

Annual Report of Sida Evaluation Activities 2007



SWEDISH INTERNATIONAL DEVELOPMENT
COOPERATION AGENCY

Department for Evaluation

Annual Report of Sida Evaluation Activities 2007

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Department for Evaluation

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1 Introduction

The Department for Evaluation (UTV)¹ reports annually on the progress of its own evaluation activities and the evaluations undertaken by other Sida departments, embassies and field offices.² The present report is mainly a follow-up of progress made in relation to Sida Evaluation Plan 2007.

2 UTV Evaluation Activities

Central to Sida's evaluation work is Sida's Evaluation Policy. It was scheduled to be revised during 2007 but due to a combination of capacity constraints at UTV and the re-organisation of Sida the revision was postponed to 2008. Another key document for Sida's evaluation activities is the evaluation manual *Looking Back, Moving Forward*, which was revised and came out in its second edition during 2007.

It continues to be an important tool for Sida and embassy staff as well as an appreciated guide for international colleagues.³ The Evaluation Policy is made operational in the annual Evaluation Plan that contains information about evaluation projects, support to Sida departments and to embassies, dissemination and communication and external networking.

UTV perform evaluations either unilaterally or together with other development partners. These evaluations are often thematic but can also be evaluations of policies or larger sector studies. UTV's evaluations 2007 are accounted for in section 2.1. UTV is also engaged in a number of international networks and fora as described in section 2.2. Sida's operational department and embassies perform project and programme evaluations.⁴ UTV provide the departments and embassies with evaluation advice and training as accounted for in section 2.3. Section 2.4 accounts for UTV's communication and dissemination activities.

2.1 UTV Evaluation Projects

UTV's evaluation projects 2007 are listed below with the end of year status (i.e. completed, ongoing or cancelled) in brackets. The evaluation plan for 2007 comprised 13 evaluation projects and several dissemination activities sorted under 6 different themes. In addition to the evaluation projects listed in the plan, three other projects were initiated during the year. (Indicated as "new" below.)

1 The Department for Evaluation and Internal Audit (UTV) hosted until January 31, 2008, three functions; evaluation, internal audit and documentation. On February 1, 2008, the internal audit function was separated from UTV. It now constitutes an independent function reporting directly to the Director General. As a consequence of the separation, UTV changed its name to "Department for Evaluation".

2 The Internal Audit produces a separate annual report.

3 It is the most well spread UTV publication used, for example, at the World Bank and Carleton University International Program for Development Evaluation Training (IPDET). More than thousand hard copies of the manual was distributed in 2007.

4 More on the results of all Sida evaluations and the management response to these evaluation will be included in a report to the Government due in April 2008 (the report will also be published as a UTV working paper).

- Theme: Aid Effectiveness
 - *Paris Declaration* (ongoing)
UTV participate in the broader advisory group.
 - *Statistical Capacity Building* (new, ongoing)
This is a thematic study (DFID lead) within the Paris Declaration Evaluation. The study, including both partner and donor case studies⁵, was commenced in late 2007 and is expected be finalised in May 2008.
 - *Strengthening Public Financial Management systems* (new, ongoing)
Discussions on a joint evaluation project (DFID lead) was initiated in late 2007.
 - *Sida's Trade Related Support* (ongoing)
A pre-evaluation study was performed⁶ during 2007 and a seminar was arranged to present the findings and to discuss evaluability and the call for a full scale evaluation of Sida's trade related development cooperation.
 - *Total ODA* (cancelled)
Owing to capacity constraints this joint evaluation effort has been hibernating during 2007 and in early 2008 it was decided that Sweden should no longer have a leading role in this project.⁷
 - *Exit management* (ongoing)
As lead agency for this joint evaluation UTV has coordinated the work of the Steering Group and the consultant during the year. Five country case studies have been carried out and draft reports have been discussed at a Steering Group meeting during the fall and the work with the synthesis report has commenced. Preliminary evaluation findings have fed into the Swedish country concentration process.⁸ The evaluation is expected to be completed during the first half of 2008.
 - *Review of Sida evaluations and audits* (completed)
In the beginning of the year a review of all Sida's evaluations 2006 was made. The review summaries the results of the evaluations along DAC evaluation criteria⁹ and also made an inventory of the management responses to the evaluations.¹⁰
 - *Peer review: evaluation function at the World Food Programme* (new, completed)
The peer review was carried out by a group of international evaluation experts with Sida's evaluation department leading the review. The review assessed the strengths and weaknesses of the evaluation function at WFP along three criteria or dimensions: independence, credibility and utility.¹¹
- Theme: Aid Modalities
 - *Policy dialogue, including the rights perspective* (ongoing)
A pre-evaluation study was initiated during the fall of 2007.

⁵ With the Swedish support to statistical capacity building being one of the case studies.

⁶ UTV Working Paper 2007:2.

