

Sida's Evaluation Plan 2004



SWEDISH INTERNATIONAL DEVELOPMENT
COOPERATION AGENCY

Department for Evaluation and Internal Audit

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Sida's Evaluation Plan 2004

1 Sida's evaluation activities

Evaluation is considered to be an integral part of development co-operation. The main purposes of Sida's evaluations are to strengthen learning and performance among the actors involved in Swedish development co-operation and to provide information to the general public and government bodies about the results of Swedish development co-operation through Sida.

In December of 2003 the Swedish Parliament decided on a comprehensive and coherent Swedish policy for global development. The decision involves an increased emphasis on accountability for the results of the new policy. In line with this a new independent function for evaluation of development co-operation is to be established. According to the underlying Government Bill the existing evaluation functions are to continue as each organisation charged with executing the new policy will be responsible for evaluation activities within its own remit.

According to Sida policy each embassy and Sida department evaluates project and programme performance within its own area of operational responsibility. These evaluations, as well as those of the Department for Evaluation and Internal Audit (UTV), are included in an annual Evaluation Plan. The present *Evaluation Plan* covers evaluations to be completed, ongoing or initiated by Sida during 2004.

UTV's evaluation plan and the evaluation plans of the units of operative responsibility are schematically presented in *Annexes 1* and *2* respectively. For a more detailed account of the goals, organisation and working methods of Sida's evaluation system, the reader is referred to *Sida's Evaluation Policy* approved by Sida's Board of Directors in September 1999.

2 The Department for Evaluation and Internal Audit

UTV is an independent department reporting to Sida's Board of Directors. UTV's evaluation plan is adopted by Sida's Board of Directors. The evaluation reports are presented to the Director General who will decide on a Management Response before the evaluation is eventually presented to Sida's Board of Directors. The budget allocation to UTV, both the administrative budget and the allocation from the account for Bilateral Development Co-operation, are decided by the Director General.

2.1 Mandate and tasks

UTV is mandated to carry out comprehensive and/or thematic evaluations of strategic importance to Swedish development assistance. All development co-operation funded or co-funded by Sida, as well as

Sida's organisation, may be examined by UTV. As a rule, UTV evaluations do not focus on individual projects, unless they involve issues of broader interest to Swedish development co-operation.

In addition to carrying out evaluations on its own, or in co-operation with other donor organisations and partner countries, UTV gives support to the evaluation activities of Sida's operative departments and embassies, both through project support – advising on individual evaluation projects – and organisational support – support in establishing and following up evaluation plans, training programmes, etc. UTV is also responsible for documentation and information in the field of evaluation.

In balancing these different tasks, UTV is currently giving most weight to its own evaluations, followed by joint evaluations and then support to evaluation activities. However, in view of Sida's Field Vision, as well as the harmonisation process at the international level, this balance might shift more towards joint evaluations and support to evaluation activities.

The implementation of the Evaluation Plan is reviewed by UTV every year as part of UTV's *Annual Report* to the Board.

2.2 Staff and budget

By the end of 2003, UTV had 6 1/2 positions as evaluation officers, equivalent to 4.75 full time positions due to part time work and vacancies. The department furthermore comprises officers working with documentation and information (one full time post) and internal administration (three full time posts), as well as three internal auditors.

UTV's budget for administration, including staff, constitutes SEK 8.821 million for 2004. Approximately SEK 150 000 will be carried over from the year 2003. The allocation reflects a general reduction of 2% being imposed on the administrative budgets of most Sida departments in 2004.

SEK 14 million have been allocated to UTV activities from the account for Bilateral Development Co-operation for procurement of external services for evaluation and internal audit in 2004.

3 Departmental evaluation plans

The departmental evaluation plans¹ for 2003 covered 133 evaluations. At the end of that year, a total of 34 evaluations had been completed (and an additional number of 5 evaluations not listed in the plan), 36 were ongoing, 32 had been postponed, and 31 had been removed from the plan. A more detailed examination of the implementation of the departmental plans can be found in UTV's *Annual Report* to the Board.

The evaluation plans for 2004 include 113 evaluations (some of which are annual reviews). A compilation of the plans submitted to UTV is given in Annex 2. Some of the information submitted is incomplete, but the following may be said:

- 52 of the evaluations will be carried out in accordance with prior project agreements.
- 99 of the evaluations concern projects that previously have not been evaluated by Sida.
- 14% of the planned evaluations are interim evaluations of ongoing projects rather than evaluations of completed projects.

¹ The departmental plans are adopted by the Director General.

- Among the stated reasons for evaluation, a need for information for fine-tuning of the evaluated project, and a need for information relevant to a decision concerning continued support, are the most common.

4 UTV's evaluation plan

UTV has a planning horizon of two to three years to allow for a thematic approach involving several projects. Also most evaluation projects have a duration of more than one year. Before an evaluation proposal becomes part of UTV's Evaluation Plan it is screened by the following criteria: policy relevance, financial importance, innovativeness and replicability of the evaluated co-operation, evaluability, cost-benefit, and usefulness to Sida and other stakeholders.

4.1 Looking back – evolving themes and priorities

Since its creation in 1995 UTV has explored a variety of themes and issues related to current issues in development co-operation. In review the evaluations of UTV have been less concerned with assessing long term impact, than with the implementation of policies and principles, and with gauging the relevance and effectiveness of aid modalities and the processes of development co-operation. UTV has carried out several studies of the use of the evaluation instrument in Sida's work and the quality of results information in country strategies. Most evaluations have in one way or another been used in revising or formulating Sida policies and guidelines.

UTV has made some attempts to assess long term impact. One is the large evaluation of the Bai Bang project², an ex-post assessment of the outcome and impact of a large and controversial project to support the construction of a pulp and paper production facility in Vietnam. Another attempt is the evaluation of Swedish Programme Aid³ that addressed a wide range of issues and included the whole spectrum of programme aid modalities. The evaluation also attempted to answer the question of the impact of Swedish programme aid.

UTV has concerned itself with various aspects of knowledge management, assessing the use of evaluations in Sida's work⁴, the quality of results analysis⁵ and the incorporation of various cross-cutting issues in evaluations⁶.

Country level evaluations have used two main approaches. Two evaluations (Tanzania and Mozambique⁷) examined the process of formulating and the content of the country strategies for the two

² Paper, Prices and Politics. An Evaluation of the Swedish Support to the Bai Bang project in Vietnam. Sida Evaluation 99/3.

³ Dollars, Dialogue and Development. An Evaluation of Swedish Programme Aid. Sida Evaluation 99/17.

⁴ Using the Evaluation Tool. A survey of conventional wisdom and common practice at Sida. Sida Studies in Evaluation 97/1. Are Evaluations Useful? Cases from Swedish Development Co-operation. Sida Studies in Evaluation 99/1.

⁵ Are Evaluations Useful? Cases from Swedish Development Co-operation. Sida Studies in Evaluation 99/1.

⁶ Poverty Reduction and Gender Equality. An Assessment of Sida's Country Reports and Evaluations in 1995–96. Sida Studies in Evaluation 97/2. Evaluating Gender Equality – Policy and Practice. An Assessment of Sida's Evaluations in 1997–1998. Sida Studies in Evaluation 98/3. The Environment and Sida's Evaluations. Sida Studies in Evaluation 96/4. Environmental Considerations in Sida's Evaluations Revisited. A follow-up and analysis six years later. Sida Studies in Evaluation 03/02.

⁷ Fighting Poverty Strategically? Lessons from Swedish Tanzanian Development Co-operation 1997–2000. Sida Evaluation Report 00/02. Assumptions and Partnerships in the Making of a Country Strategy. An Evaluation of the Swedish-Mozambican Experience. Sida Evaluation 01/07.

countries. In contrast the recent evaluations of the Swedish country programs in Laos and Vietnam⁸ focused on the implementation of the strategy and its expression in every day decisions about support to specific projects and programs.

Aid modalities have also been evaluated, notably program support as already mentioned and Contract Financed Technical co-operation⁹. In addition, Swedish support to area based development projects has been evaluated in order to assess how they might be retooled to enhance sustainability and their contribution to reducing poverty¹⁰.

