	Environment and sustainable development, 2001-2004	Eastern Europe	basis for development of new project/programme	No	ex-post	Yes	2006 jun	The evaluation was delayed due to retendering.
5	INEC	UUM	S.Stenhammar	Regional Air Pollution in Developing Countries	Regionalt/Övrigt Asien	basis for decision on whether to continue support;	No	end of phase	Yes	2007 mar	
6	INEC	UUM	S.Stenhammar	Greenhouse Gas Emission Reduction in Industry	Regionalt/Övrigt Asien	basis for development of new project/programme	Yes	end of phase	Yes	2006 jun	

NATUR

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	NATUR	LUV	Lasse Krantz/Maria Vink	Malonda	Mozambique	basis for development of new project/programme	Yes	end of phase	No	2007 jan	In cooperation with Embassy Maputo
2	NATUR	LUV	Åsa Bjällås	South East Asia Network for Agroforestry Education, SEANAFE	Regionalt/Övrigt Asien	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2007 jan	
3	NATUR	VAT	Katarina Perroff	Global Water Partnership Organisation, GWPO	Glob miljö, naturbruk	basis for decision on whether to continue support;	Yes	mid-term	Yes	2006 nov	
4	NATUR	VAT	Åsa Bjällås	Mobilizing Agroforestry Capacity for Development	Regionalt/Övrigt Afrika	basis for development of new project/programme	Yes	end of phase	No	2006 jun	
5	NATUR	VAT	Carol Bäckman	Lessons Learnt on Sustainable Forest Management in Africa	Regionalt/Övrigt Afrika	basis for development of new project/programme	Yes	end of phase	No	2007 dec	

RELA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	RELA	Embassy Bolivia	Emilie Göransson	Capacity Building SIF	Bolivia	basis for development of new project	Yes	end of project	No	2006 oct	
2	RELA	Embassy Bolivia	Isabel Ascarrunz	Industrial Environment Protection Programme Phase II	Bolivia	basis for development of new project/programme	Yes	end of project	No	2006 dec	
3	RELA	Emb Colombia	M. Wulfig	Sida-UNDP Partnership Program for Peace in Colombia	Colombia	basis for development of new project/programme	Yes	end of project	No	2006 Apr	
4	RELA	Emb-Guatemala	Ejerfeldt	Asdi III	Guatemala	basis for decision on whether to continue support;	Yes	other timing	No	2006 dec	
5	RELA	Emb-Guatemala	Ejerfeldt	UN Human Development Report	Guatemala	basis for decision on whether to continue support;	Yes	end of project	No	2006 mar	
6	RELA	Emb-Guatemala	UB Hedvall	Justice Program	Guatemala	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2007 nov	
7	RELA	Emb-Guatemala	UB Hedvall	Congress 2005-2007	Guatemala	basis for decision on whether to continue support;	Yes	end of project	No	2007 feb	
8	RELA	Emb-Guatemala	Gustavo Herrarte	Technical Assistance to Elections 2007	Guatemala	basis for policy development	No	end of project	No	2007 dec	This evaluation will help us with our policy intentions on the democracy and good governance sectors
9	RELA	Emb-Guatemala	J.Maria Camposeco	Indigenous Fund	Guatemala	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2007 jun	I suggest a process of appraisal accompanies
10	RELA	Embassy Honduras	Göran Paulsson	OAS Electoral Support	Honduras	basis for development of new project/programme	Yes	end of project	No	2006 feb	Agreement extended
11	RELA	Embassy Honduras	Göran Paulsson	UNDP Democratic Governance	Honduras	basis for development of new project/programme	Yes	end of project	No	2006 mar	
12	RELA	Embassy Honduras	Orlando Valladares	EFA-FTI Pool Fund	Honduras	basis for development of new project/programme	Yes	end of project	No	2006 feb	Agreement extended
13	RELA	Rela	Mattias Jonsjö	Forum Syd programmes in Central America	Regionalt/Övrigt Latinamerika	basis for decision on whether to continue support;	Yes	end of project	No	2007 apr	Ongoing programme ends Dec 2006. New application for 2007-2008.

SAREC

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	SAREC	HUMAN	Viveka Persson	MI (International Vaccin Institute)	Glob forskning	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2007 apr	
2	SAREC	HUMAN	Tekaligne Godana	Council for Development of Social Science Research in Africa, CODESRIA, Dakar, Senegal	Regionalt/Övrigt Afrika	basis for fine-tuning or modification of evaluated project/programme	No	other timing	Yes	2007 mar	
3	SAREC	HUMAN	Tekaligne Godana	Organisation for Social Science Research in Eastern and Southern Africa, Addis Ababa, Etiopien	Regionalt/Övrigt Afrika	basis for fine-tuning or modification of evaluated project/programme	No	end of phase	Yes	2007 mar	
4	SAREC	NAV	Robert Nygård	Research cooperation for livestock-based sustainable agriculture in the lower Mekong Basin	Regionalt/Övrigt Asien	basis for fine-tuning or modification of evaluated project/programme	Yes	end of phase	No	2007 maj	
5	SAREC	UNI	Håkan Marstorp	Research Cooperation with Ethiopia	Ethiopia	basis for decision on whether to continue support;	Yes	end of phase	Yes	2006 nov	
6	SAREC	UNI	Solveig Freudenthal Ros-Mari Bälöv	Research Cooperation with Sri Lanka and Vietnam - 30 Years	Sri Lanka Vietnam	other use (indicated briefly)	No	other timing	Yes	2007 feb	

SEKA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	SEKA	EO	Carolina Poggio	Afrikagrupperna/Africa Groups of Sweden	Enskilda organisationer	other use (indicated briefly)	No	other timing	No	2007 mar	Carried from 2006
2	SEKA	EO	Lisa Hellström	Rädda Barnen Sverige/Save the Children Sweden	Enskilda organisationer	other use (indicated briefly)	No	other timing	No	2007 mar	Carried from 2006
3	SEKA	HUM	Johan Norqvist	Support Civil Society Iraq	Enskilda organisationer	other use (indicated briefly)	No	other timing	No	2007 jan	
4	SEKA	FOS	Julia Ekstedt	Collaborative for Development Action (CDA)	Enskilda organisationer	other use (indicated briefly)	No	other timing	No	2006 nov	Carried from 2006
5	SEKA	HUM	Elisabet Hedin	IOMs Humanitära arbete	Humanitärt bistånd	other use (indicated briefly)	No	other timing	No	2007 mar	
6	SEKA	HUM	Doris Attve	United Nations Centre for Peace, Disarmament and Development in Latinamerica (UNLIREC)	Latin America	basis for fine-tuning or modification of evaluated project/programme	No	other timing	No	2006 dec	



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