⁷ A number of partners has expressed a real interest in the project among but at the same time it is major endeavour that no other agency as yet has volunteer to lead.

⁸ The consultant was engaged to comment on the Ministry for Foreign Affairs guideline for country phase out strategies and was also invited to Sida in October to present preliminary findings.

⁹ The DAC evaluation criteria are relevance, impact, effectiveness, efficiency and sustainability.

¹⁰ The review, requested by the government in its letter of appropriation, is published as an UTV Working Paper 2007:1.

¹¹ Published by Sida 2007.

- Theme: Institutional Development
 - *Impact and sustainability of support for capacity development within public administration* (cancelled)
 - *Joint evaluation of capacity development* (cancelled)

Institutional development has been an important theme in UTV's work programme for the last few years. During 2007 there has been dissemination activities associated with evaluation projects performed 2005–2006. However, the two new projects listed in the plan for 2007 have been cancelled due to capacity constraints as well as the fact that capacity building enters other projects UTV is currently involved in.¹²
- Theme: Policy Evaluations
 - *Sida's work in the health sector* (on hold)

UTV and Sida's Health Division have been discussing the possible scope for a health sector evaluation. Owing the capacity constraints at the Health Division it was decided that an evaluation would not be initiated during 2007 but will be brought up for renewed consideration during 2008.

 - *Sida's work in the education sector* (ongoing)

UTV and the Education Division have jointly performed an evaluation of the guidance of Sida's educational support. A draft synthesis report was presented at a seminar at Sida in early 2008.
- Theme: Democratic Governance and Human Rights
 - *Citizens' Voice and Accountability* (ongoing)

This joint evaluation has produced a literature review and five country case studies have been performed. Sida has together with the Belgian Development Co-operation funded the case study in the DRC. UTV hosted the third Evaluation Core Group meeting in Stockholm in June 2007. In April 2008 a synthesis report is expected.

 - *Diakonia's Latin America programme* (completed)

The results of Diakonia's regional program for Latin America is assessed through a longitudinal study of a selection of eight projects.¹³ The evaluation report was published in early 2008.¹⁴
- Theme: Peace Building and Humanitarian Assistance
 - *Links between Relief, Rehabilitation and Development (LRRD) after the tsunami disaster – part II* (ongoing)

An approach paper for this joint evaluation project has been produced. This second phase centres largely on the same topics as the first phase but with a stronger emphasis of the views of the affected population and in close cooperation with affected countries in the region.

For details on presentation of evaluations, studies and working papers concluded by UTV see *Appendix A* and for a presentation of all documents published in the Sida Evaluation Series 2007 (published by Sida Departments as well as UTV) see *Appendix B*.

2.2 International Engagements

During 2007 UTV have actively participated in several international evaluation networks and joint evaluations. This engagement includes participation in: the OECD/DAC Network on Development Evaluation, the EU Heads of Evaluation Services (EUHES), the NordicPlus initiative for co-ordination and joint planning of evaluations (Denmark, Finland, Ireland, the Netherlands, Norway, Sweden, and

¹² Most pronounced in the evaluation on statistical capacity building.

¹³ The evaluation represents methodological novelty, as it will involve groups of local anthropologists and sociologists making repeated visits to the projects selected, hence trying to gauge changes in the participants' behaviour, actions, social relationships and beliefs.

¹⁴ Sida Evaluation 2008:2.

the United Kingdom), the Active Learning Network for Accountability and Performance in Humanitarian Action (ALNAP) and the Network of Networks on Impact Evaluation (NONIE)¹⁵ joint initiative for promoting the use of impact evaluations in development co-operation.

2.3 Support to Sida's Departments and Field Offices

UTV provides support directly to Sida's departments and to embassies as well as through working groups and networks. One such forum is Sida's Project Committee where UTV is an *ex officio* member. The Project Committee is a quality assurance mechanism that examines all assessments of Sida contributions exceeding SEK 50 million, hence requiring the approval of the Director General. The committee makes recommendations to the Director General prior to his or her decision. Another joint Sida venture to which UTV contributed during 2007 was Sida's Results Project Group. UTV made a synthesis of Sida experiences with RBM recorded in evaluations and translated the *DAC Glossary of Key Terms in Evaluation and Results Based Management* into Swedish. UTV also played a central role in developing the document *Strengthening Sida Management for Development Results*, on which Sida's results agenda builds. UTV is also represented in the POM-Forum (an advisory network formed by the Department of Policy and Methods – POM), the Peer Review Group for Cooperation Strategies and the Steering Group for Sida Studies. In all these fora UTV's principal task is to provide Sida's management and staff with support in working out the practical implications of a results based perspective.