UTV has also evaluated the implementation of basic principles and policies in Swedish development co-operation. How the principle of partner country ownership is being put into practice in development co-operation in Kenya, Uganda and Tanzania is the object of a recent evaluation¹¹. Furthermore UTV has evaluated progress in mainstreaming gender equality into development co-operation¹². UTV has also commissioned a study about incentives and sustainability in development co-operation¹³.

In recent years UTV has explored Sida's approach to private sector development¹⁴ with a number of evaluations, one of which will be forthcoming in 2004.

4.2 Joint evaluations

UTV has actively contributed to a number of joint evaluations starting with the evaluation of the response to the genocide in Rwanda¹⁵. A joint evaluation looked at EU development co-operation with the ACP (Africa, Caribbean and the Pacific) countries. Lately UTV has participated in the World Bank led evaluation of the Comprehensive Development Framework¹⁶ and the joint evaluation on support to Basic Education¹⁷. Joint evaluations involving both donor organisations and partner countries are becoming increasingly important as a vehicle for joint learning and accountability. A decision by the Directors General of the so-called Nordic + group (Denmark, Finland, Norway, Sweden, the Netherlands and Ireland) implies joint evaluation planning beginning in 2005. Also a joint approach to evaluation is promoted by the DAC Network for Development Evaluation. This trend towards increased donor co-operation and harmonisation is likely to influence evaluation planning both in the operative departments and in UTV in the years to come.

⁸ Implementation of the 1999–2003 Country Strategy for Swedish Development Co-operation with Laos. Sida Evaluation 02/36. Implementation of the 1999–2003 Country Strategy for Swedish Development Cooperation with Vietnam. Sida Evaluation 02/35.

⁹ Contract-Financed Technical Co-operation and Local Ownership. Sida Evaluation 03/09.

¹⁰ Area Development Projects, Poverty Reduction, and the New Architecture of Aid. Sida Evaluation 02/14.

¹¹ Supporting Ownership. Swedish Development Cooperation with Kenya, Tanzania, and Uganda. Sida Evaluation 02/33.

¹² Mainstreaming Gender Equality. Sida's Support for the Promotion of Gender Equality in Partner Countries. Sida Evaluation 02/01.

¹³ Aid, Incentives, and Sustainability. An Institutional Analysis of Development Cooperation. Sida Studies in Evaluation 02/01.

¹⁴ Approach and Organisation of Sida Support to Private Sector Development. Sida Evaluation 01/14. Rural Development and the Private Sector in Sub-Saharan Africa. Sida's Experiences and Approaches in the 1990s. Sida Evaluation 03/18. Support for Private Sector Development: Summary and Synthesis of Three Sida Evaluations. Sida Studies in Evaluation 03/05.

¹⁵ The International Response to Conflict and Genocide: Lessons from the Rwanda Experience. Joint Evaluation of Emergency Assistance to Rwanda. March 1996.

¹⁶ Toward Country-led Development. A Multi-Partner Evaluation of the Comprehensive Development Framework. IBRD/The World Bank, 2003.

¹⁷ Local Solutions to Global Challenges: Towards Effective Partnership in Basic Education. Netherland's Ministry of Foreign Affairs, 2003.

4.3 Work modalities

Whereas evaluations serve the purpose of both learning and accountability, UTV has recognised that it is necessary to adopt a participatory approach to evaluations in order to enhance both quality and evaluation impact. In practice this means that relevant stakeholders are invited to discuss the evaluation at different stages of the evaluation process. Although the subject matter and object of the evaluation is decided by Sida's Board of Directors, UTV has the final responsibility for the Terms of Reference and the actual evaluation is carried out by independent external consultants. Primary stakeholders are as a rule invited to contribute to the process. In a typical evaluation there will be a consultation process regarding the Terms of Reference, stakeholders may be invited to comment on the work of the consultants at various stages and open seminars are arranged for discussion and dissemination of evaluation findings. Although this has become a standard mode of operation the work modalities may still be developed to enhance the participation of different stakeholders in the evaluation process.

4.4 Priorities for 2004–2005

The year 2003 was the final year for several themes. Ownership as a basic principle for international development co-operation has been explored in several evaluations and the findings from these were synthesised in a study¹⁸. The evaluation of gender mainstreaming resulted in a methods study¹⁹ and a joint seminar with the evaluation unit in EuropeAid.

In the coming year UTV expects to continue with a thematic approach in the following areas:

- knowledge management in development co-operation and evaluation methodology
- policy evaluation
- pro-poor economic development
- institutional development
- urban/rural development and poverty reduction
- democracy and human rights – the rights perspective
- social development
- peace building and humanitarian assistance
- aid modalities.

These themes are in line with current priorities in Swedish development co-operation as defined by the decision of Swedish Parliament and the underlying Government Bill on a new Swedish comprehensive policy for global development.

In 2004, UTV intends to carry out the evaluations and studies along the themes presented above. Several of these will be continued from the previous year and carried over from Sida's Evaluation Plan 2003 and initiated in 2004 due to high staff turnover. In addition to the planned evaluations, the presentation below includes some areas which – depending on available resources – will be subject to further exploration during the coming year.

The plan contains a total of 23 evaluations, of which 12 will be completed in 2004. In addition to possible joint evaluations within the DAC framework, six evaluations will be carried out jointly with other donors. Some of the evaluations are relevant with regard to more than one theme. In such cases,

¹⁸ Donorship, Ownership and Partnership. Issues Arising from Four Sida Studies of Donor-Recipient Relations. Sida Studies in Evaluation 03/03.

¹⁹ Reflection on Experiences of Evaluating Gender Equality. Sida Studies in Evaluation 03/01.

the evaluation is mentioned under the heading most closely related to its main purpose. An overview of the plan is presented in *Annex 1*.

UTV is an active member of various development evaluation networks. UTV is representing Sweden in the DAC Network for Development Evaluation, which consists of the Heads of Evaluation Services (HES) of the donor organisations of the OECD member countries. As the Director of UTV has been elected chair of the DAC Network and will assume this responsibility as of July 2004, this will imply greater international involvement for the department as a whole. UTV is also represented in the EUHES group of the EU Commission and Member States. In addition UTV participates in annual meetings with the HES in the other Nordic countries.

By participating in various evaluation networks, UTV is promoting donor co-ordination in relation to evaluation standards and evaluation programming. In the interest of donor harmonisation and joint learning and accountability UTV is actively engaged in the planning and implementation of joint evaluations in areas of strategic interest to Swedish international development co-operation.

4.4.1 Knowledge management²⁰

According to several recent UTV evaluations, Sida's general policy of supporting partner country ownership and promoting participation has not had much impact on evaluation activities. In evaluations of Sida-supported projects and programmes, Sida itself usually occupies the driver's seat while its co-operation partners play a more passive role. The present study is intended to increase our understanding of this

state of affairs. What are the obstacles to partner country ownership and participation in evaluation, and how would established practices have to change in order for evaluations to better serve the interests of Sida's partners? The study will also consider the implications for evaluation of ongoing harmonisation efforts among the donors and the concurrent shift from projects to programmes.

Sida has instituted a *management response* system to ensure that the findings and the recommendations of evaluations carried out within Sida are properly taken into account and documented by the Sida departments concerned. Typically such a response will include a comment on the findings and recommendations of the evaluation in question as well as an action plan with a concomitant timeframe and allocation of responsibilities. This system has been operative since 1999 and UTV intends to carry out an external evaluation of the system. The evaluation will focus on the experiences of the system as implemented as well as on the effectiveness of the system to disseminate the findings of the evaluations and to promote learning and change. The evaluation will be carried out during 2004.

By taking stock of existing approaches and experiences to assess the impact of development co-operation at country level, UTV will look at suitable ways to undertake useful country level evaluations. This will include experiences from the Sida country strategy evaluations during 2000–2002 in four countries and experiences of other donors, notably the World Bank Country Assistance Studies. It will build on the discussions within the DAC Network on Development Evaluation. During 2004 a synthesis report on experiences and alternative approaches will be produced.

- ❑ Pre-study of partnership issues in monitoring and evaluation. UTV project 1. Start: 2003. End: 2004.
- ❑ Evaluation of management response to evaluations. UTV project 2. Start: 2003. End: 2004.
- ❑ Synthesis of experiences from country evaluations. UTV project 3. Start: 2004. End: 2004.
- ❑ Joint evaluation of the quality of DAC member evaluations. UTV project 4. Start: 2004. End: 2005.