Each evaluation officer at UTV is responsible for providing support to two or more Sida departments and is hence being requested from time to time to give his or her advice in matters related to evaluations carried out by the other departments and embassies. During 2007 UTV's evaluators assumed a more pro-active role than before and contacted all departments at the headquarters and some embassies to offer support with commissioning evaluations. This has quickly led to an increased demand for ad-hoc advice and field support. A new and growing demand for evaluation support has been communicated by embassies in exit countries. In order to improve the quality of evaluations, seminars and courses are planned for 2008, as is an extension of 'resources' section on the website (see 2.3.). The challenge is to develop a well-structured advisory function during 2008, while facing both growing demand and reduced resources.

The UTV support covers the entire evaluation project cycle, from the initial stages of planning, writing terms of reference and recruitment of external evaluators to the final assessment of the evaluation report and the formulation of a management response. As noted elsewhere in this annual report, UTV also takes responsibility for the publication of the completed evaluation reports and feeds them into Sida's evaluation data base.¹⁶ Where Sida is a stakeholder in evaluations conducted by other organisations and agencies, UTV offers its support to those who are responsible for managing Sida's involvement in the evaluation. It also gives advice on broader issues of results and results based management.

2.4 Communication and Dissemination

Communication with Sida's department and embassies, development partners and the wider public takes place through a number of different channels. UTV had one full-time documentalist and one member of staff who dedicated 50% of her time to communication activities, supported by the evaluators (see section 2.4.1 below for more details on publication activities). UTV's own evaluations have

15 NONIE includes members from three development evaluation networks, the DAC Evaluation Network, the UN Evaluation Group and the Evaluation Cooperation Group of the Multilateral Development Banks, as well as representatives from a number of developing countries.

16 UTV is also providing links to all Sida evaluations to the DAC Evaluation Resource Centre's data base facilitating access to the studies to a wider international audience.

been presented in a series of workshops and seminars, where interested parties from within and outside Sida were given an opportunity to discuss design, findings, conclusions and recommendations of the studies. For a list of workshops and seminars see Appendix C.

UTV is, in close cooperation with INFO, moving towards more effective use of digital communication. As the new homepage of the department on sida.se was completed in March 2007, the UTV section on the intranet was updated. A section focusing on ‘advice and support’ to other Sida staff working with evaluations will be developed in 2008.

Conceptual work with the new digital UTV newsletter has been done. However, the first issue which is envisaged to be launched in early 2008 depends on the timeframe of the general review of Sida’s website.

During 2007 consultations were held regarding the demand and possible form for evaluation training activities. A study on the quality of Sida’s evaluations was conducted and has given valuable insights into training needs.¹⁷ Consequently, a series of seminars on evaluation methodology has been planned for 2008 and the possibility of developing a web-course based on Sida’s evaluation manual is being explored.

UTV staff used the opportunity when travelling to conferences and work-shops to visit Sida staff at embassies and discuss evaluations, publication procedures and support for desk officers.

The publications ‘Sida Evaluation’, ‘Sida Studies in Evaluation’ and ‘UTV Working Paper’ continue to be important channels for dissemination of evaluation results (see also 2.4.1., below). The management response process has provided another forum for discussion and dissemination.

2.4.1 Publication activities

UTV publishes all Sida evaluations in a series of publications and makes sure that they are readily available in hard copies and conveniently available for interested partners to download from Sida’s web page.

Apart from these publishing and distribution activities, UTV maintains a data base on Sida evaluations and a minor reference library of Sida evaluations and international literature on evaluation in general.

The number of publications in the past three years is detailed in the table below.

Table 1. Number of publications 2005–2007 ¹⁸

	Sida Evaluations	Sida Studies in Evaluation	Sida Evaluation Newsletter	Working Papers
2007	48*****	5	–	3
2006	58****	1	–	4
2005	32*	2**	3	4***
*	34 published, of which one is a translation from Swedish to English (SE 05/11) and three are UTV evaluations (one of them SE 05/19 is a translation from English to Bosnian-Croatian-Serbian language)			
**	4 published, two are translations, from Swedish to English			
***	5 published, one is a translation from English to Bosnian-Croatian-Serbian language			
****	58 published, five are translations. Three from Swedish to English (SE 06/04, SE 06/57, 06/58), one from Swedish to French (SE 06/07) and one from English to Spanish (SE 06/29). Nine are UTV evaluations and one evaluation is a review (SE 06/21).			
*****	50 published, of which two cover different components of the same programme (SE 07/27, SE 07/28), and one is a translation from Swedish to Spanish (SE 07/34, 07/35).			

¹⁷ The study will be published in early 2008.

¹⁸ This series includes evaluations by both UTV as well as by other Sida departments and embassies.

3 Available Resources and Utilisation of Funds

In 2007 UTV had 11 full time employees, out of which five were evaluation officers. One of the evaluation officers is also the deputy director of UTV. In addition UTV had a librarian responsible for documentation regarding evaluations. UTV had considerable staff turnover in 2007: the administrator retired and four officers, including the director of UTV, left UTV for other assignments. On the basis of an overview of the administrative functions of UTV carried out in 2006, an information officer/assistant and a finance officer/controller was recruited in 2007.

To cover administrative costs, including salaries, UTV as a whole was allocated a budget for of SEK 9 287 000. On the account for bilateral development co-operation UTV was allocated SEK 9 000 000. These funds were mainly used for consultancy services, contributions to joint evaluation projects and for costs related to the printing and dissemination of reports.

UTV used 95% of available administrative funds in 2007. When it comes to utilisation of available funds on the development account, UTV used 87% of its allocation, amounting to a total of SEK 7 803 750.

Table 2. Budget and expenditure 2004–2007 (thousand SEK)

	Development account		Administrative account	
	Budget	Expenditure	Budget	Expenditure
2007	9 000	7 804 (87%)	9 287	8 841 (95%)
2006	15 000	13 388 (89%)	9 198	9 206 (100%)
2005	15 000	11 712 (78%)	8 885	8 806 (99%)
2004	15 300	11 295 (74%)	9 025	8 962 (94%)

4 Evaluation Activities by Sida's Departments

Sida's sector and regional departments and Swedish embassies with responsibility for development co-operation carry out a significant number of evaluations. UTV is responsible for follow-up of the evaluation plan of the departments and the embassies and for the printing and documentation of the evaluation reports. The following table presents the status of the evaluations which were reported in the Evaluation Plan for 2007.

Table 3. Departmental evaluations in plan 2005–2007 (number of evaluations)

Evaluation projects	2007	2006	2005
Planned	107	109	114
Completed	35	37	25
Active (continue)	26	21	41
Will start later	21	25	12
Cancelled	25	26	36
Total	107	109	114

57% of the evaluations included in the 2007 plan were completed or will continue in 2008. In addition, 13 evaluations that were not specified in the evaluation plan were completed. This may be compared with 7 and 5 in 2006 and 2005 respectively. All evaluations that were reported to UTV as completed have been published in the series “*Sida Evaluations*”.

Table 4. Departmental evaluations, both planned and unplanned, 2005–2007 (number of evaluations)

Evaluation projects	2007	2006	2005
Total of completed and active	74	65	71
Completed	48	44	30
In plan	35	37	25
Not in plan	13	7	5
Active (continue)	26	21	41
In plan	26	21	41
Not in plan	0	0	0

Table 5. Completed and published evaluations by department in 2007

Responsible department	Evaluations
Africa	15
Asia	7
Europe	2
Latin America	6
Co-operation with NGOs and Humanitarian Assistance	2
Democracy and Social development	7
Infrastructure and Economic Co-operation	2
Information	1
Natural Resources and the Environment	1
Research Co-operation	5
Total	48

The *Sida Evaluation Data Worksheet* is submitted to UTV in connection with the publication of the departments’ evaluation reports, and contains basic information about the evaluations. During 2007 the departments submitted worksheets for 48 completed evaluations (100%). Also in 2006 and 2005, the outcome was 100%. The presentation below is based on this information.

The total cost in 2007 for the evaluations that UTV has received information on (not all the worksheets contain this information) is estimated to SEK 19 210 258. The average cost for an evaluation was SEK 400 214.

Table 6. Departmental costs related to evaluations during 2005–2007

	Total cost (for Sida)	Average cost
2007	19 210 258	400 214
2006	22 168 638	503 833
2005	15 296 570	509 886

Table 7. Regional distribution of evaluations 2005–2007 in percent

	Africa	Asia	Latin America	Europe	Global	Middle East
2007	50%(24)	23%(11)	17%(8)	2%(1)	4%(2)	2%(1)
2006	37%(16)	26%(11)	21%(9)	5%(2)	5%(2)	5%(2)
2005	24% (7)	16% (5)	33%(10)	11%(3)	13%(4)	3%(1)

According to the statistics (see table 8), near half of the evaluations concerned Non-governmental channels corresponding to 44%. Bilateral co-operation constituted 40%.

Table 8. Channels for the evaluated development co-operation 2005–2007

	Bilateral	Multilateral	Non-governmental
2007	40%(19)	17%(8)	44%(21)
2006	52%(21)	11%(5)	36%(16)
2005	57%(20)	17%(6)	26% (9)

In 2007 most evaluations dealt with the social sector (see table 9). This has been the case for all three years since 2005.

Table 9. Sector for the evaluated development co-operation 2005–2007¹⁹

	Social sector	Economic sector	Infrastructure sector	Public admin	Disaster relief
2007	53%(28)	15%(8)	6%(3)	26%(14)	0%
2006	47%(22)	14%(7)	16%(8)	22%(11)	0%
2005	52%(17)	15%(5)	18%(6)	15% (5)	0%

¹⁹ An evaluation may be classified as belonging to more than one region, channel or sector.