²⁰ The evaluation projects mentioned in the main text are also listed in the shaded textboxes. To facilitate cross-references between this document and the summary presentation of the plan in *Annex 1*, each evaluation has been provided with a UTV project number.

The issue of *evaluation quality* – and the potential problem of weak quality of member evaluations – has been highlighted by the Development Assistance Committee (DAC) Network on Development Evaluation. High evaluation quality is crucial for effective accountability and learning within development co-operation and thus for pursuit of the millennium development goals. It is further emphasised by the new Swedish policy for Global Development. In 2003, the Australian Agency for International Development initiated a first step towards conducting a review of the quality of DAC member evaluations and invited the other network members to participate in an evaluation. The purpose of the joint evaluation is to 1) assess the overall quality of DAC evaluations, 2) identify strengths of DAC evaluations including good practice case studies, and 3) to identify weaknesses and areas for potential improvement. The evaluation will be initiated in 2004 and probably finalised in 2005.

4.4.2 Policy evaluation

In accordance with a directive in the Swedish government letter of appropriations for 1998, Sida has developed a position paper and strategy for its support for the prevention of HIV/AIDS. The purpose of the strategy, entitled *Investing for Future Generations – Sweden's international response to HIV/AIDS*, is to guide Swedish interventions aimed at reducing the further spread of HIV, and mitigating the effects of the epidemic on individuals and society. To determine changes over time, UTV had a baseline study carried out in 2001, using 1999 as base year (*Sida Studies in Evaluation 01/02*). During the fall of 2003, UTV initiated the preparations of a Terms of Reference which will be discussed with the relevant stakeholders in the beginning of 2004 before procuring consultants. The focus of the evaluation will be on the implementation of the strategy in Sida's aid programming and organisation.

- ☐ Evaluation of Sida's HIV/AIDS strategy. UTV project 5. Start: 2003. End: 2005.
- ☐ Evaluation of Sida support to culture and media. UTV project 6. Start: 2003. End: 2004.
- ☐ Evaluation of the implementation of Sida's policy for sustainable development. UTV project 7. Start: 2004. End: 2005.
- ☐ Evaluation of the three C's in the context of European Union aid. UTV project 8. Start: 2003. End: 2006.

In 2003, in close dialogue with Sida's Division for Culture and Media as well as other stakeholders, UTV finalised a Terms of Reference for an evaluation of Sida's work with culture and media. The stated purpose is to assess the relevance of the *Policy for Sida's international development co-operation in the field of culture* and its pertaining portfolio of interventions. The evaluation will consist of two separate studies of culture and media. Each will begin by presenting the current discourse about the roles of culture and media respectively in development co-operation, preparing a conceptual framework against which the assessment of their relevance will be based. This will be followed by a common synthesis, providing recommendations that will feed into the planned revision of the policy. Consultants will be procured in the beginning of 2004 and the evaluation will be completed in 2004.

According to the new Swedish policy for global development, equitable and sustainable development are overarching principles of all development co-operation. Sustainable development has been one of the goals of Swedish development co-operation for a long time, being adopted by Parliament in 1988. In 1996 the "new" Sida adopted a policy and an extensive action plan. The Environment Policy Division at Sida has recently adjusted its policy for sustainable development to comply with the new agenda. In view of the long time that the goal of sustainable development has been a priority goal, UTV intends to assess the implementation of Sida's policy for sustainable development, and to feed the lessons learned into the work towards the overarching goal of an equitable and sustainable development. This year UTV will thus initiate an evaluation of the implementation of the policy for sustainable development.

Under the mandate of the EU Heads of Evaluation Services (EUHES), a joint evaluation of the EU policy framework of co-ordination, complementarity and coherence (“the 3-C evaluation”) will start this year, somewhat delayed in relation to the original plans. Sweden through UTV participates in a seven-member group together with the Netherlands, Germany, France, Portugal, the United Kingdom and the Commission itself. The design and selection of case studies is developed in collaboration with ECDPM (European Centre for Development Policy Management), a Dutch government sponsored institute, and will be decided upon by EUHES in June 2004. The complete evaluation will comprise several individual studies and is expected to last two-three years.

4.4.3 Economic development

In 1999, UTV initiated a series of thematic evaluations of Sida’s approach to private sector development (PSD). The first evaluation – of Sida’s overall approach to and organisation of PSD support – was published in 2001 (*Sida Evaluation 01/14*). As a response to this evaluation, the Sida management initiated a process to develop a policy for its PSD support, and this policy was eventually adopted in 2003.

- ❑ Evaluation of Sida’s approach to private sector development (PSD) support for rural development. UTV project 9. Start: 2001. End: 2004.
- ❑ Evaluation of Sida’s approach to PSD support in Russia and Ukraine. UTV project 10. Start: 2001. End: 2004.
- ❑ Synthesis of three evaluations of Sida support to PSD. UTV project 11. Start: 2003. End: 2004.
- ❑ Pre-study of Sida’s growth strategies. UTV project 12. Start: 2004. End: 2004.

With findings of the first evaluation as well as reactions within Sida to it as a point of departure, UTV launched two additional evaluations on the same theme – but with different foci – during 2001. Firstly, an evaluation of Sida’s approach to PSD support within rural development, with a focus on four sub-Saharan African countries, was commissioned, in order to assess Sida’s response to the last two decades of deregulation of agriculture in the region. This evaluation is already published (*Sida Evaluation 03/18*), but some follow-up activities remain. Secondly, an evaluation of Sida’s approach to PSD support in Russia and Ukraine – which was considered to differ from that of Sida’s approach to PSD support in other regions – was conducted in order to learn from contrasting approaches. The evaluation report is in the process of being finalised. Also these two evaluations have served as inputs into ongoing policy development processes within Sida, by closely involving Sida staff throughout the evaluation processes.

In order to synthesise the findings of the three PSD evaluations and make them more easily accessible, UTV commissioned a synthesis report in 2003. The report is completed and about to be published (*Sida Studies in Evaluation 03/05*), but dissemination activities remain.

Economic growth is necessary, but not sufficient, to achieve sustainable social development. Hence, development co-operation should be directed to sectors according to their potential for poverty reduction, with economic efficiency as one of the crucial determinants (together with human rights, gender equality and environmental sustainability). Sida has put an increased focus on economic growth, which also increases the need for evaluations of these efforts. The nature of economic growth is a complex process embedded in the country specific contexts. Consequently, it is often unclear how to deal with this issue in practice even though it is agreed that economic growth is crucial for development. In 2004, UTV will initiate a pre-study mainly focused on the perception of different aspects of economic growth at Sida, and a mapping of the practical operations in order to foster growth. This pre-study will also guide the development of more specific evaluation subjects connected to Sida’s current and future involvement to increase economic growth. It is not at least important to identify the areas where Sida, by its experience, has a particular comparative advantage compared to other donors, and the areas where the focus should be on joint development aid.

4.4.4 Institutional development

Supporting institutional development is increasingly recognised as vital for sustainable development and poverty reduction, as well as for effective development co-operation – both internationally and within Sweden and Sida, as expressed for instance in the new Swedish policy for Global Development. However, developing appropriate institutions is a difficult task, as witnessed not least by the experience of transition economies. Supporting institutional development is not only a matter of identifying the appropriate institutions, but also a question of how to go about to actually create or change them. One difficulty lies in the circumstance that institutional change is embedded in the specific historical, political and social context in which it takes place and is thus essentially ‘local’ in character. What can Sida, as an external agency, do to effectively support such processes of desired institutional development in partner countries?

- ❑ Supporting institutional development: Pre-study of Sida views, work and knowledge. UTV project 13. Start: 2004. End: 2005.
- ❑ Pre-study of support for institutional development in Laos: The roads and forestry sectors. UTV project 14. Start: 2002. End: 2004.

UTV intends to initiate an examination of Sida support to institutional development in 2004, in order to highlight the role of institutions in development as well as to collect and document Sida’s experience from supporting institutional development. Learning how to successfully support processes of institutional change becomes particularly important in light of current trends towards budget support and sector-wide approaches, local ownership, an enabling environment for private sector and rural development and a rights-based approach to poverty reduction. A first step will be to conduct a pre-study, in terms of an overview of Sida’s views upon, work with and knowledge about supporting institutional development, and to identify potential areas for evaluation. Additional steps may be to survey the experience of other donors and to commission a study of the ‘state of the art’. The pre-study shall be initiated in 2004, completed in 2005 and conducted in close co-operation with Sida’s operative departments and the embassies.