Appendix A: UTV Evaluations and Studies Concluded 2007

Evaluating Co-ordination, Complementarity and Coherence in EU Development Policy: A Synthesis²⁰

The publication of the synthesis report on Co-ordination, Complementarity and Coherence marked the completion of the 3C evaluation. Sweden led one of the case studies²¹ and chaired the task force for the overall project. The Triple C studies assess the implementation of the 3Cs with regard to the use of article 96 of the Cotonou Partnership Agreement, assistance to trade capacity building, humanitarian assistance, country strategy papers and assistance to local development, as well as EU mechanisms for Policy Coherence for Development. Ways to enhance complementarity, coherence and coordination are suggested.

Experiences from Results Based Management – An Overview of Evaluations and Studies²²

In recent years results issues have become increasingly prominent within international development cooperation. As a donor organisation, Sida has recognised that it must be clear about the results that its contributions are intended to achieve. In 2006 a project was launched with the aim of promoting awareness of results issues within Sida and suggesting actions to improve results-based management within the organisation. To contribute to the project UTV published a report in 2007 highlighting observations and recommendations in the results area, drawn from UTV's own evaluation and audit reports along the years.

Changing Rules – Developing Institutions A Synthesis of Findings²³

Institutions, the informal and formal rules for social interaction, are a key to sustainable development and poverty reduction. Institutional reforms are high on the international development agenda, and supporting institutional development is a strategic issue for many donors. However, changing rules, and thereby developing institutions, is a complex matter since institutions are embedded in a country's specific history and culture. If institutional development is inherently "local", how can donors successfully support it as a means to contribute to poverty reduction? This report synthesises the findings from an initial orientation and overview phase, identifies lessons learned and discusses implication for Sida and its partners.

20 Published by the Evaluation Services of the European Union 2007.

21 Evaluation of co-ordination and complementarity European assistance to local development, Evaluation Services of the European Union 2006.

22 The study is only available in Swedish, *Erfarenheter av resultatstyrning – en genomgång av utvärderingar och studier*, Sida Studies in Evaluations 2007:1.

23 Sida Studies in Evaluation 2007:02.

'We can't all be ducks'

– Changing Mind-sets and Developing Institutions in Lao PDR²⁴

Changing “rules of the game”, formal and informal rules for social interaction, is central to any development process. Supporting such change is often challenging, as has been experienced by Sida in Laos. As a response, a participatory learning exercise was organised for Sida and its partners in Laos to draw lessons from this experience. This report synthesises the findings and provides insights about how to best work with institutional development in Laos, but may be valid in other settings as well.

Experiences of Country Evaluations²⁵

The study summarises experiences from country strategy or country programme evaluations in various organisations. It looks at the possibility of applying such experiences to Swedish development cooperation in view of the current trends in aid ideology and practice. The main conclusion of the study is that country programme evaluations are perceived to be useful by the donors concerned in spite of their modest ambition in establishing a causal relationship between the support provided by development cooperation and development outcomes. The study also comments on the apparent weak interest shown by partner countries in such evaluations, which could help them to make strategic decisions about development assistance and about comparative advantages for different forms for transfer of resources.

Mainstreaming at Sida: A Synthesis Report²⁶

During recent years, UTV has commissioned three evaluations on the implementation of Sida's policies; gender, HIV/AIDS and environment. All three policies share a specific mode of implementation: mainstreaming. This synthesis report draws on the lessons learnt from these three policy evaluations. Its purpose is to investigate the extent to which the policies have been implemented, to explore why they have or have not been effectively implemented, as well as to present recommendations on how implementation could be enhanced.

The findings from all three mainstreaming issues are consistent in that there are a number of internal organisational features at Sida that hamper effective policy mainstreaming. These include an overload of different policies, unclear guidelines and goals, and an absence of systems for follow-up and learning. The report points out important general recommendations, not least with regard to the need for policy coherence and clarity, as well as enhanced follow-up and learning.

Peer Review: Evaluation Function at World Food Programme (WFP)²⁷

This is the third professional peer review of the evaluation function at a multilateral development or humanitarian organisation. It was carried out by a group of international evaluation experts with Sida's evaluation department leading the review at the request by WFP to the DAC Network on Evaluation and the UN Evaluation Group (UNEG). The review assessed the strengths and weaknesses of the evaluation function at WFP along three criteria or dimensions: independence, credibility and utility.

²⁴ Sida Studies in Evaluation 2007:03.

²⁵ Sida Studies in Evaluation 2007:04.

²⁶ Sida Studies in Evaluation 2007:05.

²⁷ Published by Sida 2007.

The Panel concludes that the Independence of the WFP evaluation function is adequate in comparison to similar organisations; that the Credibility of products of the WFP evaluation function is uneven, and that the process of the function is somewhat more credible but also problematic; and that the criteria of Utility of the WFP evaluation function are partially met with regard to contributing to programming but that structures and mechanisms to promote utility are weak in most other respects.

Sida Evaluations and Audit 2006: A Synthesis of Findings and Conclusions²⁸

Synthesis covering Sida Evaluations completed in 2006. It assesses evaluation reports with reference to OECD/DAC and Sida standard evaluation criteria (relevance, effectiveness, impact, sustainability, efficiency), and summarises information regarding actual or potential effectiveness with regard to poverty reduction.

Review of Sida Support to Trade in Light of International Experience: Pre-study for a Possible Evaluation of Sida's Trade-related Assistance²⁹

This report sets the stage for an evaluation of Sida's trade-related assistance (TRA) by mapping Sida's current TRA and by relating it to other donors' TRA and to international evaluation efforts. The report identifies a number of evaluation themes including: the rationale and the effects of choosing different channels for policy and regulation activities; results oriented sector studies (e.g. of activities related to Sanitary and Phytosanitary Measures and Technical Barriers to Trade) and; experience of integrating trade in Sida's overall activities.

Assessment of Sida's Support to Human Rights and Democracy³⁰

The paper offers a comprehensive assessment of a number of general traits of Sida's support to human rights and democracy. The first part of the paper provides an overview of the partner countries and institutions that receive support from Sida. The question is where and with whom Sida's works in order to promote democracy, good governance and human rights. The second part is an inventory of all available Sida evaluations in this field. Problems brought up in the evaluations are categorised and their frequency assessed. The paper's final part is an analysis of the programme theories of human rights and democracy projects in a selection of partner countries. The most common assumptions and theories in Sida's support to this field are uncovered and discussed.

Evaluating Democracy Support – Methods and Experiences³¹

The proceedings from a workshop in 2006 on methods and experiences of evaluating democracy support, jointly organised by Sida/UTV and the International Institute for Democracy and Electoral Assistance (IDEA), was published in 2007. The main aim of the workshop was to explore ways in which existing methods of evaluating democracy support deal with the most important problems, such as the challenges of causality and attribution. The book does not intend to provide answers to all these questions. It rather shares the main deliberations of the workshop and aims at stimulating further debate.

28 UTV Working Paper 2007:1.

29 UTV Working Paper 2007:2.

30 UTV Working Paper 2007:3.

31 Published by Sida and the Institute for Democracy and Electoral Assistance (IDEA) 2007.

Appendix B: List of Publications

B.1 Sida Evaluation

- 07/01 Regional Democracy and Human Rights Cooperation in Greater Eastern Africa – Lessons Learned and the Road Ahead**
Part I: Evaluation of the overall Framework for Democracy and Human Rights
Part II: Evaluation of the Projects/Programmes Supported under Sida's Regional Democracy and Human Rights Programme
Arne Svensson, Mohammed Salih, Paschal Mihyo, Stina Waern
Department for Africa
http://www.sida.se/shared/jsp/download.jsp?f=Utv07-01_SIDA33862en_low.pdf&a=28862
- 07/02 Greenhouse Gas Emission Reduction from Industry in Asia and the Pacific (GERIAP)**
S.C. Bhattacharya
Department for Infrastructure and Economic Cooperation
http://www.sida.se/shared/jsp/download.jsp?f=Utv07-02_SIDA34565en.pdf&a=29565
- 07/03 Mobilizing Agroforestry Capacity for Development**
Final Evaluation of African Network for Agroforestry Education (ANAFE) and Zambian Agroforestry Project (ZAP)
Melinda Fones-Sundell, Dr. Zewgw Teklehaimanot
Department for Natural Resources and the Environment
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=29564&searchWords=07/03>
- 07/04 Young People's Health and Development**
A Reproductive and Sexual Health Centred Approach
A collaborative programme between RFSU, Sweden and MAMTA, India
Gordon Tamm, Rukhmini Rao with the collaboration of Viveca Urwitz, Hoang T. Hiep, Nguyen D. Khe
Department for Democracy and Social Development
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=31491&searchWords=young%20people>
- 07/05 Filling the Granary**
International Association of Theatre of Children and Young People (ASSITEJ) Africa Network, 1999–2007
Nicky du Plessis
Department for Africa
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=31547&searchWords=07/05>
- 07/06 Defending Human Rights in Georgia, An Evaluation of the Cooperation between the Public Defenders Office in Georgia and the Raoul Wallenberg Institute**
Gunnar Olesen, Nino Sakashvili
Department for Europe
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=31910&searchWords=07/06>
- 07/07 The Partnership of the East African Communities Organisation for Management of Lake Victoria Resources (ECOVIC) and the Swedish NGO Centre for Development Cooperation (FORUM SYD)**
Grace Lubaale, Alfred Omenya
Department for Africa
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=31540&searchWords=07/07>
- 07/08 Sida Support to the UNICEF Country Programme in Kenya**
Pauline Nyamweya, Atsango Chesoni, Nansozi Muwanga, Eric Ogwang, Jackson Karanja, Karuti Kanyinga, Julia Sloth-Nielsen
Department for Africa
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=31541&searchWords=07/08>