During 2002, UTV initiated a pre-study for an evaluation of Sida support to institutional development within the roads and forestry sectors in Laos. The pre-study reviews the two sectors in Laos and Swedish support to them during the 1990s from an institutional development perspective, and suggests areas for evaluation of the Swedish support from such a perspective. The report is published (*Sida Studies in Evaluation 03/04*), but dissemination activities remain. It will serve as a basis for a decision about a possible subsequent evaluation, which might be initiated in 2004. The purpose of such an evaluation would be to contribute to Sida and stakeholder learning about institution building in general as well as in Laos specifically.

4.4.5 Urban/rural development

In 2002 UTV in close co-operation with the Department for Natural Resources and the Environment (NATUR) completed a study of poverty reduction, learning and sustainability in rural development projects. During 2004 UTV will embark on a similar study of programmes and projects in support of urban development, focussing on the relevance and implementation of Sida’s policy for this sector. The study will be undertaken in partnership with Sida’s division for Urban Development within the Department for Infrastructure and Economic Co-operation (INEC). It will be used as an input to ongoing policy work. The study will be completed in 2005.

- ❑ Sustainable urban development. UTV project 15. Start: 2004. End: 2005.
- ❑ Joint evaluation of the International Fund for Agricultural Development (IFAD). UTV project 16. Start: 2003. End: 2004.

UTV represents Sweden and the Ministry of Foreign Affairs on the Steering Committee of an ongoing multistakeholder evaluation of the International Fund for Agricultural Development (IFAD).

The stated purpose of this evaluation is to provide an independent assessment of the extent to which the Fund is fulfilling its mandate to address rural poverty and hunger in an effective manner.

The evaluation is expected to provide an input for establishing strategies, policies and guidelines for IFAD's work in years to come. The study began in 2003 with the establishment of an organisational framework, the writing of terms reference and the recruitment of a team of evaluators. In 2004, it will continue with an inception study, field research and the drafting of the main report.

4.4.6 The rights perspective

Support to democracy and human rights constitutes an important area for Sida's development co-operation and is a central tenet of Swedish policy for global development. On the basis of a previous evaluability study²¹ UTV intends to further explore possible approaches to evaluations in this field. One of several possibilities is to evaluate Sida's support from a child rights perspective in continuation of a pre-study on the subject which was completed in early 2003²².

- ❑ Pre-study of Sida's support to democracy and human rights (including the rights of the child). UTV project 17. Start: 2002. End: 2005.

4.4.7 Social development

The Millennium Development Goals comprise several health issues, for instance through goals such as reducing child mortality, improving maternal health and reversing the incidence of major diseases. Sida's new health policy adopted in 2003, entitled *Health is Wealth*, also identifies

health as key to economic and social development, and thereby to poverty reduction. Sida's work with health covers the following four programmatic areas: Health systems development, health services, public health and health care. During the fall of 2004, UTV will start preparing Terms of Reference for an evaluation of Sida's programmatic approach to the health sector.

- ❑ Evaluation of Sida's programmatic approach to the health sector. UTV project 18. Start: 2004. End: 2005.
- ❑ Evaluation of support to basic education. UTV project 19. Start: 2001. End: 2004.

UTV is together with the Division for Education (UND) taking part in a joint evaluation of basic education. The evaluation was initiated by the Netherlands within the OECD/DAC framework in 2001. It includes country studies for Bolivia, Burkina Faso, Uganda and Zambia. The evaluation was finalised during 2003, and the reports will be published and distributed at the beginning of 2004.

4.4.8 Peace building and humanitarian assistance

In 2001, UTV initiated work on an evaluation of Swedish support to the Western Balkans with special emphasis on Bosnia-Herzegovina.

A preparatory study was carried out to identify the type and scope of evaluation studies needed to shed light on the role and relevance of Swedish assistance. During 2003 UTV finalised a Terms of Reference for the evaluation. The purpose of the evaluation is to study the impact, relevance and sustainability of the Integrated Area Programs in Bosnia Herzegovina. The evaluation process started with a workshop in Sarajevo in October 2003. UTV is aiming at having the evaluation finalised by 2005.

- ❑ Evaluation of Sida's Integrated Area Programmes in Bosnia-Herzegovina. UTV project 20. Start: 2003. End: 2005.

²¹ The Evaluability of Democracy and Human Rights Projects. A Logframe-Related Assessment. Sida Studies in Evaluation 00/3.

²² Child Perspectives in Sida's Development Co-operation – A Review of the Co-operation with two Countries. Lillemor Andersson Brolin. March 2003 (unpublished pre-study, UTV, Sida).

In co-operation with the Division for Humanitarian Assistance and Conflict Management (HUM), UTV will also contribute to the joint evaluation on humanitarian relief to Internally Displaced Persons (IDPs) which is undertaken by several donors, UN organisations and ECHO. This collaboration with HUM will be further developed throughout 2004 with the intent of expanding the work of UTV in the area of humanitarian assistance, support to conflict resolution and peace building and social reconstruction.

4.4.9 Aid modalities

A pre-study focusing on budget support was initiated by UTV in 2003, and this pre-study should be seen in connection with the joint evaluation of general budget support (UTV project 21). The study has mapped out the type and extent of budget support given by Sida, and will guide future evaluation of this aid modality at UTV as well as feed into the work of the Division for Policy. The study will be published after minor revision.

- ❑ Pre-study of Swedish budget support. UTV project 21. Start: 2003. End: 2004.
- ❑ Evaluation of general budget support. UTV project 22. Start: 2002. End: 2005.
- ❑ Joint Nordic evaluation: Predictability, continuity and exit. UTV project 23. Start: 2003. End: 2005.

During 2004, UTV will continue to take part in the DAC Evaluation Network's joint evaluation of general budget support initiated by Dfid. General budget support is increasingly used as an aid modality and close to 20 donor countries are involved in the evaluation. Sida will take an active part of this evaluation both through the participation in the Management Group and the Steering Group. The evaluation is expected to be finalised in the end of 2005. During 2004 a literature review closely linked to the evaluation framework will be undertaken and the evaluation team will be contracted. The team will, after an inception phase, conduct seven country studies, that are all partner countries to Sida. Thematic studies will also be initiated.

In 2003 UTV commissioned a pre-evaluation study on the theme of predictability, continuity and exit. This study has been presented to the evaluation departments of the other Nordic countries as an input to discussion about a possible joint evaluation. A decision about the evaluation will be made during the first half of 2004.

5 Supporting departmental evaluation activities

UTV's advisory services are demand-driven and therefore vary in intensity according to the departments' needs and the awareness of UTV's support function among Sida's departments and the embassies. UTV will continue to provide advice to the other departments regarding evaluation planning and the writing of terms of reference, as well as advice on how to recruit external evaluators and how to evaluate tenders for evaluation work.

The delegation of authority to an increasing number of embassies implies that they will be more involved in evaluation work. In 2004 UTV will review its outreach activities in order to define its support function in relation to the embassies. Another important measure will be to secure proper dissemination of the findings of UTV evaluations to the embassies.

The on-going changes in the forms for development co-operation will affect UTV's work both internally at Sida Headquarters and at embassies in relation to the Field Vision and externally in relation to

harmonisation efforts and the Comprehensive Development Framework principles. Based on an internal study (see UTV project 34) UTV will during 2004 determine the extent and forms for the advisory services.

In 2000, UTV started the production of a new evaluation manual for Sida. Drafts were extensively discussed with Sida staff. As the consultants engaged for this project were unable to produce a manual satisfying Sida's requirements, the work of completing it was taken over

by UTV. The manual will be printed during the first quarter of 2004. The publication of the manual will be followed by dissemination activities at Swedish embassies in partner countries as well as at Sida's headquarters in Stockholm.

- ❑ Production of evaluation manual for Sida. UTV project 24. Start: 2000. End: 2004.
- ❑ Dissemination of evaluation manual. UTV project 25. Start: 2004. End: 2005.