- 07/09 The Relevance and Future Role of the International Vaccine Institute (IVI) in the Global Landscape**
Leif Gothefors, Marita Troye-Blomberg, Lars Åke Persson
Department for Research Cooperation
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=32239&searchWords=07/09>
- 07/10 Hope for African Children Initiative (HACI)**
John Mwesigwa, Jackie Makoka, Rob Sinclair
Department for Africa
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- 07/11 Collaborative Learning Projects, Final Report**
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Department for Cooperation with Non-Governmental Organisations,
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- 07/12 International HIV/AIDS Alliance, Africa Regional Programme, Mid Term Review Report**
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Department for Africa
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- 07/13 Derecho a la Identidad y la Participación Ciudadana en Bolivia**
Eduardo Ballón Echegaray
Department for Latin America
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- 07/14 Palestine International Business Forum, 2005–2006**
Krister Eduards, Åsa Hydén
Asia Department
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- 07/15 Improving University Research and Education in Honduras**
Erik W. Thulstrup
Department for Research Cooperation
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- 07/16 The African Midwives Research Network**
Kim Forss, Gaynor Maclean
Department for Democracy and Social Development
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- 07/17 En utvärdering av Sidas ungdomssatsning Zenit**
Birgitta Birkenfalk, Sigge Birkenfalk, Jan Nylund
Informationsavdelningen
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- 07/18 Lake Victoria Catchment Environmental Education Programme (LVCEEP), Support to World Wide Fund for Nature (WWF), Final Report**
Irene Karani, James Ndung'u
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- 07/19 The Social Context Training Cooperation between Sida and the Law, Race and Gender Unit, University of Cape Town**
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Department for Africa
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- 07/20 Sida's Support to Urban Environment and Urban Development in South East Europe**
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- 07/22 The Utstein Anti-Corruption Resource Centre (4A-RC)**
John R. Heilbrunn
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- 07/23 Programa de Fortalecimiento al Régimen Electoral en Honduras, 2003–2007. Asdi-OEA**
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- 07/26 Consolidation and Renewal. CODESRIA in the New Millennium**
Björn Beckman, Inga Brandell, Lloyd Sachikonye, Mohammed Salih
Department for Research Cooperation
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=34719&searchWords=07/26>
- 07/27 Consolidated Anti-Corruption Training and Publication Programme: Project Nikolaj 2003–2006**
Liz Carlborn, Jonas Wikström
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- 07/28 The Swedish Support in the Area of Anti-Corruption in South Eastern Europe: PACO Impact, 2004–2006. Final Report**
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- 07/29 The Research Cooperation for Livestock Based Sustainable Farming Systems in the Lower Mekong Basin (MEKARN)**
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- 07/30 Sida Funded HIV/AIDS Projects in Zimbabwe**
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- 07/33 Maanisha Community Focused Initiatives to Control HIV/AIDS in Lake Victoria Region, Kenya**
Alex Kireria, Grace Muriithi, Karanja Mbugua
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Juan Faroppa, Tina Lundh
Department for Democracy and Social Development
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- 07/35 Cooperación al Sector de Justicia en Paraguay**
Juan Faroppa, Tina Lundh
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- 07/36 The Swedish Research Cooperation with Ethiopia**
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Carmel Marock
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Per Hellström, Philip Hirschsohn
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- 07/46 Replicating the Model of Land Administration under the One Stop Shop (OSS) Mechanism in Five Provinces in the Central Region of Quang Tri Public Administration Reform (PAR) Project**
 Adam McCarty, Do Duc Hao, David Fallows, Nguyen Van Dinh
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- 07/49 Informe Global de Evaluación de Modelo Integral de Salud Implementado sobre la Base de la Rectoría, la Participación Social y la Gestión Local en Guatemala**
 Iván Dario Puerta, Alicia Borges Månsson, Marta Medina Sandino, Edwin Haroldo Mayén Alvarado
 Department for Latin America
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- 2007:01 Erfarenhet av resultatstyrning: En genomgång av utvärderingar och studier**
 Lennart Peck
 Avdelningen för utvärdering och intern revision
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=31530&searchWords=2007:01>
- 2007:02 Changing Rules – Developing Institutions: A Synthesis of Findings**
 Gun Eriksson-Skoog
 Department for Evaluation and Internal Audit
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=32203&searchWords=2007:02>
- 2007:03 “We can’t all be ducks”: Changing Mind-sets and Developing Institutions in Lao PDR**
 Pernilla Sjöquist Rafiqui
 Department for Evaluation and Internal Audit
<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=32205&searchWords=2007:03>

2007:04 Evaluations of Country Strategies: An overview of Experiences and a Proposal for Shaping Future Country Programme Evaluations

Stefan Dahlgren

Department for Evaluation and Internal Audit

<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=32138&searchWords=2007:04>

2007:05 Mainstreaming at Sida: A Synthesis Report

Fredrik Uggla

Department for Evaluation and Internal Audit

<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=32801&searchWords=2007:05>