A two-year project will be carried out for the dissemination phase of the evaluation manual, defining scope and content of the dissemination activities, including training.

6 Information and documentation

All Sida evaluations are registered and published by UTV. Since the second half of 1995, 331 evaluation reports have been published in *Sida Evaluations*. During the same period, 26 studies have appeared in *Sida Studies in Evaluation*, a separate series of studies that do not qualify as evaluations or that deal with evaluation methodology. Since 1998, the reports from both these series are available on Sida's website as well as in print.

Presentations of selected reports from these series, from time to time also studies produced in co-operation with other organisations, appear in *Sida Evaluations Newsletter*. Hardcopy circulation of the newsletter is about 2200, of which some 1300 are distributed outside Sida to organisations, authorities and individuals in Sweden and internationally. The publication is also available at Sida's website. 32 issues have been published since it was introduced in mid 1996. At the end of 2003 a new design for the Newsletter was developed which UTV will continue to use during 2004.

UTV has an overall responsibility for Sida's organisational memory in the field of evaluation. Through UTV Sida's evaluations have been registered in Sida's library database for the organisation since 1995/96. This database includes evaluations produced within the Swedish aid administration from 1985 onwards. About 1800 evaluations are registered in the database which is accessible on-line to Sida's staff at headquarters and to outside visitors at the library in Sida's Information Centre.

The evaluations registered in the database are also available in UTV's reference library, in addition to a large number of other Swedish and foreign evaluations published since 1990. UTV will update the system and structure of the reference library during 2004.

UTV has submitted abstracts of Sida evaluation reports to DAC's Evaluation Inventory, a master database managed by the Canadian International Development Agency (CIDA). The DAC Inventory, which can be accessed through the internet, (<http://www.dac-evaluations-cad.org/>) provides users with both evaluation abstracts and, through hyperlinks to the websites of other development organisations, full reports. A link between the website for the DAC Working Party on Aid Evaluation and Sida's

website has been established. However, a decision was taken by the DAC Network on Development Evaluation in January of 2004 to discontinue the database and explore other ways to access evaluations produced by network members.

7 Internal UTV projects

In addition to the above presentation of UTV's planned activities, the department currently has six internal projects that will be finalised by 2004. These projects are also listed in *Annex 1*.

During recent years a much discussed topic in evaluation theory has been evaluation as a form of participatory learning process. Within such processes the evaluator becomes a facilitator of change rather than a scrutiniser or judge. During 2004 the evaluators and internal auditors at UTV will develop their understanding of this view of evaluation. A consultant, specialised in facilitation, will tailor an education program for UTV.

- ❑ Mini-process on facilitation. UTV project 32. Start: 2003. End: 2004.
- ❑ Communication plan. UTV project 33. Start: 2003. End: 2004.
- ❑ UTV in a changing environment. UTV project 34. Start: 2003. End: 2004.
- ❑ Project organisation of UTV work. UTV project 35. Start: 2003. End: 2004.
- ❑ Printing and distribution process. UTV project 36. Start: 2003. End: 2004.
- ❑ Reporting and assessing development results. UTV project 37. Start: 2004. End: 2004.

In 2002, as a preparatory measure to develop a communication plan, UTV conducted a survey among Sida staff to identify their perception of UTV's communication as well as their knowledge about UTV activities. Based on these findings, in dialogue with INFO, the department developed a communication strategy and a communication plan during 2003. During 2004 the plan will be implemented. Activities that are planned within this framework are the mentioned education on facilitation, marketing of the UTV evaluation manual, increased communication with embassies and an elaboration of explicit communication plans for all audits and evaluations.

Under the headline "UTV in a Changing Environment" the department is studying how increased co-operation at country level between donors, a stronger determination along the principles in the CDF (Comprehensive Development Framework) to put the partner country in "the driver's seat", the PRSP processes and a large number of sector-wide programmes will affect the evaluation work and the way UTV develops its support role in the future. The study is based on interviews with staff at Swedish embassies and discussions with selected donors and partner country officials in Africa and Asia. A report is expected in early spring 2004.

In 2003, UTV initiated a project in order to develop its internal working routines related to evaluation and audit projects. This work continues in 2004, and includes the development of internal teamwork and a possible orientation towards the so-called project working method.

In the same year, Sida changed printing company and UTV decided to outsource larger parts of its work related to the process of lay-out, printing and distribution of its reports. UTV therefore initiated a project to develop its own routines and those of its co-operation with partners related to this process. The work continues in 2004.

There is increasing concern with issues related to development effectiveness and the contribution of official development assistance to reducing poverty and attaining the Millennium Development Goals. This has led to an interest in how to report and assess the results and impact of international development co-operation²³. The Government Bill on the Policy for Global Development puts strong emphasis on results orientation and mechanisms for reporting, monitoring and evaluation. The internal audit function of UTV is during 2004 carrying out a study of how Sida has adapted its steering mechanisms and perceptions to a Results Based Management (RBM) Agenda. At the same time there are various initiatives underway in Sida related to RBM. UTV will carry out an internal development project to explore the issues related to reporting and assessing development results.

²³ Development co-operation and performance evaluation: the Monterrey Challenge. R. Picciotto, OED Working Paper Series.

Annex 1

Summary of UTV's Activity Plan 2004:

A. Evaluations and studies

Theme	No	Project	Start	End	UTV activities 2004	Comments	Programme officer	Consultant
Knowledge management								
	1	Pre-study of partnership issues in monitoring and evaluation	03	04	Pre-study for a possible evaluation		SM	
	2	Evaluation of management response to evaluations	03	04	Preparation of ToR and procurement of consultants.		JM	
	3	Synthesis of experiences from country evaluations	04	04	Preparation of ToR, procurement of consultants, assessment of previous experiences, draft report		SD	
	4	Joint evaluation of the quality of DAC member evaluations	04	05	Participation in evaluation process, degree of activity to be determined		GES	
Policy evaluation								
	5	Evaluation of Sida's HIV/AIDS strategy	03	05	Preparation of ToR, procurement of consultants	Baseline study (SSE 01/02)	TK	
	6	Evaluation of Sida support to culture and media	03	04	Procurement of consultants, analysis of report		TK	
	7	Evaluation of the implementation of Sida's policy for sustainable development	04	05	Preparatory work for ToR		To be decided	
	8	Evaluation of the three C's in the context of European Union aid (carried out jointly under HES supervision)	03	06	Chair of Steering Committee and member of management group; design of evaluation programme		SD/EL	

Theme	No	Project	Start	End	UTV activities 2004	Comments	Programme officer	Consultant
Economic development								
	9	Evaluation of Sida's approach to private sector development (PSD) support for rural development	01	04	Contribute to management response process, presentation to Sida Management and Board, Newsletter	Report, SE 03/18, printed and disseminated	GES	SLU
	10	Evaluation of Sida's approach to PSD support in Russia and Ukraine	01	04	Printing and dissemination, contribute to management response process, presentation to Sida Management and Board, Newsletter		GES	Euro-Futures
	11	Synthesis of three evaluations of Sida support to PSD	03	04	Printing and dissemination, presentations, Newsletter	Synthesis of evaluations 9, 10 and SE 01/14, published as SSE 03/05	GES	Anders Danielson
	12	Pre-study of Sida's growth strategies	04	04	Initiate pre-study		SL	
Institutional development								
	13	Supporting institutional development: Pre-study of Sida views, work and knowledge	04	05	Initiate pre-study, including overviews, field visits, and stakeholder consultation	To be followed by one or more evaluations	GES	
	14	Pre-study of support for institutional development in Laos: The roads and forestry sectors	02	04	Dissemination, presentations, Newsletter	Report, SSE 03/04, printed	GES	Pernilla Sjöquist-Rafiqui
Urban/rural development								
	15	Sustainable urban development	04	05	Preparation of ToR, procurement of consultants	In co-operation with INEC	SD	
	16	Joint evaluation of the International Fund for Agricultural Development (IFAD)	03	04	Inception study, field research, drafting of main report		SM	