B.3 UTV Working Papers

2007:1 Sida Evaluations and Audit 2006: A synthesis of findings and conclusions

Lars Johansson, Mattias Lindgren Department for Evaluation and Internal Audit

<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=31955&searchWords=UTV%20Working%20Paper>

2007:02 Review of Sida Support to Trade in Light of International Experience: Pre-study for a possible evaluation of Sida's trade-related assistance

Jens Andersson

Department for Evaluation and Internal Audit

<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=31955&searchWords=UTV%20Working%20Paper>

2007:03 Assessment of Sida's Support for Human Rights and Democracy

Fredrik Uggla, Monica Wulfig

Department for Evaluation and Internal Audit

<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=36040>

B.4 Evaluations published with others Donors

Evaluating Democracy Support: Methods and Experiences Sida,

Peter Burnell (ed.), Department for Evaluation and Internal Audit and International Institute for Democracy and Electoral Assistance (IDEA)

http://www.idea.int/publications/evaluating_democracy_support/upload/evaluating_democracy_support.pdf

Peer Review Evaluation Function at the World Food Programme (WFP),

Peer Panel Members: Jock Baker, Stefan Dahlgren, Susanne Frueh, Ted Kliet, Zenda Ofir.
Advisors to the Panel: Ian Christoplos, Peta Sandison,
Published by Sida

<http://www.sida.se/sida/jsp/sida.jsp?d=118&a=34657&searchWords=39657en>

Evaluating Co-ordination, Complementarity and Coherence in EU development policy: a synthesis,

Evaluation Services of the European Union

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B.5 Other UTV publications

Looking back, Moving Forward – Sida Evaluation Manual,

Stefan Molund and Göran Schill, 2nd and revised edition, Sida 2007.

http://www.sida.se/sida/jsp/sida.jsp?d=118&a=3148&language=en_US&searchWords=looking%20back

Glossary of Key Terms in Evaluation and Results Based Management,

Sida and the Ministry for Foreign Affairs Sweden, 2007.

<http://www.sida.se/sida/jsp/sida.jsp?d=1525&a=28578>

Appendix C: Seminars and Workshops

In May UTV participated in the Network of Networks on Impact Evaluation (NONIE) workshop in The Hague. The development of a “guidance” was discussed based on drafts from three different working groups. UTV made a commitment to support the establishment of a NONIE-secretariat.

In October a seminar on the evaluability of Swedish trade related assistance was held at Sida-HQ. The main purposes of the seminar were to discuss the current knowledge about Swedish Trade Related Assistance, critical gaps in this knowledge and whether there is a scope for closing these gaps considering data availability etc. The seminar participants came from the Sida Board, Sida departments, MfFA, Swedish National Board of Trade and the research community.

In November, UTV jointly organised a seminar in Stockholm together with the European Centre for Development Policy Management (ECDPM) to present and discuss the results from the Joint Triple C Evaluation and their implications for effectiveness of Swedish development assistance. The seminar focused on implications for Swedish development cooperation policies and operations in the EU context.

In 2007, UTV continued to participate in workshops related to the dissemination of the studies carried out in the area of Institutional Development

- Workshop in May for Sida staff – exploring the ways of using the insights made from the Lao case study.
- Half a day workshop in May for the Department for Policy and Methodology (POM), sharing experiences from the Lao case study.
- Responsible for a session at the Sida “Field Days” in August. Presenting findings and experiences from the Lao case study.

In February UTV organised two seminars around evaluations of country programmes:

- The first seminar was a presentation and discussion of a new report – Experiences of Country Evaluations by Stefan Dahlgren (SSE 2007:04) – with initial comments by Jean-Louis Chomel at Evaluation Department, European Commission, and Julia Compton and Kerstin Hinds at Evaluation Department, DFID.
- The second seminar was a presentation by Jean-Louis Chomel, Head of Evaluation, European Commission, of the Commission’s method for country program evaluations. The seminar was attended by staff from Sida and Ministry for Foreign Affairs.

At the beginning of December Stefan Dahlgren, UTV, participated in ALNAP’s biannual conference in Dakar. The conference theme was “Compound Crises” and discussed mainly lessons from recent crises in West and North Africa.

UTV hosted in June a two day Steering Group meeting for the joint evaluation on Citizens’ Voice & Accountability. The conference was attended by evaluation managers from six bilateral donors and consultants from ODI in London.

In March Stefan Dahlgren participated in the second regional workshop in Bangkok on TRIAMS (Tsunami Recovery Impact Assessment and Monitoring System), a system for domestic follow up by authorities in the countries most affected by the tsunami disaster. At the workshop an outline was presented for the second stage of the evaluation of linkages between relief, rehabilitation and development (LRRD) to be initiated by former TEC members together with affected countries.

In September UTV jointly with the embassy in Kosovo and the Department for Europe held a workshop on results management for Sida and embassy programme officers at a regional conference in Pristina.



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