Theme	No	Project	Start	End	UTV activities 2004	Comments	Programme officer	Consultant
The rights perspective								
	17	Pre-study of Sida's support to democracy and human rights	02	05	Preparation of ToR, procurement of consultants		JM	
Social development								
	18	Evaluation of Sida's programmatic approach to the health sector	04	05	Preparatory work for ToR		To be decided	
	19	Evaluation of support to basic education (in co-operation with the Netherlands and other donors within the DAC framework)	01	04	Contribute to the management response process		JM	Canadian University
Peace building and humanitarian assistance								
	20	Evaluation of Sida's Integrated Area Programmes in Bosnia-Herzegovina	03	05	Survey, fieldwork		JM	Centre for Multiethnic Research, Uppsala University
Aid modalities								
	21	Pre-study of Swedish budget support	03	04	Revision, printing, dissemination		SL	
	22	Evaluation of general budget support (joint donor evaluation initiated by Dfid within the DAC framework)	02	05	Members of the management and steering group. Conduct a literature review, follow the inception phase and country evaluations, and initiate thematic evaluations.		SL	Maria Nilsson for the lit.rev. Contract for eval. in June 04.
	23	Joint Nordic evaluation: Predictability, continuity and exit	03	05	Discussions with Nordic counterparts, possibly followed by joint evaluation		SM	

B. Other projects and activities

No	Project	Start	End	UTV activities 2004	Responsible Officer
24	Production of evaluation manual for Sida	00	04	Printing	SM
25	Dissemination of evaluation manual for Sida	04	05	Launch and dissemination	SD
26	DAC Working Party on Aid Evaluation	Ongoing		Participation in Working Party	EL
27	EU Heads of Evaluation Services (EUHES)	Ongoing		Participation in network	EL
28	Internal Sida project on strategic learning (Lärstrategi projektet)	03	04	Participation in the project group	JM
29	Results-based indicators	03	04	Participation in reference group at Sida	SL
30	Sida's Project Committee (Projektkommittén)	Ongoing		Participation as a member of the Project Committee	JM
31	Sida Evaluation Newsletter	Ongoing			JM

C. Internal UTV projects

No	Project	Start	End	UTV activities 2004	Responsible Officer
32	Mini-process on facilitation	03	04	Initiate a learning process in co-operation with consultants	JM
33	Communication plan	03	04	Follow-up and implementation of UTV communication plan	JM, WH, IN
34	"UTV in a changing environment"	03	04	Further data collection related to UTV's approach to Sida's Field Vision. Report.	SD
35	Project organisation of UTV work	03	04	Develop working routines	GES, WH
36	Printing and distribution process	03	04	Develop UTV and partner working routines	GES, MB
37	Reporting and assessing development results	04	04	Internal seminars on issues related to results	SL, WH

Annex 2

Sida's Evaluation Plan FY 2004

Evaluations to be carried out by Sida's departments

AFRA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	Emb-AGO	AFRA	Cecilia Gjerdrum	UNMA Human Rights Div	Angola	basis for decision on whether to continue support;	Yes	end of phase	No	2003	
2	Emb-ETH	SEKA	Aklog Laike	Local Procurement of Grain	Ethiopia	basis for decision on whether to continue support	Yes	ex-post	No	2004 May	
3	Emb-ETH	AFRA	Adeye Befecadu	Support to democracy/HR advocacy organizations	Ethiopia	basis for fine-tuning or modification of evaluated project/programme	No	other timing	No	2004 June	
4	Emb-KEN	DESA	P Karlsson	DEMO/HR sector	Kenya	basis for fine-tuning or modification of programme	No	mid-term	No	2002 Nov	Carried over from Evaluation Plan 2003
5	Emb-MOZ	Emb-MOZ	A Johnston	State Financial Management Programme	Mozambique	basis for policy development	Yes	mid-term	No	2003 Dec	
6	Emb-NAM	Emb-NAM	C.Etzell	Transport sector support	Namibia	basis for development of new project/programme	Yes	mid-term	Yes	first quarter of 2004	
7	Emb-SYA		Helen Nordenson	Northern Cape Education Project and Dep. Of Education	South Africa	other use (lessons learned)	No	end of project	No	2003 Nov	Starting end 2003
8	Emb-SYA		Tomas Nyström	Urban Programme in three cities	South Africa	basis for fine-tuning or modifications/lessons learned	No	mid-term	No	2002-02-03	
9	Emb-TAN		Hans Persson	Education materials	Tanzania	basis for policy development	Yes	end of project	No	2003-06-03	
10	Emb-TAN		Hans Persson	Teacher education	Tanzania	basis for policy development	Yes	end of project	No	2003-06-03	
11	UM/Kampala	DESO/DESA	A. Melin, R.M. Balöw	Justice law and order	Uganda	other use	No	other timing	Yes	2004 May	Joint Govt of Uganda/ Development Partners annual review of sector performance and progress on undertakings
12	UM/Kampala		R-M Balöw	Health sector budget support	Uganda	other use	No	other timing	Yes	2004 Oct	Bi-annual review of sector. Possible participation by Swedish health system expertise
13	UM/Kampala		R-M Balöw	Uganda Human Rights Fund	Uganda	basis for decision and whether to continue support	Yes	ex-post	No	2004 Oct/Nov	Joint Govt of Uganda/ Development Partners annual review of sector performance and progress on undertakings

AFRA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
14	UM/Kampala		F Forsberg	Water and Sanitation programme. Annual review of sector performance	Uganda	other use	No	other timing	Yes	2004 July	Joint Govt of Uganda/ Development Partners annual review of sector performance and progress on undertakings
15	UM/Kampala		F Forsberg	Water and Sanitation. Technical review	Uganda	other use	No	other timing	Yes	2004 Jan	Joint Govt of Uganda/ Development Partners annual technical review of sector performance and progress on undertakings
16	UM/Kampala		A Hildeman, G Mugambe	General budget support	Uganda	other use	No	other timing	Yes	2004 May	Joint Govt of Uganda/ Development Partners annual monitoring/evaluation of budget discipline
17	UM/Kampala		M Selin	Financial Sector Development Programme	Uganda	basis for decision on whether to continue support	Yes	other timing	No	2004 Sept	Joint Govt of Uganda/ Development Partners, end of 2004
18	UM/Kampala		A Hildeman, G Mugambe	Donor Co-ordination and Harmonisation	Uganda	other use	No	other timing	No	2004 April/May	Embassy internal evaluation of donor co-ordination structure, for internal use and follow-up
19	Emb-Zambia	Emb-ZMB	Liljert	Providing Electricity Services using PV Systems through ESCOs in Rural Areas of Z.	Zambia	basis for policy development	Yes	end of project	No	2004 June	
20	Emb-Zambia	Emb-ZMB	Pio	Support to Gender Training Manual	Zambia	basis for development of new project/programme	Yes	end of project	No	2004 June	Evaluation planned for 2003 but deferred to 2004 due to slow implementation of the project.
21	Emb-Zambia	Emb-ZMB	Pamela Pio	Democratic Governance in Agriculture (LMCF)	Zambia	basis for decision on whether to continue support	No	end of project	No	2003 June	Publication postpone 2004
22	Embassy Zimbabwe	DESO/DESA	Alpha Chapendama	Children's Rights' support - Save the Children UK	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	No	2004 May	
23	Embassy Zimbabwe	HIV/AIDS team Lusaka	Johanna Palmberg	PACT comprehensive church and community HIV/AIDS prevention programme	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	Yes	2004 Nov	
24	Embassy Zimbabwe	Embassy Zimbabwe	Johanna Palmberg	Save Catchment Council	Zimbabwe	other use (indicated briefly)	No	end of project	No	2003-08-20	
25	Embassy Zimbabwe	Embassy Zimbabwe	Hazel Chinake	Population Services Zimbabwe	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	No	end of phase	No	2004 Apr	
26	Embassy Zimbabwe	Embassy Zimbabwe	Alpha Chapendama	Save the Children	Zimbabwe	basis for fine-tuning or modification of evaluated project/programme	No	end of phase	Yes	2004 May	
27	Embassy Zimbabwe	Embassy Zimbabwe	Alpha Chapendama	Environment Africa	Zimbabwe	basis for decision on whether to continue support;	No	end of phase	No	2004 Febr	

ASIEN

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	Emb-India		Ramesh Mukalla	EPTRI	India	basis for policy development	Yes	end of project	Yes	2002 Dec	
2	Emb-India		Ramesh Mukalla	NTCGF	India	basis for policy development	Yes	end of project	No	2002 Dec	
3	Emb-India		Ramesh Mukalla	PAHAL	India	basis for policy development	No	end of project	Yes	2002 Dec	
4	Emb-Laos	DESO/DESA	Marianne Tegman	National Statistics from start to end of phase IV	Laos	basis for decision on whether to continue support;	Yes	end of phase	No	2004 Febr	
5	Emb-Laos	INEC/INFRA	Sombath Southivong	Lao-Swedish Road Project II	Laos	basis for decision on whether to continue support;	Yes	end of phase	No	2004 Febr	Evaluation of results from start 1991 to end 2004 before final phase of cooperation.
6	Emb-Laos	NATUR		Water and Sanitation	Laos	other use (indicated briefly)	Yes	ex-post	No	2004 Febr	An evaluation could also serve as a tool for other donors to come in and take on future support in the area
7	Emb-VIE		Mr Ngoc	Comparative study of Qung Tri project and support to MoHA within the framwork of PAR	Vietnam	basis for fine-tuning or modification of evaluated project/programme	Yes	end of project	No	2004 Febr	
8	Emb-VIE		Ms Dung	The Local Radio project	Vietnam	other use (indicated briefly)	Yes	ex-post	No	2003 Dec	

DESO

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	DESO	Culture & Media	B.Lindgren	Music Crossroads	Regional Southern Africa	basis for decision on whether to continue support;	Yes	mid-term	No	2004 May	
2	DESO	Culture & Media	J.Jernberg	International Pen	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	end of phase	No	2004 May	
3	DESO	Culture & Media	J.Pellrud	Urban Origins Follow-up	Regionalt/Övrigt Afrika	basis for development of new project/programme	Yes	end of phase	No	2004 Febr	
4	DESO	Culture & Media	L.Lindh	Libraries support in Central America	Centralamerika	basis for development of new project/programme	Yes	end of phase	No	2004 March	
5	DESO	Culture & Media	P.Erichs	Anarc	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	end of phase	No	2004 March	
6	DESO	Culture & Media	P.Erichs	Environment journalism Makerere Univ.	Region East Africa	basis for fine-tuning or modification of evaluated project/programme	Yes	end of phase	No	2004 May	
7	DESO	Culture & Media	Å.Löfgren	Fojo Media Support	Västbanken	basis for decision on whether to continue support;	No	end of phase	No	2004 Febr	
8	DESO	Culture & Media	Å.Löfgren	DI and Göteborg Musikhögskola - Theatre & Music	Västbanken	basis for decision on whether to continue support;	No	end of phase	No	2003 Oct	Fortsättning från 2003
9	DESO	Culture & Media	Å.Löfgren	Riwaq	Västbanken	basis for decision on whether to continue support;	No	end of phase	No	2003 Oct	Fortsättning från 2003
10	DESO	Culture & Media	Å.Löfgren	Children's Literature	Västbanken	basis for decision on whether to continue support;	No	end of phase	No	2003 Oct	Fortsättning från 2003
11	DESO	DESA	C Redner	Defensor del Pueblo Colombia	Colombia	basis for development of new project/programme	No	end of project	No	2004 March	
12	DESO	DESA	E Ekman/I Armyr	The Swedish support to Local democracy, Local development	El Salvador	basis for policy development	Yes	ex-post	No	2004 March	Part of phasing our of Swedish development Cooperation
13	DESO	DESA	Sara Haglund	Human Rights in El Salvador and the International Support, 12 years after the Peace	El Salvador	basis for policy development	No	ex-post	No	2004 March	Preliminary proposal study to be started late 2004

DESO

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
14	DESO	DESA	H Bjuremaln	Utvärdering av stödet via svenska partianknutna organisationer.	Global	basis for decision on whether to continue support;	Yes	end of project	No	2004 March	Beroende på återrapporteringskrav i regleringsbrev
15	DESO	DESA	K Hedlund Thulin	Asia HR Commission	South East Asia	basis for development of new project/programme	Yes	end of project	No	2004 Jan	
16	DESO	DESA	Per Nordlund	Electoral Inst of South Africa	Regional Southern Africa	basis for policy development	No	end of phase	No	To be defined	
17	DESO	DESA	S Haglund	FIAN - Effects on HR	Global	basis for policy development	Yes	mid-term	No	2004 Jan	
18	DESO	DESA	S Haglund/ I Armyr	Support to PDH Guatemala	Guatemala	basis for fine-tuning or modification of evaluated project/programme	Yes	mid-term	Yes	2004 March	
19	DESO	DESA	S Ström	ICJS Support to legal aid and HR organizations	South Africa	basis for development of new project/programme	Yes	end of project	No	2003 Nov	
20	DESO	DESA	Helena Bjuremaln	Cooperation with Parliaments and Parliamentarians	Global	basis for development of new project/programme	Yes	end of project	No	2003 Oct	Fortsättning från 2003
21	DESO	DESA/Kigali	T Kjelsson	Projektet för decentralisering och kommunal utveckling	Rwanda	basis for development of new project/program	Yes	end of project	No	2004 Apr	
22	DESO	HEALTH	Bernt Andersson	WHO Trust Fund (The expert group)	Global	basis for fine-tuning or modification of evaluated project/programme	No	end of project	No	2003 Oct	Fortsättning från 2003
23	DESO	HEALTH	Christina Larsson	SPHR-insatser sedan 1994	Global	basis for development of new project/programme	No	end of project	No	2003 Oct	Fortsättning från 2003
24	DESO	HEALTH	Tomas Lundström	Tematisk utvärdering om ungdomshälsa med fokus på livsstilsfrågor inkl HIV/AIDS	Global	basis for development of new project/programme	Yes	end of project	No	2004 Jan	

EUROPE

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	EUROPE	EECA	M. Hägg-Liljeström	Regional Development Plans	Lithuania	basis for policy development	No	end of project	No	2003 Oct	Carried over from Evaluation Plan 2003
2	EUROPE	EECA	Kerstin Gyllhammar	Médecins Sans Frontières	Asia	basis for development of new project/programme	No	end of project	No	2004 Apr	Carried over from Evaluation Plan 2003
3	EUROPE	EECA	R. Kitzing-Ivarsson	Land Cadastre Project	Moldova	basis for fine-tuning or modification of evaluated project/programme	No	end of phase	No	2004 March	Carried over from Evaluation Plan 2003
4	EUROPE	EECA	K. Salomonsson	Social Sector	Russia	basis for fine-tuning or modification of evaluated project/programme	No	end of phase	No	2003 June	Carried over from Evaluation Plan 2003
5	EUROPE	EECA	K. Salomonsson	SEEC Health Projects	Russia	basis for fine-tuning or modification of evaluated project/programme	No	end of phase	No	2003 June	Carried over from Evaluation Plan 2003
6	EUROPE	EECA	Elsa Håstad	Women in Politics	Russia, Ukraine, Moldova, Central Asia, Baltic States	basis for development of new project/programme	No	end of project	No	2004 Feb	Carried over from Evaluation Plan 2003
7	EUROPE	SEE	Annlouise Olofsson	Hifab - Bank Privatisation	Western Balkan	basis for decision on whether to continue support;	No	end of phase	No	2003 Oct	Carried over from Evaluation Plan 2003. Evaluation broadened, will cover additional countries
8	EUROPE	SEE	Gunnel Unge	Balkan Children and Youth Foundation	Western Balkan	basis for decision on whether to continue support;	No	end of phase	No	2004 May	Carried over from Evaluation Plan 2003
9	EUROPE	SEE	Per Byman	Kuinna till Kuinna	Western Balkan	basis for fine-tuning or modification of evaluated project/programme	No	other timing	No	2003 Sept	Carried over from Evaluation Plan 2003
10	EUROPE	EECA	Rebecka Kitzing	Labour market projects	Armenia, Moldova, Georgia	basis for development of new project/program	No	end of project	No	2003 Jan	
11	EUROPE	EECA	Peter Hultén	Agricultural in Southern Ukraine	Ukraine	basis for development of new project/program	No	end of phase	No	2004 Aug	

EUROPE

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
12	EUROPE	EECA	Madeleine Hägg-Liljeström	Human Rigts Foundation of Turkey	Turkey	basis for decision on whether to continue support	No	end of phase	No	2004 Oct	
13	EUROPE	EECA	Madeleine Hägg-Liljeström	TA-Founds	Baltic States	basis for policy development	No	mid-term	No	2004 Sept	
14	EUROPE	SEE	Erik Wallin	East West Institute	Western Balkan	basis for decision whether to continue support	No	other timing	No	2004 Aug	
15	EUROPE	AL	Peeter Horn	Konsultfond i NIB	Baltic Sea Area	basis for decision on whether to continue support	No	mid-term	No	2004 Febr	Sida assignment under Baltic billion

INEC

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	INEC	KTS	Rolf Folkesson	Traffic Safety in Contract Financed Technical Cooperation	Regionalt/Övrigt Latinamerika	basis for decision to continue support and Fine-tuning	No	end of phase and ex-post	No	2003 Nov	
2	INEC	NÄRING	Margareta Davidsson	Start Syd/Start Öst	Global	basis for fine-tuning/modification	No	mid-term	No	2004 May	
3	INEC/UTV	NÄRING	Elisabeth Löwander	Support to South Centre in Geneva	Global	Decision on mode for continued support	No	mid-term	No	2004 Jan	
4	INEC/UTV	NÄRING	Göran Edehorn	Cooperation of Chambers of Commerce	Global	Decision on mode for continued support	No	end of project	Yes	2004 May	
5	INEC	Infra	Karin Andersson	ILO Rural roads/Upstream	Cambodia	Other - Input to best practices. Gathering of experience	Yes	ex-post	No	2004 March	Possibly joint evaluation with the Ministry
6	INEC	Infra	Gösta Werner	Uri Hydropower Project	India	Policy development	No	ex-post	No	2002 Febr	
7	INEC	UUM	Thomas Melin	Zanzibar, participativ upprustning av stenstaden genom Aga Khan-stiftelsen	Tanzania	basis for decision to continue support and Fine-tuning	Yes	end of phase	No	2004 Sept	
8	INEC	UUM	Sara Stenhammar	China Solvents - att ersätta ozonnedbrytande lösningsmedel. UNDP genomförare	China	basis for policy development	Yes	other timing	No	2003 Oct	
9	INEC	UUM	Jan Johansson	Utvärdering DEMO-ÖST	Europe	basis for decision to continue support and Fine-tuning	No	end of phase	No	2003-12-17	
10	INEC	UUM	Thomas Melin	Building issues, Lunds University	Global	basis for development of new programme	No	ex post	No	2004 Febr	
11	INEC	UUM	David Nilsson	Habitats vatten och sanitetsinsatser i Afrika	Africa	basis for decision to continue support and Fine-tuning	No	end of project	No	2004-09-30	
12	INEC	UUM	Hartmut Schmetzer	Urbana program i Sydafrika	Africa	basis for decision to continue support and Fine-tuning	No	end of project	No	2003-04-08	
13	INEC	UUM	John-Olov Winterhav	Kredit för Buss-leveranser till Dhaka	Asia	basis for decision to continue support	No	end of project	No	2004 Jan	Done in close collaboration with the Embassy
14	INEC	UUM	Anne-Lie Andersson	District Heating in Baltic States and Russia	Europe	other use	No	end of project	No	2003-11-12	All six district heating evaluations be performed together as one evaluation. Input to Baltic Billion Fund I evaluation.

INEC

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
15	INEC	UUM	Lars Eklund	Water and eastwater project Daugavpils	Latvia	basis for policy development	No	end of project	No	Completed	Draft final report presented
16	INEC	UUM	Lars Eklund	VA-project Riga	Latvia	basis for policy development	No	end of project	No	Completed	Draft final report presented
17	INEC	UUM	Lars Eklund	Institutional Strengthening Program in 5 Latvian Towns	Latvia	basis for policy development	No	end of project	No	Completed	Draft final report presented
18	INEC	FINANCE	Jan Grafström	Kredit till eltransmission Namibia	Namibia	basis of policy development	No	end of project	No	2004 Jan	
19	INEC	ICT	Per-Einar Tröföten	Regional Training Programme in Design, Installation, Administration & Maintenance of Network Systems (DIAMN)	Sri Lanka	basis for decision to continue support	No	end of phase	No	2004 Jan	

INFO

1	INFO	INFO	Tomas Törn	Annual allocation for information handled by information department	Sweden	basis for policy development	No	other timing	No	2002 May	
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NATUR

1	NATUR	LUV	Åsa Bjällås	Integrating Natural Resource Capacity in Southeast Asia	Southeast Asia	other use (indicated briefly)	Yes	end of project	No	2004 Jan	
2	NATUR	VAT	Mats Eriksson	Water Demand Management (WDM)	Regional Southern Africa	basis for development of new project/programme	Yes	end of project	No	2004 Jan	
3	NATUR	VAT	Louise Herrman	Ecosanres - SEI	Glob miljöö, naturbruk	basis for decision on whether to continue support;	No	end of phase	No	2004 Jun	

RELA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	Emb-Guatemala	Emb-Guatemala	Riby	UNDP Profed projects	Guatemala	basis for policy development	Yes	ex-post	Yes	2004 May	
2	Emb-Guatemala	Emb-Guatemala	Frütling	UNDP/UTJ Support to National Cadastre	Guatemala	basis for development of new project/programme	Yes	end of phase	Yes	2004 June	
3	Embassy Nicaragua	Embassy Nicaragua	EQ	Access to Justice (OAS)	Nicaragua	basis for fine-tuning or modification of	No	mid-term	No	2004 May	
4	RELA	RELA	P. Trägårdh	Iniciativa de mujeres por la paz	Colombia	basis for fine-tuning of modification of evaluated project/program	No	end of project	No	2003 Oct	
5	RELA	RELA	T. Svenungsson	Training in economics for government officials of the Republic of Cuba	Cuba	basis for fine-tuning or modification of evaluated project/program	Yes	mid-term	Yes	2003 Dec	

SAREC

1	SAREC	TEMA	Gity Bheravan	ARRPEEC	Asia	basis for fine-tuning or modification of evaluated project/programme	Yes	end of project	Yes	2003 Oct	
2	SAREC	TEMA	Ann-Christin Eliasson	IRET	Latin America	basis for decision on whether to continue support;	Yes	end of phase	No	2003 Dec	
3	SAREC	TEMA	Gity Bheravan	Bio-Earn	Asia	basis for decision on whether to continue support;	Yes	end of phase	No	2003 May	

SEKA

Plan No.	Responsible Department or Embassy	Responsible Division	Programme Officer	Projects/Programmes to be evaluated	Country/Region	Intended use of evaluation	Prior Agreement	Timing	Prev eval	Draft ToR	Comments
1	SEKA	EO/HUM	H Mungenast/ U Josefsson	Tematisk/regional utv.av stöd till enskilda org. & intern.org. ex. utbildningssektorn	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	end of phase	No	2004 Aug	utvärderingen kommer även ligga till grund för "policy development"
2	SEKA	EO	M-L Bruzelius	Utvärdering av Forum Syds verksamhet	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2004 Oct	
3	SEKA	EO	M-L Bruzelius	Utvärdering av pilotfasen av utbytesverksamheten	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2004 Aug	
4	SEKA	EO	A Hellgren	Utvärdering av PMUs verksamhet	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2004 Oct	
5	SEKA	HUM	K Zinn	Tematisk utvärdering av IDP-insatser med särskild tonvikt OCHAs Guiding Principles	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2003 Nov	utvärderingen kommer även ligga till grund för "policy development"
6	SEKA	HUM	I Buxton	Utv.av prg för avväpning, demobilisering och integrering (DD&R) m. tonvikt på reintegration	Global	basis for fine-tuning or modification of evaluated project/programme	Yes	other timing	No	2004 Sept	utvärderingen kommer även ligga till grund för "policy development"
7	SEKA	SEKA/HUM	Doris Attve	Utvärdering av det svenska stödet för fredsprocessen i El Salvador	El Salvador	other use	No	ex-post	No	2003 May	Other use (lärande om vad Sv. Stöd bidragit till fredsprocess)
8	SEKA	SEKA/HUM	I Buxton	Utvärdering av Life & Peace i DRK och Somalia	Democr republic Congo	basis for decision on whether to continue support	Yes	mid-term	No	2003 Nov	Obs! Utvärderingen avser både DRK och Somalia



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